

# UNIFORM CERTIFICATE OF AUTHORITY APPLICATION

## FILING FEES – PRIMARY AND EXPANSION APPLICATIONS

The following table shows the fees charged by each state for filing either a Primary or Expansion Uniform Application. Please note that, due to retaliatory statutes, the ultimate amount of fees you pay in any state may be more than the amount indicated below.

**✓ = This information has been updated by the state department of Insurance**

State	Filing Fees	Payee	Instructions
AL	\$1,005 or retaliatory, whichever is greater, due upon <u>approval</u> . \$1,750 nonrefundable application examination fee due at filing.	Alabama DOI P.O. Box 303351 Montgomery, AL 36130	Mail \$1,750 check with application. Richard L. Ford (334) 241-4151 <a href="mailto:richard.ford@insurance.alabama.gov">richard.ford@insurance.alabama.gov</a>
AK	\$2,250 or retaliatory, whichever is greater.	Division of Insurance State of Alaska 550 West 7 <sup>th</sup> Avenue, Suite 1560 Anchorage, AK 99501-3567	Mail check with application.
AZ	Primary Application: \$270.00 Application fee \$100.00 Arizona Examiners' Revolving Fund deposit \$22.00 per individual for fingerprint card processing fee Expansion Application: \$570.00 Application Fee \$100.00 Arizona Examiners' Revolving Fund deposit \$175.00 Articles of Incorporation filing fee	Arizona Department of Insurance Arizona Examiners' Revolving Fund Arizona Department of Insurance  Arizona Department of Insurance Arizona Examiners' Revolving Fund Arizona Corporation Commission	Arizona Department of Insurance Financial Affairs Division 2910 North 44 <sup>th</sup> Street, Suite 210 Phoenix, AZ 85018
AR	\$1,000 or retaliatory, whichever is greater	Arkansas DOI Finance Division 1200 West Third Little Rock, AR 72201-1904	Kimberly S. Johnson Insurance Examiner (501) 371-2680 <a href="mailto:kimberly.johnson@arkansas.gov">kimberly.johnson@arkansas.gov</a>
CA	Primary App: \$4,233 Expansion App: \$4,233	Insurance Commissioner State of CA Corp. Affairs Bureau 45 Fremont Street, 24 <sup>th</sup> Floor San Francisco, CA 94105	
CO	\$500 nonrefundable processing fee	Payable to: Colorado Division of Insurance	Mail checks with application.

State	Filing Fees	Payee	Instructions
CT	\$220 for filing all documents prerequisite to the issuance of a license. Health Care Centers \$1,350 (Primary Apps only)	Treasurer – State of Connecticut	Fee should NOT be included with the application. An invoice will be sent to the applicant for appropriate filing fee.
DE	\$700 (or retaliatory, whichever is greater) for filing application for initial Certificate of Authority, including all documents submitted as part of such application.  \$100 (or retaliatory, whichever is greater) issuance fee for the Certificate of Authority, if application is approved.	Make checks payable to:  Delaware Department of Insurance 841 Silver Lake Boulevard Dover, DE 19904	
DC	\$500	Make checks payable to: District of Columbia Treasurer  Mail Fees To: c/o DC Treasurer, P.O. Box 92180 Washington, DC 20092	Denise Parker Insurance Licensing Specialist (202)442-7815 <a href="mailto:denise.parker@dc.gov">denise.parker@dc.gov</a>  Mail Correspondence To: Department of Insurance and Securities Regulation 810 First Street, Suite 701 Washington, DC 20002
FL	Primary* & Expansion App: \$1,500 Filing Fee \$1,000 Company License Tax.  Primary App: \$25 Permit Filing Fee  *If a redomestication and company is already licensed in Florida and license tax is current, \$1,500 filing fee only.	Make checks payable and mail directly to: Department of Financial Services P.O. Box 6100 Tallahassee, Florida 32314-6100	Applicants are required to include the following codes on each check:  Primary & Expansion App: \$1,500 Filing Fee Codes: B/T-C, TY/CL-10/06, F/T-F \$1,000 Company License Tax Codes: B/T-C, TY/CL-10/30, F/T-L Primary App: \$25 Permit Filing Fee Codes: B/T-C, TY/CL-10/08, F/T-F
GA	\$600 or retaliatory fee, whichever is greater	Georgia DOI Suite 604, West Tower 2 MLK, Jr. Drive Atlanta, GA 30334	Application fee should accompany application.

State	Filing Fees	Payee	Instructions
HI	<p>Effective May 28, 2015 Initial Application Fee \$900 (due at time of application)</p> <p>C/A Issuance Fee \$600 Service Fee for current year \$600 (due before issuance of C/A)</p>	<p>Make check payable to: Department of Commerce and Consumer Affairs.</p>	<p><u>Contacts:</u></p> <p>Sally D. Bautista, Insurance Examiner Certification and Agency Exam. Section (808) 586-7414 Fax#: (808) 586-3873 <a href="mailto:sbautista@dcca.hawaii.gov">sbautista@dcca.hawaii.gov</a></p> <p>Andrew Chow, Insurance Examiner Phone: (808) 586-8150 Fax: (808) 586-3873 <a href="mailto:achow@dcca.hawaii.gov">achow@dcca.hawaii.gov</a></p>
ID	<p>Surplus less than \$10M: \$1,000 Surplus greater than \$10M, but less than \$100M: \$2,500 Surplus greater than \$100M: \$4,500</p>	<p>Idaho Department of Insurance 700 West State Street 3<sup>rd</sup> Floor P.O. Box 83720 Boise, ID 83720-0043</p>	
IL	<p>Filing all documents for Expansion Application/Admission \$5,000 Filing all documents for Primary Application/IL Domestic \$2,000</p>	<p>Illinois Department of Insurance 320 West Washington Springfield, IL 62767</p>	<p>Mail check with application.</p>
IN	<p>See Schedule of Fees at <a href="http://www.in.gov/idoi/2328.htm">http://www.in.gov/idoi/2328.htm</a></p>	<p>Indiana DOI 311 W. Washington, Suite 300 Indianapolis, IN 46204-2787</p>	<p>Mail check with application.</p>
IA	<p>Application Filing Fee: Larger of \$50 or retaliatory amount Certificate of Authority Issuing Fee: Larger of \$50 or retaliatory amount Desk Audit Fee in Accordance w/ 507.2: Larger of \$2,000 or retaliatory amount</p>	<p>Iowa Insurance Division Two Ruan Center 601 Locust, 4<sup>th</sup> Floor Des Moines, IA 50309-3738</p>	
KS	<p>Larger of \$500 or retaliatory amount, nonrefundable fee due at the time of application submission.</p> <ul style="list-style-type: none"> <li>• Annual Statement Filing Fee \$100</li> <li>• Certificate of Authority Fee \$10</li> <li>• Due prior to the issuance of COA</li> </ul>	<p>Checks are made payable to the Kansas Insurance Department.</p>	<p>Mail check with application.</p> <p>If the larger admission fee is \$500, additional fees will be required only if the Certificate of Authority is approved. If the larger admission fee is the retaliatory amount, no additional fees will be required.</p>

State	Filing Fees	Payee	Instructions
KY	Domestic Companies Charter Documents \$100 Original Certificate of Authority \$500 Total \$600 Foreign Companies Annual Statement \$100 Charter Documents \$100 Original Certificate of Authority \$500 Total \$700 Or retaliatory fee, whichever is greater.		Checks are made payable to Kentucky State Treasurer and forwarded with the application.
LA	Application fee is calculated as follows: Certificate of Authority \$2,500 Recordation of Articles of Incorporation \$25 Total Fee to be submitted \$2,525		Checks are made payable to the Louisiana Department of Insurance. The check for Policy Form Review must be a separate check.
ME	Primary App: \$1,000 Expansion App: \$1,000 Fees are retaliatory per Title 24-A, M.R.S.A., §428.	Make Checks Payable to: Treasurer, State of Maine Mail to: Maine Bureau of Insurance 34 State House Station Augusta, ME 04333	
✓MD	Maryland's required Certificate of Authority filing fee is \$1,225 subject to retaliatory provision as set forth in Section 6-303 of the Insurance Article of the Annotated Code of Maryland.  Dollar amounts for fees are set forth in the Insurance Article of Annotated Code of Maryland in Sections 2-112, 2-112.1 and 2-113. Fees for Health Maintenance Organizations are set forth in Section 19-709 of Article Health General of the Annotated Code of Maryland.	Maryland Insurance Administration 200 St. Paul Place, Suite 2700 Baltimore, MD21202-2272	Expansion App: Please include a letter from domiciliary jurisdiction setting forth filing requirements and fees for filing an application and issuance of an original Certificate of Authority, pay the greater fees.  <b>Victoria Claros</b> <b>Director of Company Licensing</b> <b>410-468-2134</b> <b>Victoria.claros@maryland.gov</b>

State	Filing Fees	Payee	Instructions
MA	Primary App: \$1,000 Expansion App: \$1,000 Examination Fee \$1,000 Charter Admission Fee \$125 Annual Statement Filing Fee \$150 Total Application Fees \$1,275	Checks payable to: The Commonwealth of Massachusetts Division of Insurance  Massachusetts Division of Insurance Financial Surveillance Unit Attn: Amy Blue Licensing Coordinator 1000 Washington Street, Suite 810 Boston, MA 02118-6200	Application fee should be mailed with the application. If the application is filed electronically the check for the respective filing fees must be accompanied by an explanatory cover letter.
MI	Primary & Expansion Apps: Application Filing Fee \$500 or Retaliatory whichever is the greater amount  Certificate of Authority Issuing Fee \$25 or Retaliatory whichever is the greater amount  Primary App: Articles of Incorporation Review Fee \$25 (Domestic Only)	Make checks payable to “The State of Michigan”  Department of Insurance and Financial Services Office of Insurance Evaluation Mason Building, 7 <sup>th</sup> Floor 530 W. Allegan Street Lansing, MI 48933  Department of Insurance and Financial Services Office of Insurance Evaluation P.O. Box 30220 Lansing, MI 48909-7720	Application filing fees must accompany the application. The issuing fees will be billed if the applicant is approved for licensure.
✓MN	Primary & Expansion Apps: Application Fee \$1,500 In addition, the Company is also billed a desk audit charge. Expansion App: Upon licensing the company will be billed \$975.00 for a Property and Casualty Company (including Title Companies) or \$1,025.00 for a Life Company.	Minnesota Department of Commerce 85 7 <sup>th</sup> Place East, <b>Suite 280</b> St. Paul, MN 55101-2198	

State	Filing Fees	Payee	Instructions
MS	Primary App: \$200 Expansion App: \$1,000 HMO - \$5,000	MS Insurance Department P.O. Box 79 Jackson, MS 39205-0079  Or: 1001 Woolfolk State Office Building 501 N. West Street Jackson, MS 39201	Mail check with application. Other licensing fees will be requested at the time of licensing.
MO	Admission Fee - \$250.00	DIFP Rm. 530, HST Bldg. 301 W. High Street Jefferson, MO 65101	Mail check with application.  Include notation on check stating company name and "Application fee".
MT	\$1,900 or retaliatory, whichever is greater.	Commissioner of Insurance State of Montana 840 Helena Avenue Helena, MT 59601	Submit payment with the application payable to the Commissioner of Insurance State of Montana  Russ Ehman (406) 444-4350 <a href="mailto:rehman@state.mt.us">rehman@state.mt.us</a>
NE	§44-114 Nonrefundable Preadmission Review Fee: \$1,000 §44-114 Final Admission Filing Fee (Due upon approval): \$300 §44-150 Subject to retaliation	Nebraska DOI 941 "O" Street, Suite 400 Lincoln, NE 68508-3639	Kristy Hadden, Company Administrator (402) 471-0373 <a href="mailto:kristy.hadden@nebraska.gov">kristy.hadden@nebraska.gov</a> Lori Bruss, Staff Assistant II Examination Division (402) 471-4045 <a href="mailto:lori.bruss@nebraska.gov">lori.bruss@nebraska.gov</a>
NV	<a href="http://doi.nv.gov/Insurers/Company-Admissions/">http://doi.nv.gov/Insurers/Company-Admissions/</a>  Click on Application Fees	Nevada Division of Insurance Corporate & Financial Affairs 1818 East College Pkwy, Suite 103 Carson City, NV 89706 (775) 687-0700	Mail check for application fees to the Nevada Division of Insurance. If paying by ACH, an ACH Deposit Form must be submitted at time of payment. Address any ACH questions to Kimberly Aubert at (775) 687-0782.

State	Filing Fees	Payee	Instructions
NH	Primary App: RSA 400-A:I,(a)      Application Fee \$1,000 RSA 400-A:I,(b)      License Fee \$100 Or RSA400-A:35          Retaliatory Provisions  Expansion App: Expansion Application Fee is the larger of \$1,000 or retaliatory amount. License Fee is the larger of \$100 or retaliatory amount (payable upon approval). Fee is non-refundable	Make check payable to New Hampshire Insurance Department  New Hampshire Insurance Department Financial Regulation Division 21 South Fruit St. Suite 14 Concord, NH 03301	Expansion App: Please submit proper payment according to company's state of domicile. See state specific page for fees.  Mary Verville 603-271-7973 x9254 <a href="mailto:mary.verville@ins.nh.gov">mary.verville@ins.nh.gov</a>
NJ	Primary App: Feasibility Study Review: \$1,000 To file a Certificate of Incorporation of a domestic insurer: \$1,000 Criminal History Checks: \$18 per person Expansion App: Non Life/Health Admissions: \$3,500 Life/Health Admissions: \$5,000	Payable to the New Jersey General Treasury  New Jersey Department of Banking and Insurance P.O. Box 325 Trenton, NJ 08625-0325	Fee is submitted with the application.  Admissions:  Non Life/Health Attn: <u>Kwame Asare</u>  Life/Health Attn: Mary Pesce
NM	\$1,000 Nonrefundable	Office of Superintendent of Insurance P.O. Box 1689 Santa Fe, NM 87504-1689	Make check payable to: Office of Superintendent of Insurance or OSI
NY	\$10 fee for initial issuance of license \$30 fee for initial filing of Charter (certified copy) Per Section 9107 of the N.Y. Ins. Law	All filing fees are payable to the "Superintendent of Financial Services" and must be remitted to the Office of General Counsel in Albany.	
✓NC	<b>Certificate of Authority Application fee (due at time of application)</b> <b>Insurance Company \$1000.00</b> <b>Health Maintenance Organization \$500.00</b> <b>Hospital, Medical, Dental Service Corp \$250.00</b>	Make checks payable to: North Carolina Department of Insurance 1201 Mail Service Center Raleigh, NC 27699-1201	Mail check with application. Anne Morgan Company Admissions Officer (919) 807-6603 <a href="mailto:anne.morgan@ncdoi.gov">anne.morgan@ncdoi.gov</a>
ND	Larger of \$500 or retaliatory amount	Commissioner North Dakota Insurance Department 600 E. Boulevard Avenue Dept. 401 Bismarck, ND 58505	Yvonne T. Keniston, (701) 328-3328 Fax: (701) 328-9610 <a href="mailto:ytkeniston@nd.gov">ytkeniston@nd.gov</a>

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OH	Strictly Retaliatory	Ohio DOI Risk Assessment 50 W. Town Street, Suite 300 Columbus, OH 43215	Primary & Expansion Apps: Cameron Piatt Assistant Chief-Taxes, Admissions and Foreign Analysis Office of Risk Assessment (614) 728-1074 <a href="mailto:Cameron.piatt@insurance.ohio.gov">Cameron.piatt@insurance.ohio.gov</a> Corporate Amendment Apps: Janice Wheatley Office of Risk Assessment (614) 728-1074 <a href="mailto:Janice.wheatley@insurance.ohio.gov">Janice.wheatley@insurance.ohio.gov</a>
✓OK	Primary App ( <b>Paper Filing</b> ): Application Review Fee \$ 1,000 Certificate of Authority \$ 150 Appoint of Commissioner as Agent for Service of Process \$ 10 Expansion App ( <b>Electronic Filing Only Through the NAIC</b> ): Application review, the greater of retaliatory or \$1,000 Certificate of Authority \$ 150 Appoint of Commissioner as Agent for Service of Process \$ 10	Oklahoma Insurance Department Financial Division 3625 N.W. 56 <sup>th</sup> Street, Suite 100 Oklahoma City, OK 73112-45211  <b>Check payable to: Oklahoma Insurance Department</b>  <b>State Specific Information</b> <a href="https://www.ok.gov/oid/Regulated_Entities/Financial/Forms.html">https://www.ok.gov/oid/Regulated_Entities/Financial/Forms.html</a>  <b>Legal References</b> <a href="https://www.ok.gov/oid/Public_Information/Legal/Statutes_and_Rules.html">https://www.ok.gov/oid/Public_Information/Legal/Statutes_and_Rules.html</a>  <b>Contact</b> <a href="http://www.oid.ok.gov">www.oid.ok.gov</a> <a href="mailto:financial@oid.ok.gov">financial@oid.ok.gov</a> <b>405-521-3966</b>	Expansion App: Filing fees should be mailed to the Oklahoma Insurance Department with a cover letter identifying the company name, NAIC CoCode, application tracking number, and a detailed explanation for any additional paperwork/check mailed to the Department.
OR	Larger of \$2,500 or retaliatory, nonrefundable amount	Oregon Department of Consumer & Business Services Insurance Division 350 Winter Street NE, Room 440 Salem, OR 97301-3883	Mail check with application.



State	Filing Fees	Payee	Instructions
PA	\$2,500	Commonwealth of Pennsylvania	Please mail check with application to: Chief, Company Licensing Division Pennsylvania Insurance Dept. 1345 Strawberry Square Harrisburg, PA 17120
PR	To be authorized the Insurer/Reinsurer must pass through two phases:  First phase: \$350 to evaluate the application. After this Office approves the first phase the Insurer/Reinsurer must comply with other requirements and additional fees are required.	Checks payable to:  Secretary of Treasury	Office of the Commissioner of Insurance of Puerto Rico Mrs. Glorimar Santiago Admission and Financial Analysis Division B5 C/Tabonuco Suite 216 PMB 356 Guaynabo PR 00968-3029
✓RI	Primary App: There is no statutory fee for issuing a Certificate of Compliance. However, to obtain a Certificate of Compliance, the applicant must submit to an organizational exam, the cost of which is borne by the applicant, pursuant to <u>§27-13.1-1 et seq</u> Expansion App: <u>RIGL §27-2.1</u> mandates a nonrefundable Application Fee of \$1,200 for new foreign company applications. Upon the completion of the analysis, the applicant will be invoiced for the actual time incurred in conducting the analysis in accordance with the expense calculation for examinations under <u>RIGL §27-13.1-7(a)(1)</u> . Note: Pursuant to <u>RIGL §27-2-17(a)</u> , foreign company fees are retaliatory if the company's state of domicile would charge a R.I. insurance company a higher fee for a like transaction.	<b>General Treasurer – State of Rhode Island</b>	Expansion App: <b>Please mail the application fee with a cover letter at the time of application submission. Review fees will be invoiced at the completion of the process.</b>  Julie Savoie Insurance Examiner Rhode Island Insurance Division 1511 Pontiac Avenue Cranston, RI 02920 (401) 462-9566 <a href="mailto:Julie.Savoie@dbr.ri.gov">Julie.Savoie@dbr.ri.gov</a>
SC	Strictly Retaliatory	South Carolina DOI 1201 Main Street, Suite 1000 (29201) P.O. Box 100105 Columbia, SC 29202-3105	Michael Shull Chief Financial Analyst (803) 737-6188 <a href="mailto:mshull@doi.sc.gov">mshull@doi.sc.gov</a>
SD	Application filing fee: \$500 Certificate of Authority: \$25 Subject to Retaliatory Law	South Dakota DOI 124 S. Euclid Ave. 2 <sup>nd</sup> Floor Pierre, SD 57501	Application filing fee is non-refundable and collected whether or not a license is issued. Retaliatory fees, if any, will be assessed if a license is issued.

State	Filing Fees	Payee	Instructions
TN	Primary App: Nonrefundable review fee \$675 If a Certificate of Authority is issued \$440 Expansion App: Nonrefundable review fee: Larger of \$675 or retaliatory fee Certificate of Authority: Larger of \$440 or retaliatory fee All other fee related to admissions are on a retaliatory basis.	TN Dept. of Commerce and Insurance 7 <sup>th</sup> Floor, Analytical Section 500 James Robertson Parkway Nashville, TN 37243	Make all fees payable to TN Department of Commerce and Insurance and include retaliatory reviewing fees, if applicable. Payment should be submitted with original filing.
✓TX	Primary App: Domestic entities that wish to be incorporated under Texas law and receive a Certificate of Authority \$1,500 Expansion App: Foreign entities that wish to receive a Certificate of Authority \$2,000 Redomestication: Re-stated Articles of Incorporation \$250	Company Licensing and Registration <b>MC 103-CL</b> Texas DOI 333 Guadalupe Austin, TX 78701	Make all fees payable to Texas Department of Insurance and mail payment. Filings should be sent electronically via UCAA or <a href="mailto:CLRFilings@tdi.texas.gov">CLRFilings@tdi.texas.gov</a> .
✓UT	Primary App: Certificate of Authority: Initial license application: \$1,000 (NO retaliatory fees) E-commerce and Internet technology services fee: \$75 Redomestication: Initial license application: \$2,000 E-commerce and Internet technology services fee: \$75 Expansion App: <b>\$1,000 filing fee if UCAA electronic filing or \$1,025            filing fee if UCAA non-electronic filing.            \$75 e-commerce fee must be added for either filing            method.</b>	Utah Insurance Department Company Licensing Division State Office Bldg., Room 3110 Salt Lake City, UT 84114	Check is made payable to the Utah Insurance Department.
VT	Application Fee: Domestic Insurer, Reinsurer, Fraternal Organizations and Premium Finance Companies: \$0 Life Settlement Companies: \$50 Alien, Foreign, Reciprocal, HMOs: \$200 Continuing Care Retirement Communities: \$1500	Vermont DFR Company Licensing Section 89 Main Street Montpelier, VT 05620	Include retaliatory fees (802) 828-2470

State	Filing Fees	Payee	Instructions
VT (cont)	All fees are subject to retaliation.		
VA	Nonrefundable filing fee \$500 (\$ 38.2-1024)	Payable to: Treasurer of Virginia Virginia State Corporation Commission Bureau of Insurance Company Licensing & Regulatory Compliance P.O. Box 1157 Richmond, VA 23218	The fee must accompany application if the application is filed in hard-copy form. If the application is filed electronically, the fee must be mailed separately, and should include an appropriate cover letter and a copy of the UCAA checklist (form 1E).
WA	Larger of \$400 or retaliatory amount	Do not mail check with application. Applicant will be informed of applicable fees at the time the application is approved.	Gayle Pasero Company Licensing Manager (360) 725-7210 <a href="mailto:gaylep@oic.wa.gov">gaylep@oic.wa.gov</a>
WV	Larger of \$100 or retaliatory amount	WV Offices of the Insurance Commissioner Financial Conditions Division P.O. Box 50540 Charleston, WV 25305-0540	If the application is filed in hard-copy, then the filing fee must accompany it. If the application is filed electronically, then the filing fee must be sent to our Payee address along with an explanatory cover letter.
WI	Application Fee: Larger of \$400 or retaliatory amount.  Certificate of Authority: Larger of \$400 or retaliatory amount	US Mail: Office of Commissioner of Insurance P O Box 7873 Madison WI 53707-7873  Overnight: Office of Commissioner of Insurance 125 S Webster St Madison WI 53703-3474	Mail check with application.
WY	Larger of \$750 or retaliatory amount  Upon approval of the application, an assessment for the Department's budget will need to be paid before a Certificate of Authority is issued. The assessment amount varies depending on the amount of the Department's budget.	Wyoming DOI 106 East 6 <sup>th</sup> Avenue Cheyenne, WY 82002	Make checks payable to: Wyoming State Treasurer