

SECURITIES VALUATION OFFICE 2009 SCHEDULE

- March 2, 2009 Post list of NR'd and UP'd securities that will be deleted on March 31. List will include securities with NR or UP, a 2008 Review Date and no Annual Update ATF scanned into ISIS. List will exclude NR'd or UP'd securities that are also in the FE datafile.
- March 31 Delete all NR'd and UP'd securities with a 2008 Review Date that do not have an Annual Update ATF scanned into ISIS including NR'd and UP'd securities also in the FE datafile. This process will run before 1st quarter processing. These securities will **not** appear on the 1st quarter CD-Rom.
- April 1 Post list of NR and UP securities deleted through process run on March 31. A list of NR and UP securities remaining in VOS will also be posted.
- August 31 Submitting Annual Updates by this date will allow a company to report securities that were not reviewed by year-end as Z on their annual statement.
- September 30 Deadline for submitting a P&I Certification Form to obtain an NAIC 5* Designation.
- Fall, 2009 A mock year-end will be conducted during which beta test filers will receive sample AVS valuation files to review. Filers participating in the mock year-end will be notified as to specific testing dates as soon as they are determined.
- December 2 Assign NR/UP and 2009 Review Date to all securities in VOS with 2008 Review Date. These securities can still be updated for 2009 by submitting a complete Annual Update ATF.
- December 4 Post list of securities converted to NR/UP on December 2. This list will include securities with a 2009 Annual Update ATF received at the SVO but not yet completed.
- December 14-
December 31 Requests for pricing securities in VOS will be accepted by the SVO.

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By December 31	SVO will make its best effort to complete annual updates received by September 1.
December 31	AVS disabled 5:00 PM Central Time.
December 31- January 3, 2010	IS/SVO performs year-end processing and review.
January 3, 2010 (afternoon)	Beta test filers review sample valuation files.
January 4, 10 AM	Deadline for beta test filers to respond.
January 4	AVS released at 6:00 PM Central Time.

Addendum

January 13	AVS disabled at 1 PM Central Time for Addendum processing.
January 19	AVS released for 2010 processing at 12 Noon Central Time.
January 19	Post complete listing of securities in VOS with NR or UP. This list will include securities with a 2009 Annual Update ATF received at the SVO but not yet completed.
January 20	Post list of outstanding Initial Filing ATFs received by the SVO through the 2009 Addendum.
January 20	Post list of NR and UP securities in VOS with outstanding Annual Update ATF received by the SVO through the 2009 Addendum.
February 16	Deadline for filers to request outstanding Annual Update ATFs received for NR and UP securities in 2009 <u>not</u> be processed by the SVO.
February 22	Post list of NR and UP securities in VOS that will be deleted unless another holder of the security submits a new Annual Update ATF by March 31, 2010.