2012 Fall National Meeting  
Washington, DC  

CONTINGENT DEFERRED ANNUITY (A) WORKING GROUP  
Thursday, November 29, 2012  
8:00 – 10:00 a.m.  
Gaylord Convention Center—National Harbor 11—Level 3  

ROLL CALL  

Ted Nickel, Chair  Wisconsin  Bruce R. Ramge/Holly Blanchard  Nebraska  
Suzetta Furlong  Florida  Michael Humphreys  Tennessee  
Jim Mumford  Iowa  Tomasz Serbinowski  Utah  
Jason Lapham  Kansas  

AGENDA  

1. Discuss Draft Recommendations to A Committee —Ted Nickel (WI)  

2. Discuss Comments from Regulators and Interested Parties on Draft Recommendations —Ted Nickel (WI)  


4. Hear Presentations—Ted Nickel (WI)  
   a. Investment Parameters for CDAs—Insurance Industry pending confirmation  
   b. Guaranty Fund Coverage of CDAs—Bill O’Sullivan (National Organization of Life and Health Insurance Guaranty Associations (NOLHGA)  
   c. Financial Industry Regulatory Authority (FINRA) Presentation on Review of Advertising—, Amy Sochard (Director in FINRA Advertising Regulation)  

5. Any Other Matters Brought Before the Working Group—Ted Nickel (WI)  

6. Adjournment  

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