



Amendments to the Insurance Holding Company System Regulatory Act and Regulation Webinar

February 16, 2:00-3:30 p.m. • February 21, 2:00-3:30 p.m.

The NAIC's joint Executive Committee/Plenary adopted amendments to the Insurance Holding Company System Regulatory Act (#440) and Insurance Holding Company System Model Regulation with Reporting Forms and Instructions (#450) in December 2010. These models set standards for insurers within an insurance holding company system and for regulating transactions between insurance legal entities and other affiliated entities.

The 2010 amendments are timely in that they address important disclosures regarding enterprise risk that may exist within holding company systems, particularly risks identified during this most recent economic downturn. Substantially similar elements of the previous models are currently adopted in 51 jurisdictions and are an accreditation standard. This webinar will be of interest to anyone who desires to understand the background, nature and potential impact of the revisions.

The Insurance Holding Company System Regulatory Act (#440) and Insurance Holding Company System Model Regulation with Reporting Forms and Instructions (#450) are available for purchase through the NAIC's Publications Department. They are not required in order to participate in the webinar.

As a result of instruction, you will be able to:

- Identify the key areas revised within the Model Act and Regulation.
- Explain the background for why key revisions were adopted.
- Describe elements of certain revisions, including amendments related to affiliate agreements, supervisory colleges, corporate governance, notification of divestiture of controlling interests, confidentiality considerations and enterprise risk.



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National Association of Insurance Commissioners

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Tuition

Tuition is \$120 for State Insurance Department Staff and \$240 for all others. If you are participating as part of a group, please note this on the registration form. **Each person participating must be individually enrolled. Tuition is per webinar, per person.**

The Insurance Holding Company System Regulatory Act (#440) and Insurance Holding Company System Model Regulation with Reporting Forms and Instructions (#450) are not included with course materials, but are available for purchase. To purchase the models, please contact our publications department at 816-783-8300 or prodserv@naic.org.

Delivery Method: Group Internet-Based
Program Level: Update

Prerequisites: None
Advanced Preparation: None

Continuing Education

Certificates of completion are sent upon request and based on attendance. One and a half (1.5) CPE credits will be listed on continuing education materials for this webinar session. Partial credit is not awarded.

Cancellations

Withdrawals for full refund are permitted up to three weeks before the beginning date of the webinar; cancellations after this date will result in no refund. Notification of cancellation must be received in writing. If issued, refunds will be mailed after the completion of the webinar series. Substitutions are accepted up to one week before the webinar begins. For more information regarding refund, complaint and/or program cancellation policies, please contact our offices at 816-783-8200.

REGISTER online at education.naic.org or complete and return the registration form below. Space is limited so you are encouraged to register early. You will be contacted via e-mail with confirmation of your registration. **Each person participating in the webinar must be individually enrolled.**

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FAX TO:
(816) 460-7544

MAIL TO:
NAIC Education & Training
Post Office Box 870335
Kansas City, MO 64187-0335

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- I am participating as part of a group. *Note: each person in the group must be individually enrolled.*

Tuition

- \$120 State Insurance Department Staff
- \$240 All Others

Payment Method

- Check or Money Order payable to the NAIC (Payment must accompany registration form)
- Zone Funds (State Ins Dept Staff only)
- Grant Funds (State Ins Dept Staff only)
- Scholarship (State Ins Dept Staff only)
- Credit Card (We will contact you by phone to obtain payment information)