

**IN FORCE CONTRACTS STANDARDIZED DATA REQUEST**  
**Annuity Line of Business**

**Contents:** This file should be downloaded from company system(s) and contain one record for each annuity contract issued to [applicable state] residents that were in force at any time during the examination period.

**Uses:** Data will be used to determine if the company follows appropriate procedures with respect to annuity contracts in [applicable state] within the scope of the examination:

- Cross-reference with annual statement data to validate the completeness of the in force file;
- Cross-reference with the company's MCAS data to validate the accuracy of MCAS reporting;
- Cross-reference with claims data to validate the completeness of the in force file; and
- Cross-reference to state (s) licensing information to ensure proper producer licensure.

Field Name	Start	Length	Type	Decimals	Description
CoCode	1	5	A		NAIC company code
ConNo	6	20	A		Contract number
EffDt	26	10	D		Contract effective date [MM/DD/YYYY]
ConForm	36	20	A		Contract form number as filed with the insurance department
COFirst	56	15	A		First name of contract owner responsible for premium payment of contract
COMid	71	15	A		Middle name of contract owner responsible for premium payment of contract
COLast	86	20	A		Last name of contract owner responsible for premium payment of contract
CODOB	106	10	D		Contract owner date of birth [MM/DD/YYYY]
COAddr	116	100	A		Contract owner street address
COCity	216	20	A		Contract owner city
COST	236	2	A		State abbreviation of contract owner as of the end of the examination period
COZip	238	5	A		Contract owner ZIP code
AntFirst	243	15	A		First name of annuitant
AntMid	258	15	A		Middle name of annuitant
AntLast	273	20	A		Last name of annuitant
AntAddr	293	100	A		Annuitant street address
AntCity	393	20	A		Annuitant city
AntSt	413	2	A		Abbreviation of annuitant's state
AntZip	415	5	A		Annuitant ZIP code
AntDOB	420	10	D		Annuitant date of birth [MM/DD/YYYY]
AntSx	430	1	A		Annuitant's sex (M/F)
PrCode	431	10	A		Company internal producer, CSR or business entity producer identification code <b>Please provide a list to explain any codes used</b>

NPN	441	7	A		National producer number
Rep	448	1	A		Did this annuity contract replace an existing contract regardless of who wrote the previous contract? (Y/N)
RepType	449	1	A		Type of replacement <b>Internal = 1 or External = 2</b>
T1035	450	1	A		Is a T1035 required to be completed in the event of a termination of replacement? (Y/N)
TxStat	451	1	A		<b>Q = qualified N = nonqualified</b>
AppProDt	452	10	D		Date application processed [MM/DD/YYYY]
AppRecDt	462	10	D		Date application received by the company [MM/DD/YYYY]
IssDt	472	10	D		Annuity contract issue date [MM/DD/YYYY]
IssSt	482	2	A		State abbreviation where contract was issued
CWAAmt	484	10	N	2	Consideration amount received with the application
MinAnAmt	494	10	N	2	Minimum annual additional consideration required
PaidDt	504	10	D		Date to which the contract is paid [MM/DD/YYYY]
PlanCode	514	10	A		System plan code <b>Please provide a list of system plan codes as required under the “Annuity Plan Level” portion of this request</b>
FixVal	524	10	N	2	Fixed account value <b>Please provide the account value as of the end of the examination period</b>
IndVal	534	10	N	2	Indexed account value, if applicable <b>Please provide the account value as of the end of the examination period</b>
VarVal	544	10	N	2	Amounts in the investment division of the separate account, if applicable <b>Please provide the account value as of the end of the examination period</b>
CrAccum	554	10	N	2	Current accumulation value <b>Please provide the account value as of the end of the examination period</b>
ConStat	564	20	A		Contract status as of the end of the examination period (e.g. accumulation, annuitization, etc.) <b>Please provide a list to explain any codes used</b>
BonusTyp	584	20	A		Bonus types applied to the annuity <b>Please provide a list to explain any codes used. If more than one has been applied, please identify each bonus applied</b>
BonusAmt	604	10	N	2	Total amount of bonuses applied to the annuity
Amrden	614	20	A		All applicable amendments, riders, and endorsements added <b>Please provide a list to explain any codes used</b>
AmrdenDt	634	10	D		Effective date of applicable amendment, rider or endorsement [MM/DD/YYYY] <b>If multiple amendment, rider or endorsements, repeat fields as necessary</b>
PayOp	644	20	A		Payout option elected <b>Please provide a list of all payout options available, including their meanings</b>
MatDt	664	10	D		Maturity date of annuity contract [MM/DD/YYYY]
CanReqDt	674	10	D		Date cancellation requested, if applicable [MM/DD/YYYY]
CanTer	684	1	A		Who cancelled the coverage <b>C=Consumer and I=Insurer</b>
CanTerRs	685	20	A		Reason for cancellation/termination of coverage Example: Lapse, individual requested cancellation, company cancellation, death, cash surrender, etc. <b>If codes are used, provide a list of all cancellation codes along with their meanings</b>
CanTerDt	705	10	D		Date contract cancelled/terminated [MM/DD/YYYY]

RefAmt	715	10	N	2	Amount of refund, if applicable
RefDt	725	10	D		Date refund mailed, if applicable [MM/DD/YYYY]
RefTo	735	20	A		Person who received refund, if applicable
LOB	755	3	A		Line of business according to annual financial statement <b>Please provide a list to explain LOB codes</b>
PaySt	758	2	A		State where annuity premium/consideration is reported in annual statement, as of the end of the exam period
EndRec	760	1	A		End of record marker. Please place an asterisk in this field to indicate the end of the record. This must be in the same character position for every record in this table.

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