

UNIFORM CERTIFICATE OF AUTHORITY APPLICATION

ADDRESSES AND CONTACTS FOR SUBMISSION OF APPLICATION

Submit your application to the address listed in the chart below (left side). State Department contact information provided in the chart below (right side).

Updates to the state-specific information will be noted with a “✓” next to the state name.

State	Mailing Address	Contact Information
AL	<p>Alabama Department of Insurance P.O. Box 303351 Montgomery, AL 36130</p> <p>Or overnight delivery to: 201 Monroe Street, Suite 1700 Montgomery, AL 36104</p>	<p><u>Primary & Expansion Apps:</u> Sean Duke (334) 241-4165 Sean.Duke@insurance.alabama.gov</p> <p>Richard L. Ford (334) 241-4151 Richard.Ford@insurance.alabama.gov</p> <p><u>Corporate Amendments Apps:</u> Ann Strickland (334) 241-4154 Ann.Strickland@insurance.alabama.gov</p>
AK	<p>Primary & Expansion Apps: Alaska Division of Insurance Insurance Financial Examiner 550 West 7th Avenue, Suite 1560 Anchorage, AK 99501-3567</p> <p><u>Standard or Overnight Delivery</u> Use the above address for Anchorage Corporate Amendments App: Alaska Division of Insurance Financial Reports and Audits Section P.O. Box 110805 Juneau, AK 99811-0805 or <u>Overnight Delivery</u> 9th Floor, State Office Building 333 Willoughby Juneau, AK 99801</p>	<p><u>Primary & Expansion Apps:</u> Douglas Hartman (907) 269-7906 douglas.hartman@alaska.gov</p> <p><u>Corporate Amendments Apps:</u> Jeff Bodine (907) 465-4609 jeff.bodine@alaska.gov</p>
AZ	<p>Arizona Department of Insurance Financial Affairs Division 2910 North 44th Street, Suite 210 Phoenix, AZ 85018</p>	<p>Cary W. Cook Chief Financial Compliance Officer (602) 364-3986 ccook@azinsurance.gov</p>
AR	<p>Arkansas Insurance Department Finance Division 1200 West Third Street Little Rock, AR 72201-1904</p>	<p><u>Primary & Expansion Apps and For Adding and Deleting Lines of Business only:</u> Kimberly S. Johnson, Finance Division (501) 371-2680 kimberly.johnson@arkansas.gov</p> <p><u>Corporate Amendment Apps:</u> Legal Division (501) 371-2820 Lorraine.rowland@arkansas.gov</p>

State	Mailing Address	Contact Information
✓ CA	California Department of Insurance Corporate Affairs Bureau 45 Fremont Street, 24th Floor San Francisco, CA 94105	Pacita Ganzon (415) 538-4442 Pacita.ganzon@insurance.ca.gov Imelda Siruno (415) 538-4451 Imelda.siruno@insurance.ca.gov
CO	Colorado Division of Insurance 1560 Broadway, Suite 850 Denver, CO 80202	<u>Primary & Expansion Apps:</u> Cindy Hathaway Director, Corporate Affairs (303) 894-7836 cindy.hathaway@state.co.us <u>Corporate Amendments Apps:</u> Jacline Nguyen Financial Examiner II 303.894.7475 Jacline.Nguyen@state.co.us
CT	State of Connecticut Insurance Department Financial Regulations Division P.O. Box 816 Hartford, CT 06142-0816 Or overnight delivery to: 153 Market Street Hartford, CT 06103	<u>Company Licensing Section</u> (860) 297-3814 ctinsdept.financial@po.state.ct.us
✓ DE	Delaware Department of Insurance 841 Silver Lake Boulevard Dover, DE 19904	<u>Primary & Expansion and Corp. Amendments Apps:</u> Attn: BERG (302) 674-7330 berg@state.de.us
DC	Department of Insurance and Securities Regulation Attn: Denise Parker 810 First Street, NE, Suite 701 Washington, DC 20002	Denise Parker, Insurance Licensing Specialist (202) 442-7815 denise.parker@dc.gov Nathaniel Brown, Supervisory Ins. Examiner (202) 442-7785 nathaniel.brown@dc.gov
FL	<u>Primary, Expansion & Corporate Amendment Apps:</u> Office of Insurance Regulation Applications Coordination Section 200 East Gaines Street Tallahassee, Florida 32399-0326 appcoord@flor.com	Contact: Gwen Chick Applications Coordinator (850) 413-2570 appcoord@flor.com
	*Applications are required to be submitted electronically; either by utilizing the UCAA Electronic Application or by submitting directly through the Office's <u>Industry Portal</u> select iApply-Online Company Admissions.	
✓ GA	Georgia Department of Insurance Division of Insurance and Financial Oversight 2 Martin Luther King, Jr. Drive Suite 604, West Tower Atlanta, Georgia 30334	<u>Primary & Expansion Apps and For Adding and Deleting Lines of Business only:</u> Kimnese Abdul-Salaam Senior Financial Analyst Kabdul-salaam@oci.ga.gov <u>Corporate Amendment Apps:</u> Bruce Williamson bwilliamson@oci.ga.gov

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HI	<p><u>Primary, Expansion, and Corporate Amendment Applications:</u> State of Hawaii, Insurance Division Department of Commerce and Consumer Affairs Certification and Agency Examination Section P. O. Box 3614 Honolulu, Hawaii 96811-3614</p> <p>Or overnight delivery to: State of Hawaii, Insurance Division Department of Commerce & Consumer Affairs Attn: Certification & Agency Examination Section 335 Merchant Street, Room 213 Honolulu, Hawaii 96813</p>	<p><u>Expansion & Primary Apps:</u> Sally D. Bautista, Insurance Examiner Phone: (808) 586-7414 Fax: (808) 586-3873 Email: SBautista@dcca.hawaii.gov</p> <p>Andrew Chow, Insurance Examiner Phone: (808) 586-8150 Fax: (808) 586-3873 Email: achow@dcca.hawaii.gov</p> <p><u>Corporate Amendment Apps:</u> Frances Lo Phone: (808) 586-3870 Fax: (808) 586-3873 Email: flo@dcca.hawaii.gov</p>
ID	<p>Idaho Department of Insurance Attn: Carol Anderson 700 W. State Street, 3rd Floor Boise, Idaho 83720-0043</p>	<p><u>Primary & Expansion Apps and For Adding and Deleting Lines of Business:</u> Carol Anderson Technical Records Specialist (208) 334-4309 carol.anderson@doi.idaho.gov</p> <p><u>Corporate Amendments App:</u> Marie Pap Technical Records Specialist (208) 334-4311 marie.pap@doi.idaho.gov</p>
IL	<p>Illinois Department of Insurance 320 West Washington Springfield, IL 62767</p>	<p>Amy Stuart (Life & Health) (217) 782-9694 Amy.stuart@illinois.gov</p> <p>Marcy Savage (P&C) (217) 524-0016 Marcy.Savage@illinois.gov</p>
✓IN	<p>Indiana Department of Insurance Financial Services Division 311 West Washington Street, Suite 300 Indianapolis, IN 46204-2787</p>	<p><u>Primary</u> Meghann Warner (317)-232-1994 mewarner@idoi.in.gov</p> <p><u>Expansion Apps:</u> Meghann Warner (317)-232-1994 mewarner@idoi.in.gov</p> <p><u>Corporate Amendment Apps:</u> Janet Scott (317) 232-5692 Jscott1@idoi.in.gov</p> <p><u>Deposits</u> Lindsay Gay (317) 232-2383 lgay@idoi.in.gov</p>
IA	<p>Iowa Insurance Division Attn: Chris Chepsoi, Examiner Two Ruan Center 601 Locust, 4th Floor Des Moines, IA 50309-3738</p>	<p>Chris Chepsoi, Insurance Company Examiner Senior (515) 281-4423 chris.chepsoi@iid.iowa.gov</p>

State	Mailing Address	Contact Information
✓KS	Kansas Insurance Department 420 SW 9th Street Topeka, KS 66612-1678	<p><u>Primary & Expansion Apps:</u> Pat Mulvihill Admissions Coordinator (785) 296-5350 patrick.mulvihill@ks.gov</p> <p><u>Deposit Administration:</u> Sarah K. Smith Financial Analyst (785) 296-7819 sarah.smith@ks.gov</p> <p>Pat Mulvihill Admissions Coordinator (785) 296-5350 patrick.mulvihill@ks.gov</p> <p><u>Corporate Amendments App:</u> Licia Haverkamp Compliance Analyst (785) 296-7062 licia.haverkamp@ks.gov</p>
KY	Kentucky Department of Insurance Financial Standards & Examination Division PO Box 517 Frankfort, KY 40602-0517	<p><u>Primary and Expansion App's.</u> Susan Perkins, Adm. Coordinator Financial Standards and Examination Division (502) 564-6082 ext. 25258 (800) 595-6053 (in state only) Susan.Perkins@ky.gov</p> <p><u>Corporate Amendments App:</u> Gina Metts Financial Standards and Examination Division (502) 782-5298 gina.metts@ky.gov</p>
LA	Louisiana Department of Insurance P.O. Box 94214 Baton Rouge, LA 70804-9214 Or overnight delivery to: 1702 3 rd Street Baton Rouge, LA 70802	<p><u>Primary & Expansion Apps:</u> Tangela Byrd, Director Office of Licensing Company Licensing Division (225) 342-5972 Fax: (225) 219-9322 tbyrd@ldi.la.gov</p> <p><u>Expansion & Corporate Amendments Apps:</u> Tangela Byrd, Director Office of Licensing Company Licensing Division (225) 342-5972 Fax: (225) 219-9322 tbyrd@ldi.la.gov</p>

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ME	<p>Maine Bureau of Insurance 34 State House Station Augusta, ME 04333-0034</p> <p>Overnight/Physical delivery to: Bureau of Insurance 76 Northern Avenue Gardiner, ME 04345</p>	<p><u>Primary Applications:</u> Vanessa Leon, Director of Financial Regulation (207) 624-8452 Vanessa.j.leon@maine.gov</p> <p><u>Expansion Applications:</u> Lauri Cooper, Senior Examiner (207) 624-8464 laurelyn.s.cooper@maine.gov</p> <p><u>Corporate Amendment Applications:</u> Lauri Cooper, Senior Examiner (207) 624-8464 laurelyn.s.cooper@maine.gov</p>
✓MD	<p>Maryland Insurance Administration Company Licensing Unit 200 St. Paul Place, Suite 2700 Baltimore, Maryland 21202</p>	<p>Victoria Claros Director of Company Licensing (410) 468-2134 Victoria.claros@maryland.gov</p>
MA	<p>Commonwealth of Massachusetts Division of Insurance Robert C. Macullar, Director of Financial Surveillance and Insurance Licensing 1000 Washington Street, Suite 810 Boston, MA 02118-6200</p>	<p><u>Primary/Expansion/Corp. Amendment Apps:</u> Robert C. Macullar Director, Financial Surveillance and Insurance Licensing (617) 521-7398 Robert.Macullar@state.ma.us</p>
MI	<p>Department of Insurance and Financial Services Office of Insurance Evaluation Mason Building, 7th Floor 530 W. Allegan Street Lansing, MI 48933 or P.O. Box 30220 Lansing, MI 48909-7720</p>	<p>Linda L. Martin (517) 284-8756 martinl@michigan.gov</p>
✓MN	<p>Minnesota Department of Commerce Insurance Division Jill Kimes 85 7th Place East, Suite 280 St. Paul, MN 55101-2198</p>	<p>Jill Kimes Application Coordinator (651) 539-1760 Fax: (651) 539-1550 jill.kimes@state.mn.us</p>
MS	<p>Mississippi Insurance Department P.O. Box 79 Jackson, MS 39205-0079</p> <p>Or overnight delivery to: 1001 Woolfolk State Office Building 501 N. West Street Jackson, MS 39201</p>	<p><u>Primary & Expansion Apps:</u> Chad T. Bridges, CFE Chief Examiner (601) 359-2136 Chad.Bridges@mid.ms.gov</p> <p><u>Corp. Amendments Apps:</u> Nancy Cross (601) 359-3571 Nancy.Cross@mid.ms.gov</p>
MO	<p>DIFP Rm 530, HST Bldg. 301 W. High Street Jefferson City, MO 65101</p>	<p>Cindy Monroe Admissions Specialist (573) 751-4362 Cynthia.Monroe@insurance.mo.gov</p>
MT	<p>State of Montana Insurance Department 840 Helena Avenue Helena, MT 59601</p>	<p>Russ Ehman (406) 444-4350 Fax (406) 444-3497 rehman@mt.gov</p> <p><u>Deleting Lines of Business and Foreign Withdrawal</u> Cheryl Donovan (406) 444-4517 cdonovan@mt.gov Montana Insurance Department 840 Helena, MT 59601</p>

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NE	Nebraska Department of Insurance Financial Examination Division Attn: Kristy Hadden 941 "O" Street, Suite 400 Lincoln, NE 68508-3639	<u>Primary & Expansion Apps:</u> Kristy Hadden Company Administrator (402) 471-0373 Kristy.hadden@nebraska.gov <u>Corporate Amendments Apps:</u> Lori Bruss Staff Assistant II (402) 471-4045 lori.bruss@nebraska.gov
✓ NV	Department of Business and Industry Nevada Division of Insurance Corporate & Financial Affairs 1818 East College Pkwy, Suite 103 Carson City, NV 89706	<u>Primary & Expansion Applications</u> Laura O'Connor (775) 687-0745 loconnor@doi.nv.gov <u>Corporate Amendment Applications</u> Kathy Lamb (775) 687-0753 klamb@doi.nv.gov <u>Special Deposits:</u> Denise Costello (775) 687-0741 dcostello@doi.nv.gov
✓ NH	Financial Regulation Division New Hampshire Insurance Department 21 S. Fruit St., Suite 14 Concord, NH 03301	<u>Primary & Expansion Applications</u> Mary Verville, Senior Financial Analyst 603-271-2807 Mary.Verville@ins.nh.gov <u>Corporate Amendment Applications</u> Diane Cygan, Financial Records Auditor 603-271-2528 Diane.Cygan@ins.nh.gov
NJ	Non Life/Health New Jersey Department of Banking and Insurance Attn: Kwame Asare P.O. Box 325 Trenton, NJ 08625-0325 Life/Health New Jersey Department of Banking and Insurance Attn: Mary Pesce P.O. Box 325 Trenton, NJ 08625-0325 Or overnight delivery to: 20 West State Street, 10 th Floor Trenton, NJ 08608	<u>Non Life/Health</u> Attn: Kwame Asare (609) 292-5350 ext. 50068 Kwame.Asare@dobi.nj.gov <u>Life/Health</u> Mary Pesce (609) 292-5350 ext. 50486 Mary.Pesce@dobi.nj.gov <u>All Corporate Amendments Applications</u> Kwame Asare (609) 292-5350 ext. 50068 Kwame.Asare@dobi.nj.gov
NM	Office of Superintendent of Insurance Company Licensing Bureau P.O. Box 1689 Santa Fe, NM 87504-1689 Or overnight delivery to: 1120 Paseo de Peralta, Room 439 Santa Fe, NM 87501	Victoria A. Baca Company Licensing Bureau (505) 827-4438 Victoriaa.baca@state.nm.us Ursula Almada Company Licensing Bureau (505) 827-4524 Ursula.almada@state.nm.us

State	Mailing Address	Contact Information
NY	<p>State of New York Department of Financial Services Attn: Property Bureau One Commerce Plaza Albany, NY 12257</p> <p>State of New York Department of Financial Services Attn: (Life, Health) Bureau* One State Street New York, NY 10004</p> <p><u>Submit an additional original to:</u> Office of General Counsel State of New York Department of Financial Services One Commerce Plaza Albany, NY 12257</p> <p>* Submit to attention of proper bureau for the application business type.</p>	<p><u>Property</u> Brian Glaab (518)474-6628 Brian.glaab@dfs.ny.gov</p> <p><u>Life</u> Fred Bodinger (212) 480-4912 Fred.bodinger@dfs.ny.gov</p> <p><u>Health</u> Warren Youngs (212) 480-3883 Warren.youngs@dfs.ny.gov</p>
✓NC	<p><u>Primary/ Expansion/ Corporate Amendments Apps:</u> North Carolina Department of Insurance Financial Evaluation Division 1201 Mail Service Center Raleigh, NC 27699-1201</p> <p>Or overnight delivery to: 430 N. Salisbury Street Raleigh, NC 27603</p> <p><u>Corporate Amendments:</u> North Carolina Department of Insurance Financial Evaluation Division 1203 Mail Service Center Raleigh, NC 27699-1203</p> <p>Or overnight delivery to: 401 Glenwood Street Raleigh, NC 27603</p> <p>*The NC Department of Insurance is scheduled to move in Feb. 2017; as such please the DOI website at http://www.ncdoi.com before overnighting filings to our physical address</p>	<p><u>Primary & Expansion Apps:</u> Attn: Anne Morgan Company Admissions Officer (919) 807-6603 anne.morgan@ncdoi.gov</p> <p><u>Corporate Amendments:</u> Attn: Brenda Young Corporate Records Administrator (919) 807-6164 brenda.young@ncdoi.gov</p>
ND	<p>North Dakota Insurance Department Company Licensing 600 E. Boulevard Ave, Dept. 401 Bismarck, ND 58505</p>	<p>Yvonne T. Keniston Company Licensing Specialist North Dakota Insurance Department 600 East Boulevard Ave., Dept. 401 Bismarck, ND 58505-0320</p>
OH	<p>Ohio Department of Insurance Office of Risk Assessment 50 W. Town Street, Suite 300 Columbus, OH 43215</p>	<p><u>Primary & Expansion Apps:</u> Cameron Piatt Assistant Chief-Taxes, Admissions and Foreign Analysis Office of Risk Assessment (614)728-1074 Cameron.Piatt@insurance.ohio.gov</p> <p><u>Corporate Amendment Apps:</u> Office of Risk Assessment (614) 644-2647 risk.assessment@insurance.ohio.gov</p>

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✓OK	Primary and Expansion Applications – Fee \$1,160 Oklahoma Insurance Department Attn: Financial Division 3625 N.W. 56th Street, Suite 100 Oklahoma City, OK 73112-4511 Division Phone: 405-521-6648 Division Fax: 405-522-2640 or 405-522-4160 Contact Updates – No fee UCAA Form 14 – Please file electronically through the amendment application portal.	<u>Primary/Expansion Applications</u> Holly Mills Financial Analyst (405) 522-5392 holly.mills@oid.ok.gov <u>Corporate Amendments/Statutory Deposits</u> Cody Faas Financial Analyst (405) 521-6648 Fax (405) 522-2640 cody.faas@oid.ok.gov
✓OR	Oregon Department of Consumer & Business Services Division of Financial Regulation 350 Winter Street NE Salem, Oregon 97301-3883	Lauren Bodine (503) 947-7225 Lauren.N.Bodine@oregon.gov
PA	Pennsylvania Insurance Department Company Licensing Division 1345 Strawberry Square Harrisburg, PA 17120	Ins. Co. Licensing Specialist, Company Licensing Division Cressinda Bybee (717) 783-2144 cbybee@pa.gov or ra-in-company@pa.gov
PR	B5 C/Tabonuco Suite 216 PMB 356 Guaynabo, PR 00968-3020	Primary, Expansion and Corporate Amendments Apps: Mrs. Glorimar Santiago Interim Director Admissions and Financial Analysis Division (787)304-4400 gsantiago@ocs.gobierno.pr
✓RI	RI Insurance Division 1511 Pontiac Avenue, Bldg. 69-2 Cranston, RI 02920	<u>Primary Apps:</u> Jack Broccoli Associate Director Rhode Island Insurance Division (401)462-9606 Jack.broccoli@dbr.ri.gov <u>Expansion Apps:</u> Julie Savoie (401) 462-9566 Julie.Savoie@dbr.ri.gov <u>Corporate and Expansion Apps:</u> Debra Almeida (401) 462-9452 Debra.almeida@dbr.ri.gov
SC	South Carolina Department of Insurance Michael Shull, Chief Financial Analyst 1201 Main St., Suite 1000 Columbia, SC 29201 Or: P.O. Box 100105 Columbia, SC 29202-3105	Michael Shull Chief Financial Analyst (803) 737-6188 mshull@doi.sc.gov
SD	South Dakota Division of Insurance 124 S. Euclid Ave, 2 nd FL Pierre, SD 57501	Patsy Madsen Program Assistant 1 (605) 773-3563 Patsy.madsen@state.sd.us

State	Mailing Address	Contact Information
TN	Tennessee Department of Commerce and Insurance Attn: Phil Adams 500 James Robertson Parkway 7 th Floor, Analytical Section Nashville, TN 37243-0576	Phil Adams (615) 741-6152 Phil.adams@tn.gov
✓TX	Texas Department of Insurance Company Licensing and Registration MC 103-CL 333 Guadalupe Austin, TX 78701	Company Licensing and Registration Office (512) 676-6385 General Inquiries: CompanyLicense@tdi.texas.gov Submit Filings to: CLRFilings@tdi.texas.gov or via UCAA electronic application process
✓UT	Utah Insurance Department Examination Division 3110 State Office Building Salt Lake City, UT 84114	Eric C. Showgren Manager Company Licensing eshowgren@utah.gov (801) 537-9174 Jay Sueoka Company Licensing Analyst jsueoka@utah.gov (801) 538-3814 Dava Neal (801) 538-3812 dneal@utah.gov
VT	Department of Financial Regulation, Insurance Division Company Licensing Section 89 Main Street Montpelier, VT 05620	Company Licensing Section (802)828-2470 Dfr.complic@vermont.gov
✓VA	Virginia State Corporation Commission Bureau of Insurance P.O. Box 1157 Richmond, VA 23218 Or Overnight Delivery to: Tyler Building 1300 E. Main Street Richmond, VA 23219	<u>Primary & Expansion Apps:</u> Jim Ware Principal Insurance Financial Analyst Company Licensing & Regulatory Compliance (804) 371-9801 Jim.Ware@scc.virginia.gov <u>Corporate Amendments Apps:</u> Gayle Henderson Office Supervisor (804) 371-9869 Gayle.Henderson@scc.virginia.gov
✓WA	Washington State Office of the Insurance Commissioner P.O. Box 40255 Olympia, WA 98504-0255 Or Overnight delivery to: 5000 Capitol Blvd. SE Tumwater, WA 98501	<u>Primary & Expansion Apps:</u> Ron Pastuch (360) 725-7211 RonP@oic.wa.gov <u>Corporate Amendments Apps:</u> Susan Miller (360) 725-7212 SusanM@oic.wa.gov
WV	WV Offices of the Insurance Commissioner Financial Conditions Division P.O. Box 50540 Charleston, WV 25305-0540	Financial Conditions Division (304) 558-2100 oicfinancialconditions@wv.gov
✓WI	Office of the Commissioner Of Insurance P.O. Box 7873 Madison, WI 53707-7873 Or Overnight delivery to: Office of the Commissioner of Insurance 125 South Webster Street Madison, WI 53703	<u>Primary and Expansion Applications:</u> Michael Mancusi-Ungaro (608) 267-4555 Michael.MancusiUngaro@wisconsin.gov <u>Corporate Amendments Applications:</u> Suzanne Vinmans (608) 266-9891 suzanne.vinmans@wisconsin.gov

State	Mailing Address	Contact Information
WY	Wyoming Dept. of Insurance 106 East 6 th Avenue Cheyenne, WY 82002	<p><u>Primary & Expansion Apps:</u> Tammy Higgins Financial Specialist (307) 777-7318 tammy.higgins@wyo.gov</p> <p>Linda Johnson Chief Examiner (307) 777-5619 linda.johnson@wyo.gov</p> <p><u>Corporate Amendments Apps:</u> Roxanne Johnson Administrative Specialist (307) 777-7401 roxanne.johnson@wyo.gov</p> <p>Linda Johnson Chief Examiner (307) 777-5619 linda.johnson@wyo.gov</p>