

**Statistical Compilation of Annual Statement Information for
Health Insurance Companies in 2009**

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Sample

Statistical Compilation of Annual Statement Information for Health Insurance Companies in 2009

INTRODUCTION

The *Statistical Compilation of Annual Statement Information for Health Insurance Companies* was presented in a new format starting with the 2001 edition. Beginning with the 2001 data year, all health insurance entities that previously reported annual statement information on one of five different reporting blanks—including Property and Casualty, Life, Fraternal, Health Maintenance Organization (HMO), and Hospital, Medical and Dental Services or Indemnity (HMDI)—is now reported in a similar manner in the NAIC Health Insurance Company Annual Statement Blank. The health blank will serve as the *Statistical Compilation* format for reporting aggregate data for all health insurance companies, HMOs and HMDIs. A *Health Market Share Report* will be issued annually as a separate publication.

Report Format

This report contains three major sections:

Annual Statement Financial Data

- The first section consists of aggregated countrywide financial data presented in annual statement format for Assets, Liabilities, the Underwriting and Investment Exhibits, and Schedule D. No historical comparisons are included.

Countrywide, Canada, and Aggregate Other Alien Insurance Data

- The second section consists primarily of 2009 direct insurance data from Schedule T and the state pages (Annual Statement Page 29). Direct and earned premiums are shown by line of insurance and by state and countrywide.

Selected Data Elements From Company Statements

- The third section consists of selected 2009 data elements from company annual statements. The annual statement sources for these data are listed in the technical notes.

Comments on this report are encouraged so that the report will continue to evolve and meet the needs of NAIC members. These data are maintained in NAIC databases and are available for additional application development. These data are also available for purchase by the public in both hard copy and electronic formats.

Aggregation and Calculation of Data

This report utilizes the term “aggregated” to describe data developed by summing the annual statements of individual companies. In order to represent more completely the data as reported on the annual statements, all data are aggregated directly from the numbers reported to the NAIC. No calculations have been performed within this report.

Crosschecks and Balances

The first section and third section present data in the NAIC annual statement format. The data are developed from the annual statements of the 861 individual companies filing the 2009 health annual statement blank. The level of precision that is possible with an individual company statement may not be possible with these aggregated data.

One reason is that companies are added to and deleted from the NAIC annual statement database throughout the year, resulting in a mix of companies that is different from year to year. Generally, the ending capital and surplus on one year's *Statistical Compilation* will not equal the beginning capital and surplus for the following year.

Also, while every effort has been made to ensure that all significant errors and omissions in annual statements have been identified and resolved, certain anomalous data may exist. Most anomalies have been identified and resolved. However, in some instances, providers can explain the anomalies, and the results are maintained.

To minimize anomalous results, certain statements may be excluded from this *Statistical Compilation*. The sections displaying selected data elements for individual companies provide a complete listing of companies included in this *Statistical Compilation*. No company in the NAIC database with significant data is excluded.

Qualifications

The accuracy of the reports included in this publication depends on the accuracy of the information contained in the annual statements filed by insurers. The NAIC system cannot always identify a misstatement in accordance with statutory requirements or errors in identifying securities. Even though the data elements used to prepare the reports are extracted from annual statements filed with the NAIC, the NAIC cannot guarantee their accuracy.

While the NAIC exercises a great deal of care in capturing data from annual statements and producing various reports, as with any statistical project of a significant magnitude, errors can occur. Consequently, the NAIC makes no representations or warranties with respect to the accuracy of the data and statistics in this report.

If you have any questions regarding this report, please contact Sara Pankow at the NAIC at (816) 783-8757. Additional copies can be obtained from an NAIC representative at:

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HEALTH INSURANCE INDUSTRY AGGREGATES

ANNUAL STATEMENT FOR THE YEAR 2007 OF THE

(Name)

2

ASSETS

(000's)

2007 Assets	Current Year			Prior Year
	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets	Net Admitted Assets
01. Bonds	64,954,255	8,269	64,945,986	60,752,948
02. Stocks (Schedule D):				
. 02.1. Preferred stocks	747,008	22,627	724,381	411,651
. 02.2. Common stocks	27,961,092	889,474	27,071,618	25,229,967
03. Mortgage loans on real estate (Schedule B):				
. 03.1. First liens	32,852	0	32,852	64,737
. 03.2. Other than first liens	0	0	0	0
04. Real estate (Schedule A):				
. 04.1. Properties occupied by the company	3,967,129	28,021	3,939,108	3,519,421
. 04.2. Properties held for the production of income	214,377	9,038	205,339	209,974
. 04.3. Properties held for sale	51,641	0	51,641	35,510
05. Cash, cash equivalents and short-term investments	23,851,078	3,531	23,847,548	21,661,006
06. Contract loans (including premium notes)	7	0	7	4
07. Other invested assets	3,598,709	220,909	3,377,800	3,164,716
08. Receivables for securities	181,743	8	181,735	360,826
09. Aggregate write-ins for invested assets	194,948	44,858	150,090	186,034
10. Subtotals, cash and invested assets (Lines 1 to 9)	125,754,840	1,226,736	124,528,104	115,596,796
11. Title plants less charged off (for title insurers only)	0	0	0	0
12. Investment income due and accrued	832,629	4,279	828,350	733,263
13. Premiums and considerations:				
. 13.1. Uncollected premiums and agents' balances in the course of collection	7,499,965	253,635	7,246,330	6,982,606
. 13.2. Deferred premiums, agents' balances and installments booked but deferred and not yet due	1,178,088	249	1,177,839	1,188,585
. 13.3. Accrued retrospective premiums	524,100	1,779	522,321	419,055
14. Reinsurance:				
. 14.1. Amounts recoverable from reinsurers	707,775	18,868	688,907	561,020
. 14.2. Funds held by or deposited with reinsured companies	21,254	0	21,254	3,429
. 14.3. Other amounts receivable under reinsurance contracts	83,973	1,031	82,942	78,097
15. Amounts receivable relating to uninsured plans	6,444,270	435,573	6,008,698	5,124,899
16.1. Current federal and foreign income tax recoverable and interest thereon	625,058	2,238	622,820	601,085
16.2. Net deferred tax asset	7,655,878	5,986,741	1,669,137	1,421,602
17. Guaranty funds receivable or on deposit	21,875	876	20,999	8,601
18. Electronic data processing equipment and software	2,210,939	1,699,009	511,929	517,249
19. Furniture and equipment, including health care delivery assets	1,357,710	1,047,439	310,271	311,751
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
21. Receivables from parent, subsidiaries and affiliates	3,971,404	1,050,489	2,920,916	3,320,596
22. Health care and other amounts receivable	5,411,680	1,420,842	3,990,838	3,812,538
23. Aggregate write-ins for other than invested assets	5,853,260	3,727,186	2,126,075	2,318,500
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 11 to 23)	170,154,698	16,876,970	153,277,728	142,999,673
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
26. Totals (Lines 24 and 25)	170,154,698	16,876,970	153,277,728	142,999,673