

Securities Valuations Office Staff and Responsibilities

May 2012

MANAGING DIRECTOR: CHRIS EVANGEL	
Lynda Weber	Senior Analytical Project & Outreach Specialist
Derline Higgins	Senior Administrative Assistant
SENIOR COUNSEL: ROBERT CARCANO	

MANAGER, SECURITIES VALUATIONS OFFICE
TEAM I: SHARAD GUPTA

ANALYST	SIC	INDUSTRY DESCRIPTION
Pradip Vyas - Senior Advisor	3700-3799	Transportation Equipment
	6500-6513	Real Estate - Operators
	6530-6553	Real Estate - Agents, Managers, Dealers, & Developers
	6798	Real Estate Investment Trusts ("REITS")
Jeffrey Baskin - Analyst	4910-4939	Electric Services, Natural Gas Transmission & Distribution
	8800-8822	Private Households
Rosemarie Kalinowski – Analyst	4900	Electric, Gas and Sanitary Services
Martin Kounitz -Analyst	2800-2899	Chemicals & Allied Products
	8000-8099	Health Services
Hankook Lee - Analyst	1000-1099	Metal Mining
	1200-1241	Coal Mining
	3200-3299	Stone, Clay, Glass, & Concrete Products
	3300-3399	Primary Metal Business
	3400-3499	Fabricated Metal, Except Machinery & Transportation Equipment
Robert Nelson - Analyst	4000-4013	Railroad Transportation
	4100-4173	Transit & Passenger Transportation
	4200-4231	Motor Freight Transportation & Warehousing
	4300-4311	United States Postal Service
	4400-4499	Water Transportation
	4500-4581	Transportation by Air
	4700-4789	Transportation Services
	7500-7549	Automotive Repair, Service & Parking
	7600-7699	Miscellaneous Repair Services
	8600-8699	Membership Organizations & Related Services
Karen Stefancic – Analyst	2700-2796	Printing, Publishing & Allied Industries
	4800-4899	Communications
	7800-7841	Motion Pictures

MANAGER, SECURITIES VALUATIONS OFFICE

TEAM II: HARRY OLSEN

ANALYST	SIC	INDUSTRY DESCRIPTION
Richard Newman - Senior Advisor		Subsidiary Valuations
Kevin Driscoll - Analyst		Asset / Mortgage-Backed Securities Credit Tenant Loans (CTLs) Equity-Linked Securities Exchange Traded Funds (ETFs) Replication (Synthetic Asset) Transaction (RSATs) Structured Notes
Thomas Hickey - Analyst	1300-1389 1400-1499 1500-1542 1600-1629 1700-1799 2900-2999 4600-4619	Oil & Gas Extraction Mining & Quarrying of Nonmetal Minerals Except Fuels Building Construction - General Contractors, Operative Builders Heavy Construction - Not Building Construction Construction - Special Trade Contractors Petroleum Refining & Related Industries Pipelines, Except Natural Gas
Elizabeth Muroski - Analyst	2200-2299 2300-2399 3100-3199 3900-3999 5200-5271 5300-5399 5400-5499 5500-5599 5600-5699 5700-5799 5900-5999 7000-7041 7200-7299	Textile Mill Products Apparel & Other Finished Products Leather & Leather Products Miscellaneous Manufacturing Industries Building Materials, Hardware, Garden - Retail General Merchandise Stores Food Stores Automotive Dealers & Gasoline Service Stations Apparel & Accessory Stores Home Furniture & Equipment Stores Miscellaneous Retail Hotels, Other Lodging Places Personal Services
John Quinn - Senior Analyst	3500-3599 3600-3699 8900-8999 9900-9999	Industrial & Commercial Machinery & Computer Equipment Electrical & Other Electrical Equipment & Components. Miscellaneous Services Non-Classifiable Establishments
Norman Schindler - Analyst	3800-3873 5000-5099 5100-5199 8100-8111 8200-8299	Technical Instruments, Photo Goods, Watches Wholesale, Durable Goods Wholesale, Non-Durable Goods Legal Services Educational Services
Kathleen Uckert - Analyst	0800-0851 0900-0971 2400-2499 2600-2679 3000-3089 8300-8399 8400-8422	Forestry Fishing, Hunting, & Trapping Lumber & Wood Products, Except Furniture Paper & Allied Products Rubber & Miscellaneous Plastic Products Social Services Museums, Art Galleries, & Botanical & Zoological Gardens
John Yazzo - Analyst	0100-0191 0200-0291 0700-0783 2000-2099 2100-2141 2500-2599	Agriculture Production - Crops Agriculture Production - Livestock, Animal Specialties Agricultural Services Food & IGndred Products Tobacco Products Furniture & Fixtures

MANAGER, SECURITIES VALUATIONS OFFICE
TEAM III: HILARY RENZ

ANALYST	SIC	INDUSTRY DESCRIPTION
Gary Mescher - Senior Advisor	9100	Government, Except Finance
James Mitchell - Analyst	9200	Justice, Public Order, & Safety
	9300-9350	Municipal - Public Finance, Taxation, & Monetary Policy
	9500	Administration of Environmental Quality, Housing Programs
	9600	Administration of Economic Programs
	9700	National Security & International Affairs
	9730	Foreign Governments
	9800	Supra-Nationals
Vincent Arscott - Analyst	6000-6099	Depository Institutions, Commercial Banks, Foreign Banks, Foreign Trading
	6100-6199	Non-depository Credit Institutions
	6200-6289	Security & Commodity Brokers
	7900-7999	Amusement & Recreation Services
Gail Benintendi - Analyst	6300-6399	Insurance Carriers
	6400-6411	Insurance Agents, Brokers and Services
	6700-6795	Holding and Other Investment Offices
	6799	Investors, Not Elsewhere Classified
Jeffrey Evans - Analyst		Schedule BA Assets
Frank Meyers - Analyst	4940-4941	Water Supply
	4950-4991	Sewage Systems, Hazardous Waste, Irrigation, Refuse & Sanitary Services
	5800-5813	Eating and Drinking Places
	7370-7389	Computer Programming & Software, Data Prep, Security & Business Services
	8700-8748	Engineering, Accounting, Research, Management & Related Services
Max Rosefort - Analyst	7300-7363	Business Advertising, Credit Reporting, Maintenance, Rental & Leasing
Angela DeMarco - Administrator		Mutual Fund/ Bank Letter of Credit Lists

MANAGER, BUSINESS OPERATIONS: STEPHEN MALUK

Rodney Cornish	SVO Business Analyst
Wayne Cotter	Financial Editor/State & Internal Trainer
Aida Guzman	Supervisor, Records
Sophia Gilbert	Administrative Assistant
Ronald Stuart	Operations Specialist
Brenda Watson	Operations Clerk

SECURITIES VALUATIONS OFFICE STAFF EMAIL AND TELEPHONE NUMBERS				
Telephone: 212-398-9000			Facsimile: 212-382-4207	
Arscott,	Vincent	Analyst	(212) 386-1952	Varscott@naic.org
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Weber,	Lynda	Sr. Analytical Project & Outreach Specialist	(212) 386-1927	LWeber@naic.org
Yazzo,	John	Analyst	(212) 386-1962	JYazzo@naic.org
OTHER SERVICES/SVO QUESTIONS				
SVO Help Desk			(212) 386-1905	
Regulatory Treatment Analytical Service (RTAS)	Harry Olsen		(212) 386-1925	
ATF Filing Assistance	Rodney Cornish		(212) 386-1933	
Bank Letter of Credit	Angela DeMarco		(212) 386-1965	
Derivatives, Counterparty Designations	Kevin Driscoll		(212) 386-1940	
Foreign Governments (SIC 9730A)	Gary Mescher		(212) 386-1966	
Mutual Funds	Angela DeMarco		(212) 386-1965	
Supra National Organizations (SIC 9800)	James Mitchell		(212) 386-1936	
Surplus Note Listing	Richard Newman		(212) 386-1942	
NON-SVO QUESTIONS				
Automated Valuation Service (AVS) Hotline			(816) 783-8300	
Approved Mutual Fund List	Publications		(816) 783-8300	
AVR/IMR/RBC	Dan Swanson		(816) 783-8412	
Bank Letter of Credit List	Publications		(816) 783-8300	
Financial Reporting – Annual & Quarterly	Statement Preparation Hotline		(816) 783-8400	
Insurance Accounting	Robin Marcotte		(816) 783-8124	
Publications/CD-ROM Orders	Publications		(816) 783-8300	