

NR/UP DELETES 2012

On March 30, 2012, certain securities will be deleted from the Valuation of Securities (VOS) Database. These securities have the following criteria:

- Administrative symbol NR or UP, **and**
- 2011 Review Date, **and**
- No complete Annual Update ATF received at the SVO.

The NR/UP Delete process schedule is as follows:

March 1	Post lists of potential NR/UP deletes.
March 30	Last day for receipt of complete Annual Update ATF at the SVO. The ATF must be scanned by this date.
March 30	Run process that deletes securities in VOS with NR or UP and no Annual Update ATF received at the SVO.
April 2	Post lists of deleted NR and UP securities.
April 2	Post lists of remaining NR and UP securities.

Note that this NR/UP Delete process precedes 1st Quarter 2012 processing. Therefore, deleted issues will not be included in the 1st Quarter 2012 VOS CD-ROM.

For issuers with December Fiscal Year-End, filers are required to submit an Annual Report and/or 10K ending December 31, 2010. For issuers with Fiscal Year-End other than December, filers are required to submit financials with Fiscal Year-End 2011.

Once the Annual Update ATF has been processed, the SVO will issue a Code 99 letter to the filer confirming the designation for 2011 Year-End. When 2011 financials become available, the filer must submit them with a 2012 Annual Update ATF to enable the analyst to assign a 2012 designation to the security.