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From: Baker, Lynette

Sent: Monday, June 22, 2009 8:30 PM

To: Helder, Randy

Subject: RE: NAIC NOTICE - Level 1 and Level 2 Referral to CADs -
Comment by July 3

Randy,

It seems to me that it is a big leap from choosing "We will contact the Collaborative Action Designee (CAD) of other states with similar concerns regarding possible collaborative activity." to giving directions on what is required for a MAWG referral when the analyzing state isn't even sure they will be referring it to MAWG until after they talk to the other states. And the Subject line of "MAWG Agenda" does make it sound like the referral is an already done deal.

Could the subject line say something like "Possible Collaborative Action under consideration" and the e-mail notification say:

On [date], [company (cocode)] was recommended to be reviewed for a possible collaborative action through a Level "X" Analysis Review focused on [year] [LOB(s)] information. If a collaborative action is warranted, a referral will be made to the Market Actions (D) Working Group (MAWG) for review by [state]. To complete the referral process, the MAWG procedures require that the MAWG referral form (available on StateNet) be completed by the referring state's Collaborative Action Designee and forwarded to the NAIC at mawg@naic.org.

The rationale for the state's decision is listed below:

Due to the findings

No action is required at this time. You are receiving this e-mail because you are either the Market Analysis Chief (MAC) for the review state or the Collaborative Action Designee (CAD) of the review or domiciliary state. If you have questions or concerns, please contact the NAIC Market Analysis Team at mawg@naic.org.