

REQUEST FOR ESTIMATE

This form is intended for the Valuation of Securities (VOS) Task Force and any of its Working Groups to receive an estimate of the effort involved in implementing the initiative and to determine any technological concerns prior to passing an initiative.

This form is not intended to be the single source of information necessary to estimate the initiative effort. It is contemplated that further discussions will be required to gather project specifications that will result in estimated cost, effort and delivery.

Please allow 4-6 weeks prior to voting. Thank you.

Regulator contact information:

Name:

Phone:

Email:

Regulatory group represented:

Brief description of the initiative (or attach any documentation of the initiative):

Parties that might be impacted by the implementation of the initiative (please check all that might apply):

- Regulatory community
- Insurance companies/TPAs
- SVO
- Other business units at the NAIC
- Vendors (please specify below)
- Other (please specify below)

Products or services that might be impacted by the implementation of the initiative (please check all that might apply):

- SVO P&P Manual
- SVO internal procedures
- Security data (ratings, pricing, characteristics)
- Period-ending processing procedures
- Data provided to filers at Year-end (AVS / VOS ROM)
- Other (please specify below)

Date the regulatory group will vote on this initiative:

Date the initiative is expected to go into effect:

Please send your completed form to Donna Powers at dpowers@naic.org or Rodney Cornish at rcornish@naic.org.