



**National Association of
Insurance Commissioners**



2011 SMI Symposium

Enterprise Risk Management

Omni Jacksonville Hotel – Jacksonville, FL

July 21, 2011

AGENDA

ERM SYMPOSIUM (Florida Ballroom—Salon A-C)

Breakfast (Florida Ballroom—Salon D): 7:00 a.m. – 8:00 a.m.

Welcome and Introduction of Presenters and Participants

8:00 – 8:15 a.m. *Director Christina Urias (Arizona), Chair of the Solvency
Modernization Initiative (EX) Task Force*

Session 1: ERM Framework

8:15 a.m. – 10:30 a.m. Areas covered include:

- 1) Risk Management Policies - Risk Appetite and Limits
- 2) Risk Identification and Prioritization
- 3) Qualitative and Quantitative Metrics
- 4) Risk Culture and Governance
- 5) Risk Reporting and Communication (to Senior Mgt., Board, and Rating Agencies)
- 6) Risk Management and Controls - Mitigation of Risk
- 7) Feed-back Loops
- 8) ERM Use in Decision-Making

Break: 10:30 a.m. – 10:45 a.m.

Session 2: ERM Quantitative Assessment

10:45 a.m. – 12:30 p.m. Areas covered include:

- 1) Quantitative Measurements
- 2) Differences by type of company – stock/mutual
- 3) Differences by size of company
- 4) Management of Capital

Lunch (Florida Ballroom—Salon D): 12:30 p.m. – 1:30 p.m.

Session 3: Group Presentations (Group Representatives, Regulators, and NAIC Staff Only)

1:30 p.m. – 3:45 p.m.

Individual Groups will present their ERM systems to Regulators in Closed Session

Areas covered include:

- 1) Group's ERM Framework & Quantitative Assessment
- 2) Core Risk Assumptions and Scenarios
- 3) Methods to Achieve Optimal Return (on Risk, Equity, etc.)
- 4) Rating Agency Presentation/Documents

Break: 3:45 p.m. – 4:00 p.m.

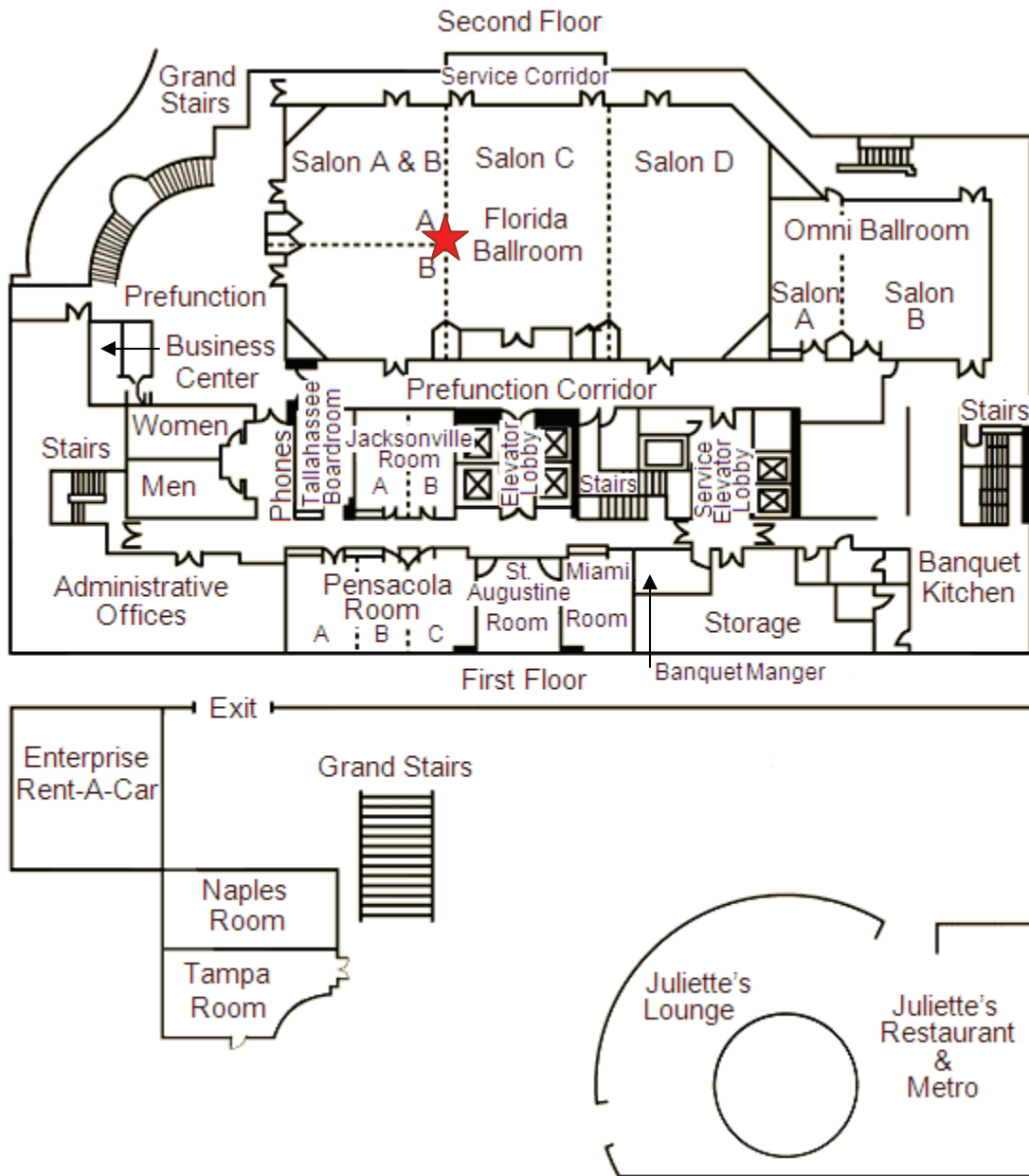
Session 4: Use of ERM in Insurance Regulation

4:00 p.m. – 5:00 p.m.

Areas covered include:

- 1) Risk-focused Surveillance
- 2) Stress Testing
- 3) Prospective Solvency Assessment
- 4) Governance
- 5) Controls
- 6) Risk Presentations to the Board
- 7) Use of Company Documents (e.g. Communication to Rating Agencies) to avoid duplication

Hotel Layout



Transportation Information

Location:

The downtown Omni Hotel in Jacksonville, FL is located at 245 Water Street Jacksonville, Florida, USA 32202. Their telephone number is (904) 355-6664. The hotel is conveniently located close to many restaurants and attractions. A walking map has been included in your packet, for your convenience.

Transportation:

- Enterprise Rent-A-Car
 - 904-798-0035, Hours: Monday-Friday 7:30 AM - 6PM, Saturday 9AM - 12PM, Sunday Closed
- Valet parking (approx. \$18) with unlimited in/out privileges when charged to guest room.
- Car rental office located on-site.
- Taxi and shuttle service available between the airport and hotel.
- Skyline Transportation, 904-226-0352
 - Taxi approx. \$32, Town car approx. \$38, Shuttle approx. \$15 per person
- Gator City Taxi, 904-355-8294
 - Approximately \$32 each way
- Airport shuttle - Gator City Transportation, 904-355-8294 and Shuttle Service, 904-353-8880
 - Requires 24 hours advance notice - approximately \$32.50 to hotel and \$30 back to the airport - group rates available
- Skyline Express Rail - connected to the hotel via a walkway. Service runs from the Prime F Osborn Convention Center to the South Bank of the St. John's River (nominal fee).
- Water Taxis - Located at the Jacksonville Landing. Weather permitting servicing to the South Bank of the St Johns River and Jacksonville Municipal Stadium. (approx. \$6).

Dining Information

Hosted Functions:

The NAIC will be hosting two separate food functions during the symposium. Refreshment breaks will also be provided.

- Breakfast – Beginning at 7:00am in Florida Ballroom Salon D, a buffet service, offering fresh fruit, assorted breads, and delicious warm items such as ham and eggs, will be provided.
- Lunch – At 12:30pm, in the Florida Ballroom Salon D, a southern hospitality buffet, including items such as classic Cobb salad, southern fried chicken, and macaroni and cheese will be provided.
- Morning and Afternoon Breaks – We will offer two breaks during the symposium, one at 10:30am and the other at 3:45pm, both of these will be held in the ballroom corridor.

Hotel Dining:

The Omni Jacksonville Hotel has two dining options, in addition to room service, for your convenience.

Juliette's Bistro

Our modern bistro will please your palate with fine exquisite cuisine and wines from around the globe and pamper your spirits with a wonderful ambience. Juliette's recently underwent a dramatic renovation and provides a striking backdrop filled with an array of "movers and shakers" enjoying breakfast, lunch and dinner daily.

J Bar

J Bar is the perfect place to soak up some local color and meld into the downtown scene at any hour. Satisfy your appetite with small plates of progressive bistro-inspired cuisine, enjoy cocktails or savor a glass of wine. Open every night for cocktails and light fare. Join us any time – we're open late into the night.

Guest Room Dining

Guest room dining is available 24-hours for your convenience. Prepared by the Juliette's Bistro culinary team.

Local Restaurants:

Bistro Aix

Tel: 904/398-1949
1440 San Marco Blvd.

Casa Dora Italian

Tel: 904/356-8282
Located at 108 East Forsyth Street.

The Chart House

Tel: 904/398-3353
Located on the Southbank of the St.
Johns River across from the
Jacksonville Landing.

Cheesecake Factory

Tel: 904/998-9511
10281 Midtown Parkway

La Cena Ristorante

Tel: 904/633-9255
211 N. Laura Street

Maggiano's

Tel: 904/380-4360
10367 Midtown Parkway

Morton's of Chicago

Tel: 904/399-3933
1510 Riverplace Blvd.

P.F. Chang's

Tel: 904/641-3392
10281 Midtown Pkwy. #137

River City Brewing Company

Tel: 904/398-2299
Located on the Southbank of the St.
Johns River across from the
Jacksonville Landing.

Ruth's Chris Steakhouse

Tel: 904/396-6200
Located on the Southbank of
the St. Johns River across from
the Jacksonville Landing.

Shula's 347 Grill

Tel: 904/642-0063
10605 Deerwood Park
Boulevard Jacksonville, FL
32256

Ted's Montana Grill

Tel: 904/998-0010
10281 Midtown Parkway #221

The Wine Cellar

Tel: 904/398-8989
1314 Prudential

Local Restaurants (continued):

Jacksonville Landing

Dining

The American Café
Tel: 904/353-4503

Hooters
Tel: 904/356-5400

The Twisted Martini
Tel: 904/353-8464

Benny's Steak & Seafood
Tel: 904/301-1014

Koja Sushi
Tel: 904/350-9911

Vito's Italian Café
Tel: 904/355-3002

Food Court

American Heroes
Tel: 904/353-8660

Calvin's Cajun Express
Tel: 904/354-5656

Sakkio Japan
Tel: 904/353-1351

An Apple-A-Day Deli
Tel: 904/353-9191

Flamers
Tel: 904/354-7951

Sbarro
Tel: 904/355-6999

Bourbon Express
Tel: 904/301-1013

Great Wraps
Tel: 904/354-7876

Local Restaurants (continued):

Bars

Burro Bar

100 E. Adams St.

Dos Gatos

123 E. Forsyth St.

Mark's Downtown

315 E. Bay St

ClubTSIDiscotheque

333 E. Bay St.

Ivy Ultra Bar

113 E. Bay St

**Maverick's Rock N' Honky
Tonk**

2 Independent Dr. W. at The
Jacksonville Landing

Dive Bar

331 E. Bay St.

Lit Downtown

11 Ocean Street

Poppy Love Smoke

112 E. Adams St.

Entertainment Information

Hotel Activities:

The downtown Hyatt Regency offers a couple of options to stay active during your stay.

- Complimentary fitness facility open 24 hours
- Get Fit Kit available at front desk
- Access to extensive YMCA facility, which offers full Nautilus® equipment and aerobic classes (fee)
- Fourth-floor/rooftop heated swimming pool and sundeck overlook the St. Johns River and downtown Jacksonville (open year round)
- Scenic jogging routes located along Jacksonville's Riverwalk

Arts and Culture:

Cummer Gallery of Art and Garden
2 miles

Jacksonville Maritime Museum
6 blocks

Times-Union Center for the Performing Arts
Adjacent to hotel

Florida Theater
6 blocks

Jacksonville Museum of Science & History
6 blocks

Theatre Jacksonville
3 miles

Jacksonville Historical Center
6 blocks

Museum of Modern Art
6 blocks

Attractions:

**Alexander Brest
Planetarium/Museum of Science
and History**
1 mile

Anheuser-Busch Brewery
11 miles

Historic St. Augustine
35 miles

Jacksonville Coliseum
1 mile

**Prime F. Osborn Convention
Center**
Connected by Skyway Express Rail
System
4 blocks

St. Johns River
1 block

San Marco Neighborhood
2.5 miles

South Bank Riverwalk
Short water taxi ride

World Golf Hall of Fame
30 miles

Outdoor Recreation:

Adventure Landing Water
10 miles

Deerfield Lakes Golf Course
7 miles

Fernandina/Amelia Island
25 miles

Friendship Park
1 mile

Jacksonville Beach
18 miles

Jacksonville Equestrian Center
16 miles

Jacksonville Zoo
10 miles

King & Bear Golf Course
20 miles

Memorial Park
1 mile

Sawgrass Golf Course
20 miles

South Hampton Golf Club
20 miles south on Hwy. 210

Shopping:

**Jacksonville Landing Riverfront
Marketplace**
Adjacent to hotel

Shops of Avondale
4 miles

St. John's Town Center
9 miles

St. Augustine Outlet Shopping
32 miles

Sports:

EverBank Field
1 mile

**Minor League Baseball
Jacksonville Suns**
1 mile

Map

