

Change in Control of Foreign (Non-Domestic) Insurers

✓ = This information has been updated by the state department of insurance.

A link to the Application instructions for a [Change of Control](#) is provided.

State	Requirement
Alabama	A courtesy filing after the transaction is completed.
Alaska	Form E Pre-Notification Form Regarding the Potential Competitive Impact of a Proposed Merger or Acquisition (state market concentration information).(AS 21.22.065, 3 AAC 21.186)
Arizona	Pre-notification if the transaction is deemed to be anti-competitive. Notification after the transaction is completed.
Arkansas	Pre-notification with final order from state of domicile.
California	Pre-notification. Commercially domiciled companies must file a Form A. Foreign insurers are required to submit new Biographical Affidavits and fingerprint cards and may also be required to file Form 700(c).
Colorado	Notification after the transaction is completed.
Connecticut	Pursuant to Connecticut General Statute (CGS) section 38a-131, preacquisition notification is required at least thirty (30) days prior to a proposed acquisition of an insurance company authorized to do business in Connecticut unless otherwise exempted. Exemptions to this requirement are defined in CGS section 38a-131(b).
Delaware	Notification after the transaction is completed.
District of Columbia	Notification after the transaction is completed.
Florida	Notification within 30 days of the effective date; Section 624.4245, Florida Statutes.
Georgia	Prior notification is required. Should the acquisition also involve one of the insurers merging out of existence, must submit the domiciliary regulator's approval documentation, as well.
Hawaii	Prior notification with market share and competitive standard information pursuant to §431:11-104.4 Hawaii Revised Statutes. Notification after transaction is completed, with state approvals and effective date of acquisition.
Idaho	Pursuant to Idaho Code §41-3809 (1), (Link http://www.legislature.idaho.gov/idstat/Title41/T41CH38.htm) a foreign insurer is exempt from filing a Form B in Idaho, if its state of domicile has adopted standards substantially similar to those in Idaho Code, §41 Chapter 38. Otherwise, please refer to Idaho Code Title 41 Chapter 38 and Department of Insurance Rules IDAPA 18.01.23 (Link http://adminrules.idaho.gov/rules/current/18/0123.pdf) and submit a registration statement.
Illinois	No notification required.
Indiana	Preacquisition notification is required thirty (30) days prior to a proposed acquisition in accordance with Indiana Code 27-1-23-2.5(f). Exceptions to preacquisition notifications are defined in IC 27-1-23-2.5(c).
Iowa	Notification after the transaction is completed.
Kansas	Notification after the transaction is completed.
Kentucky	Notification after the transaction is completed. Must complete Corporate Amendment Application.
Louisiana	Notification after the transaction is completed.
Maine	Notification after the transaction is completed.
Maryland	Prior notification required.

State	Requirement
Massachusetts	Courtesy filing of the order if the change of control does not result in a name change, redomestication, address change or group change. Required notification if the change of control results in a name change, redomestication, address change or group change. There may be a fee associated with the filing, depending upon the change.
Michigan	Notification within 90 days from the date of the change of control to re-qualify for a certificate of authority. Refer to §500.405 and §500.405(a). Submit completed form from FIS-0144 found on DIFS website: www.michigan.gov/difs .
Minnesota	Notification after the transaction is complete.
Mississippi	Notification after the transaction is completed
Missouri	Prior notification and Form E or a letter explaining why no such statement needs to be filed. A filing fee is required if Form E is filed.
Montana	Notification after the transaction is completed.
Nebraska	Notification after the transaction is completed.
Nevada	<p>Before the transaction takes place:</p> <p>Cover Letter</p> <p>Form E notification 30 days before a change of control transaction. Notification to include statement of market share</p> <p>UCAA Corporate Amendment Application, Form 2C, pages 1 and 4 only.</p> <p>After the transaction takes place:</p> <p>Approval order(s) from all regulatory entities</p>
New Hampshire	Notification before the transaction is completed and a relicensing application, Form E #, State of Domicile approvals, Pro forma balance sheets and relicensing fees.
New Jersey	Notification required along with a copy of the approval of the acquisition by the domiciliary state, final Form A filed (do not include exhibits), Form E and other information as requested by the state.
New Mexico	Notification after the transaction is completed accompanied by Form A and a copy of the approval from the state of domicile. Biographical Affidavits must be filed if new officers and/or directors are appointed.
New York	Notification after the transaction is completed.
North Carolina	Notification after the transaction is completed.
North Dakota	<p>A pre-acquisition notification regarding the potential competitive impact of a proposed merger is required 30 days prior to the proposed effective date of the transaction pursuant to <u>N.D.C.C. § 26.1-10-03.1(3)</u>.</p> <p>If a pre-acquisition notice isn't required pursuant to <u>N.D.C.C. § 26.1-10-03.1(3)</u>, an informational notice should be filed within 60 days of the effective date of the transaction.</p>
Ohio	Notification is required after the transaction is completed.
Oklahoma	Notification after the transaction is completed, including group code number and Contact changes (UCAA Form 14) if applicable.
Oregon	Notification after the transaction is completed.
Pennsylvania	<p>Preacquisition notification is required thirty (30) days prior to a proposed acquisition in accordance with 40 P.S. §991.1403(b)(1). Exceptions to preacquisition notifications are defined in 40 P.S. §991.1403(b)(2).</p> <p>After consummation notification of any change in name or address as a result of the acquisition is required.</p>
Puerto Rico	Form E- Pre acquisition notification and Form A- Statement regarding a change of control or Merger. These forms are required by Rule 83 of the Regulation of the Puerto Rico Insurance Code.
Rhode Island	Notification letter and completed Rhode Island <u>company information form</u> .

State	Requirement
South Carolina	Preacquisition notification is required (or statement explaining why the acquiring person is exempt from preacquisition notification), copies of the approval documents from the involved insurance departments, the effective date of the acquisition.
South Dakota	Pre-Acquisition Notification (Form E) is required at least 30 days prior to the Change of Control. UCAA Corporate Amendment Application is required.
Tennessee	Formal notification is required.
Texas	Formal Notification is required if the company is commercially domiciled as defined under TIC 823.004 and required to file a Form A; otherwise, a courtesy filing. Notifications and courtesy filings may be sent electronically to FAFilings@tdi.texas.gov .
Utah	Formal notification is required if the change involves a corporate reorganization as identified in UCA 31A-5-501 or changes in Articles of Incorporation.
Vermont	Preacquisition notification (Form E) is required at least 30 days prior to the change of control. No preacquisition Form is needed if the acquisition is beyond the scope of 8 V.S.A. §3683a as set forth in 8 V.S.A. §3683a (b).
Virginia	Any amendments to an applicant's Certificate of Authority or Articles of Incorporation (including name changes, redomestications and mergers not involving domestic insurers) <u>must first be filed</u> with the Clerk of the Virginia State Corporation Commission, under Title 13.1 of the Code of Virginia.
Washington	Notification after the transaction is completed.
West Virginia	Foreign companies must file a copy of the Form A approval after the transaction is completed.
Wisconsin	<p>Notice is required after the transaction is completed, pursuant to s. 618.21(2)(b), Wis. Stat. Please submit: (1) A cover letter (summarizing the transaction), (2) A copy of the domiciliary state's approval, (3) Whether the transaction will involve a name change or merger, (4) Whether the Wisconsin marketplace or business plan will be affected.</p> <p>If applicable, please submit the following additional items: (5) Updated Wisconsin business plan, (6) Amended Articles and Bylaws, (7) Updates to the company's mailing and home address, (8) Company President and Secretary changes, (9) New NAIC Group Code.</p>
Wyoming	Notification is not required.