

Market Conduct Examination Standards (D) Working Group

October 8, 2009

2:30 pm Eastern/1:30 pm Central/12:30 pm Mountain

11:30 am Pacific/10:30 am Alaska

Conference Call

ROLL CALL

Bruce Ramge, Chair	Nebraska	G. Kent Dover, Jr.	New Hampshire
Jim Mealer, Vice Chair	Missouri	Anne Marie Narcini	New Jersey
Paula Sisneros	Colorado	Tracy Miller Biehn	North Carolina
Luther Ellis	District of Columbia	Lynette Baker	Ohio
Jim Bennett and Charles Kelley	Florida	Peter Camacci and Daniel Stemcosky	Pennsylvania
Mark Ossi and Debra Peirce	Georgia	Gregory Lee	Virginia
Laura Moore and Bob Rutledge	Kentucky	Leslie Krier	Washington
Ron Musser	Louisiana	Mark Hooker and Tom Whitener	West Virginia
Dudley Ewen	Maryland	Sue Ezalarab and Jo LeDuc	Wisconsin
Paul Hanson	Minnesota		

AGENDA

1. Review and discuss Colorado's 6/24/09 e-mail and 9/1/09 draft of revised Chapter 18—Conducting the Title Insurance Company and Title Insurance Agent Examination —Andy Helm
2. Review and discuss New Jersey's 6/23/09 e-mail and 6/23/09 draft Chapter 17—Conducting the Property and Casualty Examination (contains addition of NAIC Workers' Compensation Model #1970 and additional claim adjuster licensing records review procedures) —Anne Marie Narcini
 - WV 9/29/09 additional Workers' Comp revisions to NJ's 6/23/09 draft Chapter 17 —Mark Hooker
 - WV 9/29/09 Workers' Comp revisions to Chapter 16—General Examination Standards —Mark Hooker
3. Review and discuss Ohio's three risk-focused (corporate governance) documents —Lynette Baker
 - 6/24/09 OH Risk-Focused Approach to Market Regulation (Forwarded to Market Analysis Procedures (D) Working Group)
 - 6/24/09 OH Risk-Focused Market Conduct Examinations (Forwarded to Market Analysis Procedures (D) Working Group)
 - 6/24/09 OH Risk-Focused History Draft for Chapter 8—Examination Introduction
 - FL 9/25/09 Comments —Barb Szumowski
(Comments regarding the Risk-Focused Market Conduct Examinations document)
 - VA 10/01/09 Comments —Greg Lee
(Comments regarding the Approach to Market Regulation document, the History Draft for Chapter 8 document and the 11/18/08 Corporate Governance Concept Paper)
4. Review and discuss areas of missing text in the *Market Regulation Handbook* — Bruce Ramge
 - MO's Revisions to Chapter 19, Marketing and Sales Standard 9
 - NJ's Revisions to Chapter 24, Placement, Cancellation & Nonrenewal Standard 4
5. Any other matters brought before the Working Group