

PLAN CODE STANDARDIZED DATA REQUEST
Annuity Line of Business

Contents: For each annuity plan code which was in force or issued to [applicable state] residents at any time during the examination period, please provide information on the annuity plan code and its features. There should be one record for each annuity plan code.

Uses: Data will be used to ascertain general information about the annuity contracts marketed or in force during the exam period as well as when those contracts were marketed:

- Cross-reference product marketing dates with advertising data to validate completeness of the advertising data;
- Cross-reference with the Annuity Payment, Withdrawal, and Surrender data to validate surrender charges were applied during the surrender period; and
- Identify plan codes to sample (e.g. plans marketed during the exam period with a premium bonus).

| Field Name | Start | Length | Type | Decimals | Description |
|------------|-------|--------|------|----------|--|
| PlanCode | 1 | 6 | A | | System plan code Please provide a list of system plan codes and their descriptions |
| ConType | 7 | 20 | A | | Type of contract (i.e. variable, fixed, indexed, etc.) Please provide a list of all contract type codes and their meaning |
| ConForm | 27 | 10 | A | | Contract form number applied for as filed with insurance department |
| FilDt | 37 | 10 | D | | Date contract sent for approval or filed {MM/DD/YYYY} |
| ConDtApv | 47 | 10 | D | | Date contract approved or filed {MM/DD/YYYY} |
| ProdDesc | 57 | 50 | A | | Product description/name |
| ProdBgDt | 107 | 10 | D | | Date product marketing began in [insert state], if applicable {MM/DD/YYYY} |
| ProdEnDt | 117 | 10 | D | | Date product marketing ended in [insert state], if applicable {MM/DD/YYYY} |
| Illustr | 127 | 1 | A | | Is an illustration required? (Y/N) |
| BnsTyp | 128 | 20 | A | | Types of bonuses available on annuity Please provide a list of all bonus types and a description of each available bonus and applicable percentages |
| Comm1st | 148 | 5 | N | 2 | Percentage of first year commission |
| ComReHi | 153 | 5 | N | 2 | Provide the highest percentage of renewal commission payable for the annuity plan |
| ComReLo | 158 | 5 | N | 2 | Provide the lowest percentage of renewal commission payable for this annuity plan |
| CommYr | 163 | 2 | A | | Number of years for which renewal commission was/is payable |
| IntGuar | 165 | 5 | N | 2 | Guaranteed interest rate |
| IntSen | 170 | 1 | A | | Interest sensitive product? (Y/N) |
| SurChgF | 171 | 5 | N | 2 | Percentage of first year surrender charge |
| SurChgL | 176 | 5 | N | 2 | Percentage of last year surrender charge |
| SurPer | 181 | 2 | A | | Surrender period (years) |
| PayOp | 183 | 20 | A | | Pay out options available for the annuity Please provide a list of all payout options available, including their meanings |

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|--------|-----|----|---|--|
| Amrden | 203 | 20 | A | All applicable amendments, riders, and endorsements added Please provide a list to explain any codes used. If more than one amendment applies, repeat fields as necessary |
| EndRec | 223 | 1 | A | End of record marker. Please place an asterisk in this field to indicate the end of the record. This must be in the same character position for every record in this table. |

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