

2009 E-Regulation Conference Sessions

Pre-Conference Sessions

Monday, April 27, 2009

PC-100 I-SITE What's New Overview 8:45 am – 9:45 am (R*)

While My.NAIC.org provides targeted content, you still won't want to miss the highlights of the many enhancements to the I-SITE financial and market tools that have been implemented since TechEx 2008. This is a hands-on training session.

PC-101 SERFF Product Steering Committee 9:30 am – 12:15 pm (R/I)

This will be an open session for PSC members and interested parties.

PC-105 Be Inquisitive... Search & Export Tutorial 9:30 am – 10:15 am (R/I)

Looking for a little "advanced SERFF" action? The Search & Export tutorial is right up your alley! Learn about the reporting capabilities built into SERFF, how to search for and export your data, and, for the industry, the benefits of using a Data Host. This session is open to both State and Industry.

PC-110 Regulators Introduction to Market Analysis 9:30 am – 10:15 am (R*)

Market analysis is designed to first, provide tools for each state to review its entire market, second, identify companies operating in each state's market that are potentially harming consumers because they are not complying with the state's laws and regulations designed to protect consumers, and third, assist in narrowing the scope of any regulatory action that a state determines it must use to address those companies that appear to be experiencing compliance problems. This session is designed for new regulators seeking a high-level overview of how the above listed items are incorporated as a part of Market Regulation.

PC-115 NIPR State Focus Group 9:30 am – 12:15 pm (R*)

This NIPR regulator-only session is a time for regulators to provide suggestions and feedback on new NIPR and NAIC products and services along with enhancements to existing applications. Several topics will be covered including Adjuster Licensing/Designated Home State, Attachments Warehouse, Notification and Reporting of Administration Actions, Real Time/SBS Fast Track Processing, State Process, and Transmission Viewer. There will also be updates from any previous NIPR focus groups.

PC-120 New to the NAIC/TechEx 10:00 am – 11:15 am (R*)

New to the NAIC? Learn about your organization's 137-year history and its role in supporting state-based insurance regulation. Discover all the NAIC services and support resources available to you and your department and find out how to easily access them once you return home. This session explains how the state insurance departments, Commissioners, and the NAIC committee structure work together to help protect consumers and maintain the financial stability of the insurance marketplace.

PC-125 Love the One You're With... Tips & Tricks to Make SERFF your Sole System 10:30 am – 11:15 am (R/I)

You've made the commitment to use SERFF; why not use it to its fullest potential? This session will be full of tips and tricks for both state and industry.

PC-130 Regulators Introduction into Baseline 10:30 am – 11:15 am (R*)

Baseline analysis is a systematic process whereby basic parameters are used to evaluate the entire marketplace in order to identify those companies that require more detailed and thorough analysis. This session is designed for new regulators and will provide a high-level overview of the baseline process using the Market Analysis Company Prioritization Tool (MAPT).

PC-131 NIPR Orientation Session 1 10:30 am – 11:15 am (I)

Are you new to E-REG or Producer Licensing? If so, attend these orientation sessions to discuss tracks, sessions, where the Exhibitors are, and so forth. We will be more than happy to answer any questions and show you around.

PC-132 NIPR Product Demonstrations Session 1 11:30 am – 12:15 pm (I)

The NIPR Marketing team will be demonstrating the Producer Database (PDB) and other NIPR products. Learn ways to make your licensing and compliance jobs easier by utilizing reports from the PDB. Come with your questions and we will provide answers!

PC-135 Act and React...Objections Tutorial 11:30 am – 12:15 pm (R*)

This split-session tutorial for SERFF users will review the objection process for states and the new response process for industry. Objections aren't fun, but learning about how to create and respond to them correctly will be a blast!

PC-140 Act and React...Responses Tutorial 11:30 am – 12:15 pm (I)

This split-session tutorial for SERFF users will review the objection process for states and the new response process for industry. Objections aren't fun, but learning about how to create and respond to them correctly will be a blast!

PC-145 Regulators Introduction into Level I Analysis Review 11:30 am – 12:15 pm (R*)

A Level I Analysis Review is a more detailed review of certain information contained in NAIC databases. States are encouraged to perform Level I Analysis Reviews to eliminate companies that do not warrant further analysis and to begin the process of identifying the cause of the anomaly for those that do warrant additional analysis. This session is designed for new regulators and will provide a high-level overview of the Level I Analysis Review process using the Market Analysis Review System (MARS).

PC-150 NIPR Regulator Training Part 1 1:30 pm – 2:15 pm (R*)

This training session will show you how to sign up for any type of PICS Alerts; how to view, download, and retrieve documents from the Attachments Warehouse, and certain features within the State Interface.

PC-151 NIPR Orientation Session 2 1:30 pm – 2:15 pm (I)

Are you new to E-REG or Producer Licensing? If so, attend these orientation sessions to discuss tracks, sessions, where the Exhibitors are, and so forth. We will be more than happy to answer any questions and show you around.

PC-155 Waste Management 1:30 pm – 2:15 pm (R*)

How to cut down on waste management. Discussion and examples on minimum level of review and "red flag" items to look for when reviewing company licensing applications. This session is presented by senior, experienced State Regulatory staff members.

PC-160 Eco-Friendly Filings 1:30 pm – 2:15pm (I)

A session for "newbies" to company licensing. Understand the licensing process, what forms are necessary, and what needs to be included with each application type. This is also a great "refresher" for

anyone who needs to know what's current in the licensing process. This session is presented by NAIC staff and State Regulatory staff.

PC-165 Regulators Introduction into Level 2 Analysis Review 1:30 pm – 2:15 pm (R*)

At the conclusion of a Level 1 Analysis Review, it may be determined that more in-depth analysis is necessary. The next step would be to conduct a Level 2 Analysis Review. The Level 2 Analysis review process assists the states in confirming that there is a market regulatory issue or in determining to a much greater degree the cause and extent of the problem. This session is designed for new regulators and will provide a high level overview of the Level 2 Analysis Review process using the Market Analysis Review System (MARS).

PC-170 State One-on-Ones 1:30 pm – 3:15pm (R*)

Calling all Regulators! Sit down for some one-on-one time with SERFF representatives. Discuss your questions, thoughts, and ideas about SERFF.

PC-175 Have a Thirst for Knowledge...Getting Started with SERFF Tutorial 1:30 pm – 3:15 pm (I)

Are you a new SERFFer or want to become one? Then this session is for you! Familiarize yourself with the SERFF workspace and entering company and contact data, plus learn how to create and submit a filing. The steps on getting your company SERFF licensed will also be covered. Questions are encouraged and answers will be bestowed! This is an industry-focused session.

PC-180 Market Analysis Review System 2:00 pm – 3:00 pm (R*)

This course is for regulators who are authorized by their MAC (Market Analysis Chief) to view and update a review. The Market Analysis Priorities Working Group initiated and adopted changes to the Level 1 questions and the automation of Level 2 questions. The December 2008 release of MARS included three major enhancements:

- Multiple Lines of Business within one Review
- Automation of Level 2 Analysis Review
- Additional data elements available within the Level 1 Analysis Review

This training will provide a high-level overview of the enhancements as well as demonstration of some of the functionality available for MARS users.

PC-185 NIPR Regulator Training Part II 2:30 pm – 3:15 pm (R*)

This training session will cover new and existing reports that are available to the regulators that will make their jobs a little easier, such as the NIPR Transaction Count Report and Monthly State Report.

PC-186 NIPR Product Demonstrations Session 2 2:30 pm – 3:15 pm (I)

The NIPR Marketing team will be demonstrating the Producer Database (PDB) and other NIPR products. Learn ways to make your licensing and compliance jobs easier by utilizing reports from the PDB. Come with your questions and we will provide answers!

PC-190 Energy Efficient 2:30 pm – 3:15 pm (R*)

A regulator's view of "red flags" found in the Company Business Plan and three-year projections. Time saving tips to determine if the ProForma was completed correctly or if the company is financially ready to expand their business into your state. This is a great "refresher" for anyone who needs to know what's current in the licensing process. This session is presented by senior, experienced State Regulatory staff members.

PC-195 Renewable Energy 2:30 pm – 3:15 pm (I)

Less paper, less frustration, less headaches!! What you need to know when completing the expansion and corporate amendment applications electronically. How to create, submit, and amend an expansion or corporate amendment application. This is a great “refresher” for anyone who needs to know what’s current in the electronic licensing process. This session is presented by NAIC staff.

PC-196 Regulators Introduction to Continuum of Regulatory Response 2:30 pm – 3:15 pm (R*)

If during the Market Analysis process it is indicated that there is a specific regulatory problem, the state may decide to proceed with the continuum of regulatory actions. The regulatory response should be the action with the least impact that appropriately addresses the problem. This session is designed for new regulators and will provide a high level overview of the Continuum of Regulatory Responses using the Market Initiative Tracking System (MITS).

Keynote Session and Conference Kick off

Monday, April 27, 2009

KN-100 Keynote Panel 3:30 pm – 4:45 pm (R/I)

Join us for an informative panel discussion with NAIC Commissioners, including NAIC President and New Hampshire Commissioner Roger Sevigny, Alaska Director Linda Hall, and Ohio Director Mary Jo Hudson, as they share their experiences and insight into today’s hot topics in state insurance regulation, from Producer Licensing and Market Regulation to Speed to Market.

Concurrent Conference Sessions

Company Licensing Track Sessions: UCAA Is Going Green

Tuesday, April 28, 2009

CL-200 Green Cleaning 8:30 am – 10:15 am (R*)

This session is a regulator-only discussion on reviewing electronic applications and expansion and corporate amendments. We will discuss what works, what the NAIC can do to make the electronic review process more efficient, and what tools are necessary in reviewing applications. This regulator-only program will be led by senior, experienced regulators.

CL-205 Greenhouse Gases 8:30 am – 10:15 am (I)

See the industry side to completing an application, including gathering information, identifying state-specific items, and meeting the state requirements for licensure.

CL-210 Global Warming 10:30 am – 12:15 pm (R*)

This session will provide a regulator-only and background agency overview of the investigative report. We’ll talk about how background checks are really done, the biggest reasons for delivery delays, why international investigations are different, and what regulators need in the final product. Also included will be a discussion of confidentiality and safeguarding requirements. This session will be presented by Background Agencies.

CL-215 Hydro-Power 10:30 am – 12:15 pm (I)

Important factors of the Business plan will be the focus of this session; what is expected in the plan of operation, ProForma, and narrative. You will have an opportunity to ask a panel of regulators your questions and find out what is expected in your company's plan of operation.

CL-220 Recycling and Composting 1:30 pm – 2:15 pm (R/I)

Legal issues arise from time to time within the states regarding the production of electronic data and the confidentiality of those documents. In this session, we will discuss some of those issues and concerns that states and companies are dealing with and evaluate best practices for applying confidentiality and privacy requirements.

CL-230 Hydro-Power/Solar Power 2:30 pm – 4:15 pm (R/I)

This session will cover an overview of the biographical affidavit, confidentiality, and safeguarding of information and the background reports. This session will be presented by Background Agencies.

Wednesday, April 29, 2009

CL-300 Wind Power Part I 9:00 am – 9:45 am (R/I)

This session is a roundtable discussion on application issues, best practices, and other topics. Updates to any pending discussions for the National Treatment and Coordination Working Group conference call will also be included.

CL-305 Hydro-Power/Solar Power (repeat session) 9:00 am – 9:45 am (R/I)

This repeat session will cover an overview of the biographical affidavit, confidentiality and safeguarding of information and the background reports.

CL-310 Wind Power Part 2 (combined session with RF-310 Hug it Out - Part 2...Regulator Roundtable) 10:00 am – 10:45 am (R/I)

This session is a joint roundtable discussion between Rate and Form Filing and Company Licensing to discuss how states process corporate changes (company mergers, acquisitions, name change, and so forth). The Operational Efficiencies (EX) and National Treatment and Coordination (E) Working Groups have requested your input as they consider improvements to this process.

CL-320 Wind Power Part 3 11:00 am – 11:45 am (R/I)

This session will cover updates to pending discussions for the National Treatment and Coordination (E) Working Group conference call.

Market Regulation Track Sessions

Tuesday, April 28, 2009

MR-200 Current Status of Market Regulation 8:30 am – 9:15 am (R/I)

The NAIC's commitment to market regulation has continually evolved as a result of the changes within the marketplace. This session is designed for regulators and industry professionals who desire to learn more about the details surrounding the status of market regulation and how the NAIC is keeping pace with the changing market.

MR-210 Consumer Perspective 9:30 am – 10:15 am (R/I)

Consumers are the focal point of insurance regulation. The mission of the NAIC is to assist state insurance regulators, individually and collectively, in serving the public interest and achieving a responsive, efficient, and cost-effective manner of regulation. This session is designed to allow both regulators and industry professionals an opportunity to hear about market regulation from a consumer's perspective.

MR-220 Industry Perspective of Market Regulation 10:30 am – 11:15 am (R/I)

Industry professionals are required to balance the need to be profitable with the need to ensure compliance and consumer protection. As such, industry often provides a different perspective on market regulation initiatives and topics. This session is designed for regulators and industry professionals who desire to learn more about the details surrounding the role of industry in regard to market regulation.

MR-230 D Committee Initiatives 11:30 am – 12:15 pm (R/I)

The Market Regulation and Consumer Affairs (D) Committee is working on several key initiatives for 2009 that will ensure market regulation remains on course to be more efficient and effective. This session will contain the latest information about the plan to centralize market-related data at the NAIC and the development of a comprehensive market regulation accreditation program. This session is designed for regulators and industry professionals who desire to learn more about these and other committee initiatives.

MR-240 and MR-245 Breakout Sessions 1:30 pm – 4:15 pm

This session will be split between Regulators and Industry Professionals. Within the allotted time frame, mini workshops will be conducted. These workshops will emphasize interaction and exchange of information among peers. *These sessions will include a break at 3:15pm.*

MR-240 Market Idol – The Search for a Super Regulator 1:30 pm – 4:15 pm (R*)

As a regulator you have access to various data and have the obligation and expectation of deciphering it all and protecting the interests of the consumers within your jurisdiction. This session is designed for market regulators seeking tips and tricks for how to analyze the data associated with Baseline, the Market Conduct Annual Statement, Level 1 and Level 2 Analysis Review, and Market Conduct Examinations.

MR-245 Market Regulation Survivor For Industry Professionals 1:30 pm – 4:15 pm (I)

Regulators will provide first-hand knowledge in helping industry professionals survive market analysis, the Market Conduct Annual Statement (MCAS), new "Continuum" options, and market conduct examinations. In addition, this session will include instructions on how to use the Market Regulation Handbook as a survival guide. This session is designed for industry professionals seeking expert, first-hand knowledge of how to be a Market Regulation Survivor.

Wednesday, April 29, 2009

MR-300 Successful Continuum Actions 9:00 am – 9:45 am (R/I)

If, during the Market Analysis process, it is indicated that there is a specific regulatory problem, the state may decide to proceed with the continuum of regulatory actions. The regulatory response should be the action with the least impact that appropriately addresses the problem. This session is designed for regulators and industry professionals who desire to learn more about possible Continuum of Regulatory Responses.

MR-310 MAWG 10:00 am – 10:45 am (R/I)

The Market Actions Working Group (MAWG) identifies and reviews insurance companies that are exhibiting, or may exhibit, characteristics indicating a current or potential market regulatory issue that may impact multiple jurisdictions. While MAWG sessions are always conducted behind closed doors, this session will provide an overview of the Working Group initiatives and actions. This session is designed for regulators and industry professionals who desire to learn more about the details surrounding MAWG and how the MAWG process contributes to market regulation.

MR-320 Collaboration of Regulators, Industry, and Consumers “Speed Regu-dating” 11:00 am – 11:45 am (R/I)

This session will provide an “intimate” setting for industry and consumer representatives to have face-to-face conversations with regulators attending E-Reg. (In case you don’t get a chance to talk to someone at the vendor reception on Monday night, this is your chance to get your questions answered.) This session is designed for industry representatives, consumer representative, and regulators to have all questions answered.

Producer Licensing Track Sessions

Tuesday, April 28, 2009

PL-200 Welcome to the Producer Licensing Track 8:30 am – 9:15 am (R/I)

Welcome to E-REG and the PL Track! Listen to Linda Hall, Alaska Insurance Director and Chair of the NAIC Producer Licensing Task Force, and Maryellen Waggoner, NIPR Executive Director, provide information about the policy and relationship between NAIC/NIPR. Updates on NIPR's existing processes will be provided along with a brief discussion on its upcoming new and exciting products and services.

PL-210 Producer Licensing Working Group Update 9:30 am – 10:15 am (R/I)

This session will provide an overview of the current activities of the working group and a look at the charges for 2009.

PL-220 Regulator Panel 10:30 am – 12:15 pm (R/I)

Do you have a question or two to ask your state regulators concerning Producer Licensing? Your questions are most likely the same questions others have, but are afraid to ask. You will have the opportunity to submit questions prior to the conference that will be heard and discussed during this panel session. You can email your questions to gwelker@naic.org and/or tdoenges@nipr.com. *This session will include a break at 11:15am.*

PL-230 Midwest and Western Regional Roundtables 1:30 pm – 2:15 pm (R/I)

This year we are breaking the roundtable sessions out into zones. This session is for the Midwest and Western regions. Whether you want to voice your own comment or just hear those of others, this is the session for you. This session is intended for industry representatives to come together with their regulators to discuss issues related to Producer Licensing and bring any suggestions for improvements to the existing process.

PL-235 Meet Your NIPR Representatives 1:30 pm – 2:15 pm (R*)

This session is an opportunity for state regulators to meet several NIPR representatives. State Support, Billing, Quality Assurance, and State Process Developer Representatives will be available to discuss your current processes, any law changes that will affect electronic business rules, E-Commerce Reports,

testing, and any outstanding issues or concerns. It is also a time to make suggestions on how NIPR can make its processes better.

PL-240 Northeast and Southeast Regional Roundtables 2:30 pm – 3:15 pm (R/I)

This year we are breaking the roundtable sessions out into zones. This session is for the Northeast and Southeast regions. Whether you want to voice your own comment or just hear those of others, this is the session for you. This session is intended for industry representatives to come together with their regulators to discuss issues related to Producer Licensing and bring any suggestions for improvements to the existing process.

PL-245 Meet Your NIPR Representatives 2:30 pm – 3:15 pm (R*)

This session is an opportunity for state regulators to meet several NIPR representatives. State Support, Billing, Quality Assurance, and State Process Developer Representatives will be available to discuss your current processes, any law changes that will affect electronic business rules, E-Commerce Reports, testing, and any outstanding issues or concerns. It is also a time to make suggestions on how NIPR can make its processes better.

PL-250 A Glance Forward at New NIPR Products and Services 3:30 pm – 4:15 pm (R/I)

What new and innovative products and services is NIPR looking into for future development? How will these new initiatives benefit your licensing processes? Attend this session to get these answers and more!

Wednesday, April 29, 2009

PL-300 Federal Regulated Issues Panel 9:00 am – 9:45 am (R/I)

This session will discuss the federal activity and look at future initiatives in 2009.

PL-310 NAIC/Industry Producer Licensing Outreach Update 10:00 am – 10:45 am (R/I)

This session will provide a look at the current status of the Producer Licensing Outreach project, how it was created, and its overall efforts that started November 2008 as well as specific insight to the priorities for 2009.

PL-320 Surplus and Limited Lines Update 11:00 am – 11:45 am (R/I)

This session will discuss the current activity and look at future initiatives of the NAIC in 2009.

PL-325 RIRS/SAD Informational Databases 11:00 am – 11:45 am (R*)

What is determined when reviewing the RIRS/SAD information on a producer? What information might lead a state to deny, suspend, or revoke an application? Please join us in bringing your state's perspective to this session.

PL-330 Tell Us What You Want 11:00 am – 11:45 am (I)

This is an opportunity for the industry to exchange ideas with NIPR staff about enhancements and features to current products and services.

Rate and Form Filing Track Sessions

Tuesday, April 28, 2009

RF-200 Learn the Strength in Numbers...IIPRC Update 8:30 am – 9:15 am (R/I)

Find out the latest and greatest in the world of the IIPRC. This life & health session is open to both state and industry.

RF-205 Powwow With Your Peers...P&C Hot Topics 8:30 am – 9:15 am (R/I)

This open-forum session will give both state and industry the chance to talk about what's on the horizon, exchange ideas, and build rapport.

RF-210 View the World Through Other Eyes...Flipside Tutorial 9:30 am – 11:15 am (R/I)

This double-session will give state and industry the chance to see SERFF from the "other side." Watch the filing process from draft through disposition and all stops in between. *This session includes a break at 10:15 am.*

RF-220 Converse and Collaborate...State and Industry Open Panel 11:30 am – 12:15 pm (R/I)

Want to know how to get your filing reviewed quicker? Curious about specific filing requirements? Looking for some tips and tricks from your peers? This open-forum panel discussion will give you the chance to ask your questions and learn from others.

RF-230 Laugh a Little...SERFF Game Show 1:30 pm – 3:15 pm (R/I)

We all need to take a little time each day to laugh, so why not laugh along with us? The SERFF Game Show is a hit each year and 2009 will be no exception! *This session includes a break at 2:15 pm.*

RF-240 Embrace Change...PRL Redesign Review 3:30 pm – 4:15 pm (R/I)

The Product Requirements Locator is going through a redesign that we're sure everyone will appreciate. Both state and industry are invited to attend this review session.

Wednesday, April 29, 2009

RF-300 Hug it Out...Regulator Roundtable 9:00 am – 9:45 am (R/I)

You asked for it, so we brought it back! Sit down with the regulators to discuss state-specific topics, build rapport, and learn something new.

RF-310 Hug it Out - Part 2...Regulator Roundtable (combined session with CL-310 Wind Power Part 2) 10:00 am – 10:45 am (R/I)

This is a joint session between Rate and Form Filing and Company Licensing to discuss how states process corporate changes (company mergers, acquisitions, name change, and so forth). The Operational Efficiencies (EX) and National Treatment and Coordination (E) Working Groups have requested your input as they consider improvements to this process!

RF-320 Look Down the Road...SERFF 5.7 Review 11:00 am – 11:45 am (R/I)

Can you believe that we're already looking toward the 5.7 release? It seems like 5.0 was just yesterday...ah, the memories... This open session will give both State and Industry a chance to learn about what's in the works for SERFF.

Alternate Track Sessions – New for 2009!

We are offering alternate sessions that are open to all attendees during the conference.

Tuesday, April 28, 2009

ALT-200 NAIC – Serving our Members and You 8:30 am – 9:15 am (R/I)

The NAIC has seen a lot of change over the past year. From naming a new CEO; to designating our Executive Office in Washington, DC, Central Office in Kansas City, and Securities Valuation Office in New York City; to the proposed Center for Insurance Policy & Research – the NAIC continues to enhance the ways in which we serve the needs of our members, consumers, and the industry. Come learn about the myriad of NAIC resources and services available to you.

ALT-210 Federal Legislation: Part 1 9:30 am – 10:15 am (R/I)

Over the years, legislation at the federal level has had an impact on state-based insurance regulation. Join us for a discussion with a member of the NAIC's Washington DC office regarding the background and course of events that has led to the current federal legislation being considered.

ALT-220 Federal Legislation: Part 2 10:30 am – 11:15 am (R/I)

Join us as we continue the discussion with a member of the NAIC's Washington DC office regarding the latest federal legislation being considered by Congress that could have an impact on state-based insurance regulation.

ALT-230 State Based Systems Overview 10:30 am – 11:15 am (R/I)

State Based Systems is a product offering by the NAIC that includes a wide range of comprehensive services from Producer Licensing, Company Licensing, and Market Regulation, as well as integration with SERFF, the NAIC's rate and form filing tool. SBS was designed with the objective of allowing both state and industry users the ability to accomplish a myriad of tasks simply and effectively while using the minimum number of screens and mouse clicks. This session will include an overview what SBS has to offer both states and the insurance industry.

ALT-235 NAIC Publications Overview 11:30 am – 12:15 pm (R/I)

The NAIC is *the* authoritative source for insurance industry information and as such, we offer a number of publications ranging in topics from Accounting and Reporting, Financial Regulation, Consumer Information, Statistical Reports, White Papers, and much, much more! We also offer a number of our publications electronically. If you are interested in finding out more about what information you can have at your fingertips, don't miss this session!

ALT-240 NAIC Account Manager 1:30 pm – 2:15 pm (R/I)

The NAIC has recently introduced a powerful tool, NAIC Account Manager, to enable you convenient access to such online services as payment of filing fees, review of your publications order status, and the ability to renew your publications subscriptions. Coming this summer, the NAIC will expand this service to offer the opportunity to place orders for all your electronic and hard-copy publications as well as the ability to download electronic publications the moment they are made available! This session will give you an introduction to those services already available, tips on how to use the NAIC Account Manager, and a sneak peek at the upcoming functionality.

ALT-250 Project Management – “First Place Winner” 2:30 pm – 3:15 pm (R/I)

Have you ever wondered what techniques would help make your project successful no matter what type of project it is? Did you know that those same techniques can help you become a “First Place Winner” in drag racing? Come to this informative session and let us help you become a “First Place Winner”!

ALT-260 Using Adobe Acrobat 3:30 pm – 4:15 pm (R/I)

Would you like to use Adobe Acrobat to inform and persuade; to work more effectively and efficiently; and to have greater control over your work? This session will provide tips and tricks to using Adobe Acrobat as a powerful tool to spicing up your documents, presentations, marketing materials, and a myriad of other uses.

Wednesday, April 29, 2009

ALT-300 Federal Legislation: Part 1 (repeat session from Tuesday) 9:00 am – 9:45 am (R/I)

Over the years, legislation at the federal level has had an impact on state-based insurance regulation. Join us for a discussion with a member of the NAIC's Washington DC office regarding the background and course of events that has led to the current federal legislation being considered.

ALT-310 Federal Legislation: Part 2 (repeat session from Tuesday) 10:00 am – 10:45 am (R/I)

Join us as we continue the discussion with a member of the NAIC's Washington DC office regarding the latest federal legislation being considered by Congress that could have an impact on state-based insurance regulation.

ALT-320 Microsoft Excel Macros and Functions 11:00 am – 11:45 am (R/I)

Have you often looked at your Excel spreadsheet and wondered what you can do to make it more effective? You can learn how to enhance your reporting capabilities by incorporating basic macros and functions. *Note that this session will use Microsoft Excel 2003.*

TechEx Track Sessions

Here are the exciting and informative sessions being offered at TechEx 2009! These sessions are specifically targeted to the IT professionals in your department of insurance.

Tuesday, April 28, 2009

IT-200 TechEx 2009 Welcome and Kickoff 9:00 am – 9:30 am (R*)

IT-210 How User-Friendly is Your Web Site? 9:30 am – 10:00 am (R*)

Six state insurance departments' Web sites received a rating of EXCELLENT from the Consumer Federation of America. Hear from one of the winners how they made current price and complaint information easily accessible on their site.

IT-220 Virtualized and Lovin' It! 10:00 am – 10:30 am (R*)

Learn about California's server virtualization effort and all the cost savings that have occurred because of it.

IT-225 State Producer Licensing Re-engineering Project (SPLR) 10:45 am – 11:15 am (R*)

This session will provide an update of how the project is progressing and what's in store.

IT-230 Payment Card Industry (PCI)-The What, How, and Why 11:15 am – 12:00 pm (R*)

Come and hear from the technical, legal, and financial folks that know about the PCI standards, how to apply them, and the legal and financial impact they have on your operations.

IT-240 Best Laid Plans 12:15 pm – 1:00 pm (R*)

Birds of a Feather lunch discussion: The world has changed, how has what happened in the world changed your plan and your priorities?

IT-250 Data Center Strategies - 10 Gigabit Ethernet and Fiber Channel over Ethernet (FCOE) 1:00 pm – 1:30 pm (R*)

Technologies are rapidly evolving to meet data-center needs and enterprise demands for efficiently handling and managing increasingly bandwidth-hungry applications. At the same time these technologies can also be used to consolidate cabling and management effort when supporting a medium to high volume of servers. Hear from the NAIC about our concept and how we plan to use 10 Gigabit Ethernet and FCOE to manage our data center.

IT-255 PC of the Future - Your Desk Top from Anywhere! 1:00 pm – 1:30 pm (R*)

Hear how the NAIC is turning their PCs into desktops of the future with VMware View. This will allow the desktop to follow the NAIC user no matter what the device or location.

IT-260 Does Your Data Need Deduplication? 1:35 pm – 2:05 pm (R*)

Among the hot new topics in the IT world is elimination of redundant data, intended to improve data protection, increase speed of service, and reduce costs. Hear from the NAIC on what challenges we've experienced and our roadmap to solving problems.

IT-265 How to Win at the Game of End User Computing (EUC) 1:35 pm – 2:05 pm (R*)

Knowing the game of EUC and the strategy to making it a win for the business partner as well as the IT staff who supports them.

IT-266 State Based Systems (SBS) 1:35 pm – 2:05 pm (R*)

State Based Systems is a product offering by the NAIC that includes a wide range of comprehensive services from Producer Licensing, Company Licensing, and Market Regulation, as well as integration with SERFF, the NAIC's rate and form filing tool. SBS was designed with the objective of allowing both state and industry users the ability to accomplish a myriad of tasks simply and effectively while using the minimum number of screens and mouse clicks. This session will include an overview of what SBS has to offer both states and the insurance industry.

IT-270 Governance of Enterprise Application Components 2:30 pm – 3:00 pm (R*)

Enterprise Application Components require a level of support and service that goes above and beyond normal applications. In this session we will walk through policies, procedures, and oversight the NAIC has put in place to address these "special" component.

IT-275 Wide Area Application Services (WAAS) - From Remote Sites to the NAIC Data Center 2:30 pm – 3:00 pm (R*)

WAAS technologies enable the optimization of traffic flows from remote sites to the data center by reducing latency and increasing end user response times. Learn how the NAIC used these technologies to decrease bandwidth consumption to its New York and District of Columbia offices.

IT-280 Capability Maturity Model® Integration (CMMI) and Wisconsin's Lessons Learned 3:05 pm – 3:35 pm (R*)

You don't want to miss hearing how Wisconsin is using the CMMI methodology to develop IT processes. Their presenter will provide example templates and lessons learned on their process improvement journey.

IT-290 Conference Day One Wrap Up 3:35 pm – 3:45 pm (R*)

Wednesday, April 29, 2009

IT-300 Introduction to the Day and Announcements 9:15 am – 9:30 am (R*)

IT-310 e-Discovery- How to Keep Your State and Staff Out of CNN Headlines 9:30 am – 10:20 am (R*)

The digital age has created a paradigm shift in the world of legal discovery and how it impacts the way we need to do business in the future.

IT-320 Disaster Reporting – Prepared and Ready 10:30 am – 11:15 am (R*)

This session will provide you an overview of the NAIC Regulatory Disaster Recovery System (DRS) and how your State can use this system to create your own reports and statistics.

IT-330 Conference in Review 11:15 am – 11:45 am (R*)

IT-340 IS Task Force Meeting 12:00 pm – 1:00 pm (R*)

Post-Conference Sessions

Wednesday, April 29, 2009

PC-300 SERFF 12:00 pm – 4:00 pm (R*)

New to SERFF or need a good refresher? Attend this hands-on training session and be brought up to speed on reviewing and managing your SERFF filings. This session is intended for regulators new to SERFF or those regulators that use SERFF infrequently.

PC-305 Producer Licensing Working Group (PLWG) Interim Meeting 1:00 pm – 5:00 pm (R/I)

This will be an open working group session for both regulators and interested parties. *Note that from 1:00 pm – 2:30 pm, there will be an open Licensing Director Roundtable Meeting with the PLWG Interim Meeting taking place immediately following.*

PC-310 National Treatment and Coordination Working Group Open Conference Call 12:00 pm – 1:30 pm (R/I)

This will be an open working group call for both regulators and interested parties. Agenda and materials will be provided prior to the conference call / meeting.

PC-320 IIPRC Special Session 1:00 pm – 3:00 pm (R/I)

The IIPRC enhances the efficiency and effectiveness of the way life insurance, annuities, disability income, and long-term care insurance products are reviewed and approved. The IIPRC Team will host a special session presenting an overview of the IIPRC product filing platform to include discussion on the available Uniform Standards and filing with the IIPRC through SERFF. You will learn how the IIPRC can simplify your day-to-day product filing functions. *Separate sign-up required – please follow up with Sara Dubsky at sdubsky@insurancecompact.org or sign up at the Registration Desk during registration hours.*

Thursday, April 30, 2009

PC-400 SBS Education Day 9:00 am – 3:00 pm (R*)

State Based Systems has expanded to include 15 NAIC members using a variety of SBS services to support key business initiatives including producer and company licensing, continuing education, consumer services, and market regulation. Come to SBS Education Day to see an in-depth overview of the system and learn more about how SBS could be used by your state to simplify your business and reduce your costs.