

NAIC BLANKS (E) WORKING GROUP

Blanks Agenda Item Submission Form

<p align="right">DATE: <u>03/01/2017</u></p> <p>CONTACT PERSON: <u>Charles A. Therriault</u></p> <p>TELEPHONE: <u>(212) 386-1920</u></p> <p>EMAIL ADDRESS: <u>CTherriault@naic.org</u></p> <p>ON BEHALF OF: <u>VOSTF</u></p> <p>NAME: <u>Stewart Guerin and Kevin Fry</u></p> <p>TITLE: _____</p> <p>AFFILIATION: _____</p> <p>ADDRESS: _____</p>	<p align="center">FOR NAIC USE ONLY</p> <p>Agenda Item # <u>2017-07BWG MOD</u></p> <p>Year <u>2017</u></p> <p>Changes to Existing Reporting [X]</p> <p>New Reporting Requirement []</p> <hr/> <p align="center">REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT</p> <p>No Impact [X]</p> <p>Modifies Required Disclosure []</p> <hr/> <p align="center">DISPOSITION</p> <p>[] Rejected For Public Comment</p> <p>[] Referred To Another NAIC Group</p> <p>[] Received For Public Comment</p> <p>[] Adopted Date _____</p> <p>[] Rejected Date _____</p> <p>[X] Deferred Date <u>06/14/2017</u></p> <p>[] Other (Specify) _____</p>
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BLANK(S) TO WHICH PROPOSAL APPLIES

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|--|---|--|
| <input checked="" type="checkbox"/> ANNUAL STATEMENT | <input checked="" type="checkbox"/> QUARTERLY STATEMENT | |
| <input checked="" type="checkbox"/> INSTRUCTIONS | <input type="checkbox"/> CROSSCHECKS | <input type="checkbox"/> BLANK |
| <input checked="" type="checkbox"/> Life and Accident & Health | <input checked="" type="checkbox"/> Property/Casualty | <input checked="" type="checkbox"/> Health |
| <input type="checkbox"/> Separate Accounts | <input checked="" type="checkbox"/> Fraternal | <input checked="" type="checkbox"/> Title |
| <input type="checkbox"/> Other Specify | | |

Anticipated Effective Date: 1st Quarter 2018

IDENTIFICATION OF ITEM(S) TO CHANGE

Add new designations to the Designation Column of Schedule D and Schedule BA for bonds and preferred stock for the Private Letter (PL) and Reporting Exception (RE) suffixes.

REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE**

The purpose of this proposal is to update the designation matrix for the Private Letter (PL) and Reporting Exception (RE) suffixes.

NAIC STAFF COMMENTS

Comment on Effective Reporting Date: _____

Other Comments:

** This section must be completed on all forms.

SCHEDULE D – PART 1

LONG-TERM BONDS OWNED DECEMBER 31 OF CURRENT YEAR



Column 6 – NAIC Designation

Insert the NAIC designation for such security provided in the *Valuation of Securities*. Any NAIC Designation that is not obtained from the current edition of the *Valuation of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter “Z” appended to the designation. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* Part One, Section 3.

For Bond Mutual Funds – as Identified by the SVO, enter 1.

For Exchange Traded Funds – as Identified by the SVO, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*.

Following is a matrix of the valid combinations of designations and suffixes for bonds.

1	2	3	4	5	6
1S	2S	3S	4S	5S	6S
				5*	6*
				5*S	6*S
				5*GI	
1F	2F	3F	4F	5F	6F
1FE	2FE	3FE	4FE	5FE	6FE
1RE	2RE	3RE	4RE	5RE	6RE
1PL	2PL	3PL	4PL	5PL	6PL
1AM	2AM	3AM	4AM	5AM	6AM
1FM	2FM	3FM	4FM	5FM	6FM
1Z	2Z	3Z	4Z	5Z	6Z
1Z*	2Z*	3Z*	4Z*	5Z*	6Z*

If the VOS data file does not provide a designation for a specific security, an “FE,” “RE,” “PL,” “AM,” “FM,” “Z,” “S” or “F” must follow the designation reported in this column.

Refer to the flow chart in the Investment Schedules General Instructions for instruction on how to determine the NAIC designation for structured securities.



ANNUAL STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SCHEDULE D – PART 2 – SECTION 1

PREFERRED STOCKS OWNED DECEMBER 31 OF CURRENT YEAR



Detail Eliminated To Conserve Space

Column 20 – NAIC Designation

Insert the NAIC designation for such security reported in the *Valuation of Securities*. Include the market indicator A, J, K, L, U or V at the end of the NAIC designation. Any NAIC Designation that is not obtained from the current edition of the *Valuation of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter “FE,” “RE,” “PL,” “Z,” “F” or “S” appended to the designation. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, Part ~~Three~~One, Section 43.

For Exchange Traded Funds, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*.

Exchange Traded Funds should be reported as perpetual securities.

Following is a matrix of the valid combinations of codes for preferred stock.

P1A	P2A	P3A	P4A	P5A	P6A
P1SA	P2SA	P3SA	P4SA	P5SA	P6SA
				P5*A	P6*A
				P5*SA	P6*SA
P1J	P2J	P3J	P4J	P5J	P6J
P1SJ	P2SJ	P3SJ	P4SJ	P5SJ	P6SJ
				P5*J	P6*J
				P5*SJ	P6*SJ
P1K	P2K	P3K	P4K	P5K	P6K
P1SK	P2SK	P3SK	P4SK	P5SK	P6SK
				P5*K	P6*K
				P5*SK	P6*SK
P1L	P2L	P3L	P4L	P5L	P6L
P1SL	P2SL	P3SL	P4SL	P5SL	P6SL
				P5*L	P6*L
				P5*SL	P6*SL
P1U	P2U	P3U	P4U	P5U	P6U
P1SU	P2SU	P3SU	P4SU	P5SU	P6SU
				P5*U	P6*U
				P5*SU	P6*SU
P1V	P2V	P3V	P4V	P5V	P6V
P1SV	P2SV	P3SV	P4SV	P5SV	P6SV
				P5*V	P6*V
				P5*SV	P6*SV
P1AZ	P2AZ	P3AZ	P4AZ	P5AZ	P6AZ
P1AF	P2AF	P3AF	P4AF	P5AF	P6AF
P1AZ*	P2AZ*	P3AZ*	P4AZ*	P5AZ*	P6AZ*
P1JZ	P2JZ	P3JZ	P4JZ	P5JZ	P6JZ
P1JF	P2JF	P3JF	P4JF	P5JF	P6JF
P1JZ*	P2JZ*	P3JZ*	P4JZ*	P5JZ*	P6JZ*

P1KZ	P2KZ	P3KZ	P4KZ	P5KZ	P6KZ
P1KF	P2KF	P3KF	P4KF	P5KF	P6KF
P1KZ*	P2KZ*	P3KZ*	P4KZ*	P5KZ*	P6KZ*
P1LFE	P2LFE	P3LFE	P4LFE	P5LFE	P6LFE
<u>P1LRE</u>	<u>P2LRE</u>	<u>P3LRE</u>	<u>P4LRE</u>	<u>P5LRE</u>	<u>P6LRE</u>
<u>P1LPL</u>	<u>P2LPL</u>	<u>P3LPL</u>	<u>P4LPL</u>	<u>P5LPL</u>	<u>P6LPL</u>
P1LZ	P2LZ	P3LZ	P4LZ	P5LZ	P6LZ
P1LF	P2LF	P3LF	P4LF	P5LF	P6LF
P1LZ*	P2LZ*	P3LZ*	P4LZ*	P5LZ*	P6LZ*
P1UFE	P2UFE	P3UFE	P4UFE	P5UFE	P6UFE
<u>P1URE</u>	<u>P2URE</u>	<u>P3URE</u>	<u>P4URE</u>	<u>P5URE</u>	<u>P6URE</u>
<u>P1UPL</u>	<u>P2UPL</u>	<u>P3UPL</u>	<u>P4UPL</u>	<u>P5UPL</u>	<u>P6UPL</u>
P1UZ	P2UZ	P3UZ	P4UZ	P5UZ	P6UZ
P1UF	P2UF	P3UF	P4UF	P5UF	P6UF
P1UZ*	P2UZ*	P3UZ*	P4UZ*	P5UZ*	P6UZ*
P1VFE	P2VFE	P3VFE	P4VFE	P5VFE	P6VFE
<u>P1VRE</u>	<u>P2VRE</u>	<u>P3VRE</u>	<u>P4VRE</u>	<u>P5VRE</u>	<u>P6VRE</u>
<u>P1VPL</u>	<u>P2VPL</u>	<u>P3VPL</u>	<u>P4VPL</u>	<u>P5VPL</u>	<u>P6VPL</u>
P1VZ	P2VZ	P3VZ	P4VZ	P5VZ	P6VZ
P1VF	P2VF	P3VF	P4VF	P5VF	P6VF
P1VZ*	P2VZ*	P3VZ*	P4VZ*	P5VZ*	P6VZ*
RP1A	RP2A	RP3A	RP4A	RP5A	RP6A
RP1SA	RP2SA	RP3SA	RP4SA	RP5SA	RP6SA
				RP5*A	RP6*A
				RP5*SA	RP6*SA
RP1J	RP2J	RP3J	RP4J	RP5J	RP6J
RP1SJ	RP2SJ	RP3SJ	RP4SJ	RP5SJ	RP6SJ
				RP5*J	RP6*J
				RP5*SJ	RP6*SJ
RP1K	RP2K	RP3K	RP4K	RP5K	RP6K
RP1SK	RP2SK	RP3SK	RP4SK	RP5SK	RP6SK
				RP5*K	RP6*K
				RP5*SK	RP6*SK
RP1L	RP2L	RP3L	RP4L	RP5L	RP6L
RP1SL	RP2SL	RP3SL	RP4SL	RP5SL	RP6SL
				RP5*L	RP6*L
				RP5*SL	RP6*SL
RP1U	RP2U	RP3U	RP4U	RP5U	RP6U
RP1SU	RP2SU	RP3SU	RP4SU	RP5SU	RP6SU
				RP5*U	RP6*U
				RP5*SU	RP6*SU
RP1V	RP2V	RP3V	RP4V	RP5V	RP6V
RP1SV	RP2SV	RP3SV	RP4SV	RP5SV	RP6SV
				RP5*V	RP6*V
				RP5*SV	RP6*SV
RP1AZ	RP2AZ	RP3AZ	RP4AZ	RP5AZ	RP6AZ
RP1AF	RP2AF	RP3AF	RP4AF	RP5AF	RP6AF
RP1AZ*	RP2AZ*	RP3AZ*	RP4AZ*	RP5AZ*	RP6AZ*
RP1JZ	RP2JZ	RP3JZ	RP4JZ	RP5JZ	RP6JZ
RP1JF	RP2JF	RP3JF	RP4JF	RP5JF	RP6JF
RP1JZ*	RP2JZ*	RP3JZ*	RP4JZ*	RP5JZ*	RP6JZ*
RP1KZ	RP2KZ	RP3KZ	RP4KZ	RP5KZ	RP6KZ
RP1KF	RP2KF	RP3KF	RP4KF	RP5KF	RP6KF
RP1KZ*	RP2KZ*	RP3KZ*	RP4KZ*	RP5KZ*	RP6KZ*
RP1LFE	RP2LFE	RP3LFE	RP4LFE	RP5LFE	RP6LFE
<u>RP1LRE</u>	<u>RP2LRE</u>	<u>RP3LRE</u>	<u>RP4LRE</u>	<u>RP5LRE</u>	<u>RP6LRE</u>

<u>RP1LPL</u>	<u>RP2LPL</u>	<u>RP3LPL</u>	<u>RP4LPL</u>	<u>RP5LPL</u>	<u>RP6LPL</u>
RP1LZ	RP2LZ	RP3LZ	RP4LZ	RP5LZ	RP6LZ
RP1LF	RP2LF	RP3LF	RP4LF	RP5LF	RP6LF
RP1LZ*	RP2LZ*	RP3LZ*	RP4LZ*	RP5LZ*	RP6LZ*
RP1UFE	RP2UFE	RP3UFE	RP4UFE	RP5UFE	RP6UFE
<u>RP1URE</u>	<u>RP2URE</u>	<u>RP3URE</u>	<u>RP4URE</u>	<u>RP5URE</u>	<u>RP6URE</u>
<u>RP1UPL</u>	<u>RP2UPL</u>	<u>RP3UPL</u>	<u>RP4UPL</u>	<u>RP5UPL</u>	<u>RP6UPL</u>
RP1UZ	RP2UZ	RP3UZ	RP4UZ	RP5UZ	RP6UZ
RP1UF	RP2UF	RP3UF	RP4UF	RP5UF	RP6UF
RP1UZ*	RP2UZ*	RP3UZ*	RP4UZ*	RP5UZ*	RP6UZ*
RP1VFE	RP2VFE	RP3VFE	RP4VFE	RP5VFE	RP6VFE
<u>RP1VRE</u>	<u>RP2VRE</u>	<u>RP3VRE</u>	<u>RP4VRE</u>	<u>RP5VRE</u>	<u>RP6VRE</u>
<u>RP1VPL</u>	<u>RP2VPL</u>	<u>RP3VPL</u>	<u>RP4VPL</u>	<u>RP5VPL</u>	<u>RP6VPL</u>
RP1VZ	RP2VZ	RP3VZ	RP4VZ	RP5VZ	RP6VZ
RP1VF	RP2VF	RP3VF	RP4VF	RP5VF	RP6VF
RP1VZ*	RP2VZ*	RP3VZ*	RP4VZ*	RP5VZ*	RP6VZ*

=====**Detail Eliminated To Conserve Space**=====

ANNUAL STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SCHEDULE BA – PART 1

OTHER LONG-TERM INVESTED ASSETS OWNED DECEMBER 31 OF CURRENT YEAR

=====**Detail Eliminated To Conserve Space**=====

Column 7 – NAIC Designation

This column must be completed by **Life and Fraternal** insurers only. All other insurers may ignore this column and its instructions.

For Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument, insert the NAIC designation, valuation indicator or market indicator as reported in the NAIC *Valuation of Securities* or its *Supplement*.

Following is a matrix of the valid combinations of designations and suffixes for bonds.

1	2	3	4	5	6
	2S	3S	4S	5S	6S
				5*S	6*
				5*S	6*S
1FE	2FE	3FE	4FE	5FE	6FE
<u>1RE</u>	<u>2RE</u>	<u>3RE</u>	<u>4RE</u>	<u>5RE</u>	<u>6RE</u>
<u>1PL</u>	<u>2PL</u>	<u>3PL</u>	<u>4PL</u>	<u>5PL</u>	<u>6PL</u>

Following is a matrix of the valid combinations of designations and suffixes for preferred stock.

P1A	P2A	P3A	P4A	P5A	P6A
P1SA	P2SA	P3SA	P4SA	P5SA	P6SA
				P5*A	P6*A
				P5*SA	P6*SA
P1L	P2L	P3L	P4L	P5L	P6L
P1SL	P2SL	P3SL	P4SL	P5SL	P6SL
				P5*L	P6*L
				P5*SL	P6*SL
P1U	P2U	P3U	P4U	P5U	P6U
P1SU	P2SU	P3SU	P4SU	P5SU	P6US
				P5*U	P6*U
				P5*SU	P6*SU
P1V	P2V	P3V	P4V	P5V	P6V
P1SV	P2SV	P3SV	P4SV	P5SV	P6SV
				P5*V	P6*V
				P5*SV	P6*SV
P1LFE	P2LFE	P3LFE	P4LFE	P5LFE	P6LFE
P1UFE	P2UFE	P3UFE	P4UFE	P5UFE	P6UFE
P1VFE	P2VFE	P3VFE	P4VFE	P5VFE	P6VFE
P1LRE	P2LRE	P3LRE	P4LRE	P5LRE	P6LRE
P1URE	P2URE	P3URE	P4URE	P5URE	P6URE
P1VRE	P2VRE	P3VRE	P4VRE	P5VRE	P6VRE
P1LPL	P2LPL	P3LPL	P4LPL	P5LPL	P6LPL
P1UPL	P2UPL	P3UPL	P4UPL	P5UPL	P6UPL
P1VPL	P2VPL	P3VPL	P4VPL	P5VPL	P6VPL
RP1A	RP2A	RP3A	RP4A	RP5A	RP6A
RP1SA	RP2SA	RP3SA	RP4SA	RP5SA	RP6SA
				RP5*A	RP6*A
				RP5*SA	RP6*SA
RP1L	RP2L	RP3L	RP4L	RP5L	RP6L
RP1SL	RP2SL	RP3SL	RP4SL	RP5SL	RP6SL
				RP5*L	RP6*L
				RP5*SL	RP6*SL
RP1U	RP2U	RP3U	RP4U	RP5U	RP6U
RP1SU	RP2SU	RP3SU	RP4SU	RP5SU	RP6SU
				RP5*U	RP6*U
				RP5*SU	RP6*SU
RP1V	RP2V	RP3V	RP4V	RP5V	RP6V
RP1SV	RP2SV	RP3SV	RP4SV	RP5SV	RP6SV
				RP5*V	RP6*V
				RP5*SV	RP6*SV
RP1LFE	RP2LFE	RP3LFE	RP4LFE	RP5LFE	RP6LFE
RP1UFE	RP2UFE	RP3UFE	RP4UFE	RP5UFE	RP6UFE
RP1VFE	RP2VFE	RP3VFE	RP4VFE	RP5VFE	RP6VFE
RP1LRE	RP2LRE	RP3LRE	RP4LRE	RP5LRE	RP6LRE
RP1URE	RP2URE	RP3URE	RP4URE	RP5URE	RP6URE
RP1VRE	RP2VRE	RP3VRE	RP4VRE	RP5VRE	RP6VRE
RP1LPL	RP2LPL	RP3LPL	RP4LPL	RP5LPL	RP6LPL
RP1UPL	RP2UPL	RP3UPL	RP4UPL	RP5UPL	RP6UPL
RP1VPL	RP2VPL	RP3VPL	RP4VPL	RP5VPL	RP6VPL

If the VOS data file has a designation for a specific Schedule BA investment, that designation must be reported in this column. If the VOS data file does not provide a designation and the investment qualifies for filing exemption, an “FE,” “RE,” “PL” or an “S” must follow the designation reported in this column. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office Part Three*, Section 43.

The NAIC designation field should be zero-filled for those Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument which have not been filed with the SVO and do not meet the requirements of Filing Exemption, as well for any other investments reported in the respective “Other” categories (non-fixed income-like BA investments).



Detail Eliminated To Conserve Space

QUARTERLY STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SCHEDULE D – PART 3

LONG-TERM BONDS AND STOCKS ACQUIRED DURING THE CURRENT QUARTER



Detail Eliminated To Conserve Space

Column 10 – NAIC Designation or Market Indicator

Enter the NAIC designation or market indicator at the end of the quarter for each security shown. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office Part One*, Section 3.

Long Term Bond NAIC Designations:

Insert the NAIC designation for such security reported in the *Valuations of Securities*. Any NAIC designation that is not obtained from the current edition of the *Valuations of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter Z appended to the designation.

For Bond Mutual Funds – as Identified by the SVO, enter 1.

For Exchange Traded Funds – as Identified by the SVO, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*.

Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.

Following is a matrix of the valid combinations of designations and suffixes for bonds.

1	2	3	4	5	6
1S	2S	3S	4S	5S	6S
				5*	6*
				5*S	6*S
				5*GI	
1F	2F	3F	4F	5F	6F
1FE	2FE	3FE	4FE	5FE	6FE
1RE	2RE	3RE	4RE	5RE	6RE
1PL	2PL	3PL	4PL	5PL	6PL
1AM	2AM	3AM	4AM	5AM	6AM
1FM	2FM	3FM	4FM	5FM	6FM
1Z	2Z	3Z	4Z	5Z	6Z
1Z*	2Z*	3Z*	4Z*	5Z*	6Z*

If the VOS data file does not provide a designation for a specific security, an “FE,” “RE,” “PL,” “AM,” “FM,” “Z,” “S” or “F” must follow the designation reported in this column.

Refer to the flow chart in the Investment Schedules General Instructions for instruction on how to determine the NAIC designation for structured securities.

Preferred Stock NAIC Designations:

Insert the NAIC designation for such security reported in the *Valuations of Securities*. Include the market indicator A, J, K, L, U or V at the end of the NAIC designation. Any NAIC designation that is not obtained from the current edition of the *Valuations of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter FE, RE, PL, Z, F or S appended to the designation.

Following is a matrix of the valid combinations of codes for preferred stock.

P1A	P2A	P3A	P4A	P5A	P6A
P1SA	P2SA	P3SA	P4SA	P5SA	P6SA
				P5*A	P6*A
				P5*SA	P6*SA
P1J	P2J	P3J	P4J	P5J	P6J
P1SJ	P2SJ	P3SJ	P4SJ	P5SJ	P6SJ
				P5*J	P6*J
				P5*SJ	P6*SJ
P1K	P2K	P3K	P4K	P5K	P6K
P1SK	P2SK	P3SK	P4SK	P5SK	P6SK
				P5*K	P6*K
				P5*SK	P6*SK
P1L	P2L	P3L	P4L	P5L	P6L
P1SL	P2SL	P3SL	P4SL	P5SL	P6SL
				P5*L	P6*L
				P5*SL	P6*SL
P1U	P2U	P3U	P4U	P5U	P6U
P1SU	P2SU	P3SU	P4SU	P5SU	P6SU
				P5*U	P6*U
				P5*SU	P6*SU
P1V	P2V	P3V	P4V	P5V	P6V
P1SV	P2SV	P3SV	P4SV	P5SV	P6SV
				P5*V	P6*V
				P5*SV	P6*SV
P1AZ	P2AZ	P3AZ	P4AZ	P5AZ	P6AZ

P1AF	P2AF	P3AF	P4AF	P5AF	P6AF
P1AZ*	P2AZ*	P3AZ*	P4AZ*	P5AZ*	P6AZ*
P1JZ	P2JZ	P3JZ	P4JZ	P5JZ	P6JZ
P1JF	P2JF	P3JF	P4JF	P5JF	P6JF
P1JZ*	P2JZ*	P3JZ*	P4JZ*	P5JZ*	P6JZ*
P1KZ	P2KZ	P3KZ	P4KZ	P5KZ	P6KZ
P1KF	P2KF	P3KF	P4KF	P5KF	P6KF
P1KZ*	P2KZ*	P3KZ*	P4KZ*	P5KZ*	P6KZ*
P1LFE	P2LFE	P3LFE	P4LFE	P5LFE	P6LFE
<u>P1LRE</u>	<u>P2LRE</u>	<u>P3LRE</u>	<u>P4LRE</u>	<u>P5LRE</u>	<u>P6LRE</u>
<u>P1LPL</u>	<u>P2LPL</u>	<u>P3LPL</u>	<u>P4LPL</u>	<u>P5LPL</u>	<u>P6LPL</u>
P1LZ	P2LZ	P3LZ	P4LZ	P5LZ	P6LZ
P1LF	P2LF	P3LF	P4LF	P5LF	P6LF
P1LZ*	P2LZ*	P3LZ*	P4LZ*	P5LZ*	P6LZ*
P1UFE	P2UFE	P3UFE	P4UFE	P5UFE	P6UFE
<u>P1URE</u>	<u>P2URE</u>	<u>P3URE</u>	<u>P4URE</u>	<u>P5URE</u>	<u>P6URE</u>
<u>P1UPL</u>	<u>P2UPL</u>	<u>P3UPL</u>	<u>P4UPL</u>	<u>P5UPL</u>	<u>P6UPL</u>
P1UZ	P2UZ	P3UZ	P4UZ	P5UZ	P6UZ
P1UF	P2UF	P3UF	P4UF	P5UF	P6UF
P1UZ*	P2UZ*	P3UZ*	P4UZ*	P5UZ*	P6UZ*
P1VFE	P2VFE	P3VFE	P4VFE	P5VFE	P6VFE
<u>P1VRE</u>	<u>P2VRE</u>	<u>P3VRE</u>	<u>P4VRE</u>	<u>P5VRE</u>	<u>P6VRE</u>
<u>P1VPL</u>	<u>P2VPL</u>	<u>P3VPL</u>	<u>P4VPL</u>	<u>P5VPL</u>	<u>P6VPL</u>
P1VZ	P2VZ	P3VZ	P4VZ	P5VZ	P6VZ
P1VF	P2VF	P3VF	P4VF	P5VF	P6VF
P1VZ*	P2VZ*	P3VZ*	P4VZ*	P5VZ*	P6VZ*
RP1A	RP2A	RP3A	RP4A	RP5A	RP6A
RP1SA	RP2SA	RP3SA	RP4SA	RP5SA	RP6SA
				RP5*A	RP6*A
				RP5*SA	RP6*SA
RP1J	RP2J	RP3J	RP4J	RP5J	RP6J
RP1SJ	RP2SJ	RP3SJ	RP4SJ	RP5SJ	RP6SJ
				RP5*J	RP6*J
				RP5*SJ	RP6*SJ
RP1K	RP2K	RP3K	RP4K	RP5K	RP6K
RP1SK	RP2SK	RP3SK	RP4SK	RP5SK	RP6SK
				RP5*K	RP6*K
				RP5*SK	RP6*SK
RP1L	RP2L	RP3L	RP4L	RP5L	RP6L
RP1SL	RP2SL	RP3SL	RP4SL	RP5SL	RP6SL
				RP5*L	RP6*L
				RP5*SL	RP6*SL
RP1U	RP2U	RP3U	RP4U	RP5U	RP6U
RP1SU	RP2SU	RP3SU	RP4SU	RP5SU	RP6SU
				RP5*U	RP6*U
				RP5*SU	RP6*SU
RP1V	RP2V	RP3V	RP4V	RP5V	RP6V
RP1SV	RP2SV	RP3SV	RP4SV	RP5SV	RP6SV
				RP5*V	RP6*V
				RP5*SV	RP6*SV
RP1AZ	RP2AZ	RP3AZ	RP4AZ	RP5AZ	RP6AZ
RP1AF	RP2AF	RP3AF	RP4AF	RP5AF	RP6AF
RP1AZ*	RP2AZ*	RP3AZ*	RP4AZ*	RP5AZ*	RP6AZ*
RP1JZ	RP2JZ	RP3JZ	RP4JZ	RP5JZ	RP6JZ
RP1JF	RP2JF	RP3JF	RP4JF	RP5JF	RP6JF
RP1JZ*	RP2JZ*	RP3JZ*	RP4JZ*	RP5JZ*	RP6JZ*

RP1KZ	RP2KZ	RP3KZ	RP4KZ	RP5KZ	RP6KZ
RP1KF	RP2KF	RP3KF	RP4KF	RP5KF	RP6KF
RP1KZ*	RP2KZ*	RP3KZ*	RP4KZ*	RP5KZ*	RP6KZ*
RP1LFE	RP2LFE	RP3LFE	RP4LFE	RP5LFE	RP6LFE
<u>RP1LRE</u>	<u>RP2LRE</u>	<u>RP3LRE</u>	<u>RP4LRE</u>	<u>RP5LRE</u>	<u>RP6LRE</u>
<u>RP1LPL</u>	<u>RP2LPL</u>	<u>RP3LPL</u>	<u>RP4LPL</u>	<u>RP5LPL</u>	<u>RP6LPL</u>
RP1LZ	RP2LZ	RP3LZ	RP4LZ	RP5LZ	RP6LZ
RP1LF	RP2LF	RP3LF	RP4LF	RP5LF	RP6LF
RP1LZ*	RP2LZ*	RP3LZ*	RP4LZ*	RP5LZ*	RP6LZ*
RP1UFE	RP2UFE	RP3UFE	RP4UFE	RP5UFE	RP6UFE
<u>RP1URE</u>	<u>RP2URE</u>	<u>RP3URE</u>	<u>RP4URE</u>	<u>RP5URE</u>	<u>RP6URE</u>
<u>RP1UPL</u>	<u>RP2UPL</u>	<u>RP3UPL</u>	<u>RP4UPL</u>	<u>RP5UPL</u>	<u>RP6UPL</u>
RP1UZ	RP2UZ	RP3UZ	RP4UZ	RP5UZ	RP6UZ
RP1UF	RP2UF	RP3UF	RP4UF	RP5UF	RP6UF
RP1UZ*	RP2UZ*	RP3UZ*	RP4UZ*	RP5UZ*	RP6UZ*
RP1VFE	RP2VFE	RP3VFE	RP4VFE	RP5VFE	RP6VFE
<u>RP1VRE</u>	<u>RP2VRE</u>	<u>RP3VRE</u>	<u>RP4VRE</u>	<u>RP5VRE</u>	<u>RP6VRE</u>
<u>RP1VPL</u>	<u>RP2VPL</u>	<u>RP3VPL</u>	<u>RP4VPL</u>	<u>RP5VPL</u>	<u>RP6VPL</u>
RP1VZ	RP2VZ	RP3VZ	RP4VZ	RP5VZ	RP6VZ
RP1VF	RP2VF	RP3VF	RP4VF	RP5VF	RP6VF
RP1VZ*	RP2VZ*	RP3VZ*	RP4VZ*	RP5VZ*	RP6VZ*

Common Stock NAIC Market Indicators:

Insert the NAIC market indicator for such security reported in the *Valuations of Securities*. If this market indicator is not available, the reporting entity should include a market indicator of A, J, K, L, U or V as appropriate.

Following is a listing of the valid codes for common stock.

A	J	K	L	U	V
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Detail Eliminated To Conserve Space

QUARTERLY STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SCHEDULE D – PART 4

**LONG-TERM BONDS AND STOCKS SOLD, REDEEMED OR OTHERWISE
DISPOSED OF DURING THE CURRENT QUARTER**



Detail Eliminated To Conserve Space

Column 22 – NAIC Designation or Market Indicator

Enter the NAIC designation or market indicator at date of disposal for each security shown. Where multiple disposal transactions occurred for the same CUSIP, and those transactions are summarized on one line, enter the NAIC designation or market indicator for the last disposal using the last available designation. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* Part One, Section 3.

Long Term Bond NAIC Designations:

Insert the NAIC designation for such security reported in the *Valuations of Securities*. Any NAIC designation that is not obtained from the current edition of the *Valuations of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter Z appended to the designation.

For Bond Mutual Funds – as Identified by the SVO, enter 1.

For Exchange Traded Funds – as Identified by the SVO, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*.

Exchange Traded Funds – as Identified by the SVO should be reported as perpetual securities.

Following is a matrix of the valid combinations of designations and suffixes for bonds.

1	2	3	4	5	6
1S	2S	3S	4S	5S	6S
				5*	6*
				5*S	6*S
				5*GI	
1F	2F	3F	4F	5F	6F
1FE	2FE	3FE	4FE	5FE	6FE
<u>1RE</u>	<u>2RE</u>	<u>3RE</u>	<u>4RE</u>	<u>5RE</u>	<u>6RE</u>
<u>1PL</u>	<u>2PL</u>	<u>3PL</u>	<u>4PL</u>	<u>5PL</u>	<u>6PL</u>
1Z	2Z	3Z	4Z	5Z	6Z
1AM	2AM	3AM	4AM	5AM	6AM
1FM	2FM	3FM	4FM	5FM	6FM
1Z*	2Z*	3Z*	4Z*	5Z*	6Z*

If the VOS data file does not provide a designation for a specific security, an “FE,” “RE,” “PL,” “AM,” “FM,” “S,” “Z” or “F” must follow the designation reported in this column.

Refer to the flow chart in the Investment Schedules General Instructions for instruction on how to determine the NAIC designation for structured securities.

Preferred Stock NAIC Designations:

Insert the NAIC designation for such security reported in the *Valuations of Securities*. Include the market indicator A, J, K, L, U or V at the end of the NAIC designation. Any NAIC designation that is not obtained from the current edition of the *Valuations of Securities* or its *Supplement* and that is entered by the reporting entity under its own judgment shall have the letter FE, RE, PL, Z, F or S appended to the designation.

Following is a matrix of the valid combinations of codes for preferred stock.

P1A	P2A	P3A	P4A	P5A	P6A
P1SA	P2SA	P3SA	P4SA	P5SA	P6SA
				P5*A	P6*A
				P5*SA	P6*SA
P1J	P2J	P3J	P4J	P5J	P6J
P1SJ	P2SJ	P3SJ	P4SJ	P5SJ	P6SJ
				P5*J	P6*J
				P5*SJ	P6*SJ
P1K	P2K	P3K	P4K	P5K	P6K
P1SK	P2SK	P3SK	P4SK	P5SK	P6SK
				P5*K	P6*K
				P5*SK	P6*SK
P1L	P2L	P3L	P4L	P5L	P6L
P1SL	P2SL	P3SL	P4SL	P5SL	P6SL
				P5*L	P6*L
				P5*SL	P6*SL
P1U	P2U	P3U	P4U	P5U	P6U
P1SU	P2SU	P3SU	P4SU	P5SU	P6SU
				P5*U	P6*U
				P5*SU	P6*SU
P1V	P2V	P3V	P4V	P5V	P6V
P1SV	P2SV	P3SV	P4SV	P5SV	P6SV
				P5*V	P6*V
				P5*SV	P6*SV
P1AZ	P2AZ	P3AZ	P4AZ	P5AZ	P6AZ
P1AF	P2AF	P3AF	P4AF	P5AF	P6AF
P1AZ*	P2AZ*	P3AZ*	P4AZ*	P5AZ*	P6AZ*
P1JZ	P2JZ	P3JZ	P4JZ	P5JZ	P6JZ
P1JF	P2JF	P3JF	P4JF	P5JF	P6JF
P1JZ*	P2JZ*	P3JZ*	P4JZ*	P5JZ*	P6JZ*
P1KZ	P2KZ	P3KZ	P4KZ	P5KZ	P6KZ
P1KF	P2KF	P3KF	P4KF	P5KF	P6KF
P1KZ*	P2KZ*	P3KZ*	P4KZ*	P5KZ*	P6KZ*
P1LFE	P2LFE	P3LFE	P4LFE	P5LFE	P6LFE
<u>P1LRE</u>	<u>P2LRE</u>	<u>P3LRE</u>	<u>P4LRE</u>	<u>P5LRE</u>	<u>P6LRE</u>
<u>P1LPL</u>	<u>P2LPL</u>	<u>P3LPL</u>	<u>P4LPL</u>	<u>P5LPL</u>	<u>P6LPL</u>
P1LZ	P2LZ	P3LZ	P4LZ	P5LZ	P6LZ
P1LF	P2LF	P3LF	P4LF	P5LF	P6LF
P1LZ*	P2LZ*	P3LZ*	P4LZ*	P5LZ*	P6LZ*
P1UFE	P2UFE	P3UFE	P4UFE	P5UFE	P6UFE
<u>P1URE</u>	<u>P2URE</u>	<u>P3URE</u>	<u>P4URE</u>	<u>P5URE</u>	<u>P6URE</u>
<u>P1UPL</u>	<u>P2UPL</u>	<u>P3UPL</u>	<u>P4UPL</u>	<u>P5UPL</u>	<u>P6UPL</u>
P1UZ	P2UZ	P3UZ	P4UZ	P5UZ	P6UZ
P1UF	P2UF	P3UF	P4UF	P5UF	P6UF
P1UZ*	P2UZ*	P3UZ*	P4UZ*	P5UZ*	P6UZ*
P1VFE	P2VFE	P3VFE	P4VFE	P5VFE	P6VFE
<u>P1VRE</u>	<u>P2VRE</u>	<u>P3VRE</u>	<u>P4VRE</u>	<u>P5VRE</u>	<u>P6VRE</u>

<u>P1VPL</u>	<u>P2VPL</u>	<u>P3VPL</u>	<u>P4VPL</u>	<u>P5VPL</u>	<u>P6VPL</u>
P1VZ	P2VZ	P3VZ	P4VZ	P5VZ	P6VZ
P1VF	P2VF	P3VF	P4VF	P5VF	P6VF
P1VZ*	P2VZ*	P3VZ*	P4VZ*	P5VZ*	P6VZ*
RP1A	RP2A	RP3A	RP4A	RP5A	RP6A
RP1SA	RPS2A	RP3SA	RP4SA	RP5SA	RP6SA
				RP5*A	RP6*A
				RP5*SA	RP6*SA
RP1J	RP2J	RP3J	RP4J	RP5J	RP6J
RP1SJ	RP2SJ	RP3SJ	RP4SJ	RP5SJ	RP6SJ
				RP5*J	RP6*J
				RP5*SJ	RP6*SJ
RP1K	RP2K	RP3K	RP4K	RP5K	RP6K
RP1SK	RP2SK	RP3SK	RP4SK	RP5SK	RP6SK
				RP5*K	RP6*K
				RP5*SK	RP6*SK
RP1L	RP2L	RP3L	RP4L	RP5L	RP6L
RP1SL	RP2SL	RP3SL	RP4SL	RP5SL	RP6SL
				RP5*L	RP6*L
				RP5*SL	RP6*SL
RP1U	RP2U	RP3U	RP4U	RP5U	RP6U
RP1SU	RP2SU	RP3SU	RP4SU	RP5SU	RP6SU
				RP5*U	RP6*U
				RP5*SU	RP6*SU
RP1V	RP2V	RP3V	RP4V	RP5V	RP6V
RP1SV	RP2SV	RP3SV	RP4SV	RP5SV	RP6SV
				RP5*V	RP6*V
				RP5*SV	RP6*SV
RP1AZ	RP2AZ	RP3AZ	RP4AZ	RP5AZ	RP6AZ
RP1AF	RP2AF	RP3AF	RP4AF	RP5AF	RP6AF
RP1AZ*	RP2AZ*	RP3AZ*	RP4AZ*	RP5AZ*	RP6AZ*
RP1JZ	RP2JZ	RP3JZ	RP4JZ	RP5JZ	RP6JZ
RP1JF	RP2JF	RP3JF	RP4JF	RP5JF	RP6JF
RP1JZ*	RP2JZ*	RP3JZ*	RP4JZ*	RP5JZ*	RP6JZ*
RP1KZ	RP2KZ	RP3KZ	RP4KZ	RP5KZ	RP6KZ
RP1KF	RP2KF	RP3KF	RP4KF	RP5KF	RP6KF
RP1KZ*	RP2KZ*	RP3KZ*	RP4KZ*	RP5KZ*	RP6KZ*
RP1LFE	RP2LFE	RP3LFE	RP4LFE	RP5LFE	RP6LFE
<u>RP1LRE</u>	<u>RP2LRE</u>	<u>RP3LRE</u>	<u>RP4LRE</u>	<u>RP5LRE</u>	<u>RP6LRE</u>
<u>RP1LPL</u>	<u>RP2LPL</u>	<u>RP3LPL</u>	<u>RP4LPL</u>	<u>RP5LPL</u>	<u>RP6LPL</u>
RP1LZ	RP2LZ	RP3LZ	RP4LZ	RP5LZ	RP6LZ
RP1LF	RP2LF	RP3LF	RP4LF	RP5LF	RP6LF
RP1LZ*	RP2LZ*	RP3LZ*	RP4LZ*	RP5LZ*	RP6LZ*
RP1UFE	RP2UFE	RP3UFE	RP4UFE	RP5UFE	RP6UFE
<u>RP1URE</u>	<u>RP2URE</u>	<u>RP3URE</u>	<u>RP4URE</u>	<u>RP5URE</u>	<u>RP6URE</u>
<u>RP1UPL</u>	<u>RP2UPL</u>	<u>RP3UPL</u>	<u>RP4UPL</u>	<u>RP5UPL</u>	<u>RP6UPL</u>
RP1UZ	RP2UZ	RP3UZ	RP4UZ	RP5UZ	RP6UZ
RP1UF	RP2UF	RP3UF	RP4UF	RP5UF	RP6UF
RP1UZ*	RP2UZ*	RP3UZ*	RP4UZ*	RP5UZ*	RP6UZ*
RP1VFE	RP2VFE	RP3VFE	RP4VFE	RP5VFE	RP6VFE
<u>RP1VRE</u>	<u>RP2VRE</u>	<u>RP3VRE</u>	<u>RP4VRE</u>	<u>RP5VRE</u>	<u>RP6VRE</u>
<u>RP1VPL</u>	<u>RP2VPL</u>	<u>RP3VPL</u>	<u>RP4VPL</u>	<u>RP5VPL</u>	<u>RP6VPL</u>
RP1VZ	RP2VZ	RP3VZ	RP4VZ	RP5VZ	RP6VZ
RP1VF	RP2VF	RP3VF	RP4VF	RP5VF	RP6VF
RP1VZ*	RP2VZ*	RP3VZ*	RP4VZ*	RP5VZ*	RP6VZ*

Common Stock NAIC Market Indicators:

Insert the NAIC market indicator for such security reported in the *Valuations of Securities*. If this market indicator is not available, the reporting entity should include a market indicator of A, J, K, L, U or V as appropriate.

Following is a listing of the valid codes for common stock.

A	J	K	L	U	V
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QUARTERLY STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SCHEDULE BA – PART 2

**OTHER LONG-TERM INVESTED ASSETS ACQUIRED
AND ADDITIONS MADE DURING THE CURRENT QUARTER**



Column 6 – NAIC Designation

This column must be completed by **Life and Fraternal insurers only**. All other insurers may ignore this column and its instructions.

For Schedule BA investments with the Underlying characteristics of a bond or a preferred stock instrument, insert the NAIC designation, valuation indicator or market indicator as reported in the *Valuations of Securities* or its *Supplement*.

Following is a matrix of the valid combinations of designations and suffixes for bonds.

1	2	3	4	5	6
	2S	3S	4S	5S	6S
				5*S	6*
				5*S	6*S
1FE	2FE	3FE	4FE	5FE	6FE
<u>1RE</u>	<u>2RE</u>	<u>3RE</u>	<u>4RE</u>	<u>5RE</u>	<u>6RE</u>
<u>1PL</u>	<u>2PL</u>	<u>3PL</u>	<u>4PL</u>	<u>5PL</u>	<u>6PL</u>

Following is a matrix of the valid combinations of designations and suffixes for preferred stock.

P1A	P2A	P3A	P4A	P5A	P6A
P1SA	P2SA	P3SA	P4SA	P5SA	P6SA
				P5*A	P6*A
				P5*SA	P6*SA
P1L	P2L	P3L	P4L	P5L	P6L
P1SL	P2SL	P3SL	P4SL	P5SL	P6SL
				P5*L	P6*L
				P5*SL	P6*SL
P1U	P2U	P3U	P4U	P5U	P6U
P1SU	P2SU	P3SU	P4SU	P5SU	P6SU
				P5*U	P6*U
				P5*SU	P6*SU
P1V	P2V	P3V	P4V	P5V	P6V
P1SV	P2SV	P3SV	P4SV	P5SV	P6SV
				P5*V	P6*V
				P5*SV	P6*SV
P1LFE	P2LFE	P3LFE	P4LFE	P5LFE	P6LFE
P1UFE	P2UFE	P3UFE	P4UFE	P5UFE	P6UFE
P1VFE	P2VFE	P3VFE	P4VFE	P5VFE	P6VFE
<u>P1LRE</u>	<u>P2LRE</u>	<u>P3LRE</u>	<u>P4LRE</u>	<u>P5LRE</u>	<u>P6LRE</u>
<u>P1URE</u>	<u>P2URE</u>	<u>P3URE</u>	<u>P4URE</u>	<u>P5URE</u>	<u>P6URE</u>
<u>P1VRE</u>	<u>P2VRE</u>	<u>P3VRE</u>	<u>P4VRE</u>	<u>P5VRE</u>	<u>P6VRE</u>
<u>P1LPL</u>	<u>P2LPL</u>	<u>P3LPL</u>	<u>P4LPL</u>	<u>P5LPL</u>	<u>P6LPL</u>
<u>P1UPL</u>	<u>P2UPL</u>	<u>P3UPL</u>	<u>P4UPL</u>	<u>P5UPL</u>	<u>P6UPL</u>
<u>P1VPL</u>	<u>P2VPL</u>	<u>P3VPL</u>	<u>P4VPL</u>	<u>P5VPL</u>	<u>P6VPL</u>
RP1A	RP2A	RP3A	RP4A	RP5A	RP6A
RP1SA	RP2SA	RP3SA	RP4SA	RP5SA	RP6SA
				RP5*A	RP6*A
				RP5*SA	RP6*SA
RP1L	RP2L	RP3L	RP4L	RP5L	RP6L
RP1SL	RP2SL	RP3SL	RP4SL	RP5SL	RP6SL
				RP5*L	RP6*L
				RP5*SL	RP6*SL
RP1U	RP2U	RP3U	RP4U	RP5U	RP6U
RP1SU	RP2SU	RP3SU	RP4SU	RP5SU	RP6SU
				RP5*U	RP6*U
				RP5*SU	RP6*SU
RP1V	RP2V	RP3V	RP4V	RP5V	RP6V
RP1SV	RP2SV	RP3SV	RP4SV	RP5SV	RP6SV
				RP5*V	RP6*V
				RP5*SV	RP6*SV
RP1LFE	RP2LFE	RP3LFE	RP4LFE	RP5LFE	RP6LFE
RP1UFE	RP2UFE	RP3UFE	RP4UFE	RP5UFE	RP6UFE
RP1VFE	RP2VFE	RP3VFE	RP4VFE	RP5VFE	RP6VFE
<u>RP1LRE</u>	<u>RP2LRE</u>	<u>RP3LRE</u>	<u>RP4LRE</u>	<u>RP5LRE</u>	<u>RP6LRE</u>
<u>RP1URE</u>	<u>RP2URE</u>	<u>RP3URE</u>	<u>RP4URE</u>	<u>RP5URE</u>	<u>RP6URE</u>
<u>RP1VRE</u>	<u>RP2VRE</u>	<u>RP3VRE</u>	<u>RP4VRE</u>	<u>RP5VRE</u>	<u>RP6VRE</u>
<u>RP1LPL</u>	<u>RP2LPL</u>	<u>RP3LPL</u>	<u>RP4LPL</u>	<u>RP5LPL</u>	<u>RP6LPL</u>
<u>RP1UPL</u>	<u>RP2UPL</u>	<u>RP3UPL</u>	<u>RP4UPL</u>	<u>RP5UPL</u>	<u>RP6UPL</u>
<u>RP1VPL</u>	<u>RP2VPL</u>	<u>RP3VPL</u>	<u>RP4VPL</u>	<u>RP5VPL</u>	<u>RP6VPL</u>

If the VOS data file has a designation for a specific Schedule BA investment, that designation must be reported in this column. If the VOS data file does not provide a designation and the investment qualifies for filing exemption, an “FE,” “RE,” “PL” or an “S” must follow the designation reported in this column. For the meaning and applicability of suffixes and designations, please refer to the most recent version of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office Part Three*, Section 43.

The NAIC designation field should be zero-filled for those Schedule BA investments with the underlying characteristics of a bond or a preferred stock instrument which have not been filed with the SVO and do not meet the requirements of Filing Exemption, as well for any other investments reported in the respective “Other” categories (non-fixed income-like BA investments).

Detail Eliminated To Conserve Space