

VISION Known Issues

11/4/2016 1:02 PM

Data related

Date Reported	Description	Workaround	Estimated Resolution	Status
9/6/2016	<p>The Acquired date is missing on Annual filings The acquired date is generally pulled from the previous year's filing however it's not populating.</p>	The user should populate the date until fix is moved to PROD. Code change processed on Wednesday, September 7th.	9/7/2016	Completed
9/6/2016	<p>SCA filer is having issues with submitting a sub2</p>	If you receive an error while filing a Sub2 and a Sub1 was submitted prior to 2013, you are now required to file a new Sub1 in VISION in order to process the SCA.	9/7/2016	Completed
9/6/2016	<p>SCA filer is having issues with submitting a sub2 - 500 Error Message returned</p>	Code change processed on Wednesday, September 7th.	9/7/2016	Completed
9/6/2016	<p>Unconfirmed Securities (common stock) are missing on the AVS Valuation file The check digit, price code, classification and the Sic code is not populating on the AVS Valuation file for common stock.</p>	A data update will be processed on Thursday, September 8th to populate the missing fields.	9/8/2016	Completed
9/6/2016	<p>STS securities are missing fields on AVS+ Valuation Field The review date and the designation indicator is not populating on STS securities.</p>	<p>When the STS classification code was added to AVS, the Review Date and Designator Indicator is no longer applicable information and will not be provided. As of 9/21/16: We are temporary adding the designation indicator and review date for Structured Securities. The designation indicator will be displayed with an equals sign '=' and the review date will display as 2016-01-01. Please note that your systems must be coded to no longer require these fields beginning in December 2017.</p>	9/22/2016	Completed
9/6/2016	<p>Missing Price Code The price source is missing for all securities in AVS+.</p>	A data update was processed on Thursday, September 22nd to add the price source	9/22/2016	Completed
9/6/2016	<p>Some filing submitted by 8.31 deadline are not in VISION Users are unable to see filings that were submitted in Integrated Securities Information System on/before 8.31.16.</p>	Filing submitted in Integrated Securities Information System on/before 8.31.16 will be migrated to VISION. This issue impacts 4 customers and we are working with the clients, individually to get the issue resolved.	11/3/2016	Completed
10/24/2016	<p>TPAs cannot see submitted SCA Filings. Once the SCA Filing is submitted by the TPA, they cannot see the filing to upload supporting documents nor can they receive the Inforeq notification from the analyst.</p>	A data update will be processed on Thursday, November 3rd to allow the TPA to see all the SCA filings for their current clients	11/3/2016	Completed

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Application related

Date Reported	Description	Workaround	Estimated Resolution	Status
9/6/2016	FE Matrix Calculation Issue The system is not calculating ratings from Credit Rating Providers when 2 ratings were received.	Web Support, VOS and FE Advance Search is disabled until the issue is resolved. An email notification was sent to all users.	9/9/2016	Completed
9/6/2016	State Insurance Required field The State Insurance Required Field is defaulting to yes but it should default to no. The user cannot remove/change the default.	The user should complete the filing with the incorrect default and email the analyst to override the date. A code change is scheduled to be processed on Thursday, September 8th.	9/9/2016	Completed
9/7/2016	The Market Indicator is missing in AVS. Market Indicator is not populating on common stock.	A data update was processed on Thursday, September 22nd to add the Market Indicator to common stock and other securities.	9/22/2016	Completed
9/8/2016	Some SCA Field requires a value to be 0 or negative	A data update was processed on Thursday, September 22nd to allow users to add a value or negative number to the SCA forms.	9/22/2016	Completed