



Annuity Suitability Symposium

March 1-2, 2012
Hilton New Orleans Riverside • New Orleans, LA

Save the date!

Suitability and supervision of annuity sales and practices is a key priority of the NAIC and its Life Insurance and Annuities (A) Committee. Join us prior to the NAIC Spring National Meeting in New Orleans for the Annuity Suitability Symposium. Insurance commissioners, state securities regulators and senior representatives from the Financial Industry Regulatory Authority (FINRA) will provide a comprehensive picture of the regulatory treatment of annuities products.

If you are a state or federal regulator, don't miss this chance to learn more about the many aspects of suitability regulation from both the insurance regulator's and securities regulator's perspective. The March 1 session is restricted to regulators. Industry professionals are invited to join the discussion on social media and annuity regulation on March 2.

This training is approved for CLE credit in both Missouri and Louisiana.

Tentative Agenda

Thursday, March 1 (REGULATOR ONLY)

- 8:30 Welcome
- 8:40 NAIC Suitability Models: Moving Forward
- 9:40 Regulatory Coordination: NAIC Model Laws and FINRA Rules
- 10:50 Enforcement Responsibilities and Overlaps: NAIC, NASAA, and FINRA
- 11:50 Lunch Provided
- 12:20 Product Specific Training: The Insurer's Perspective
- 1:30 Hot Topics and DOI Suitability Examinations
- 3:00 Resources Available to States in Suitability Issues
- 4:00 Legal Perspectives
- 5:30 Adjourn

Friday, March 2 (OPEN TO ALL)

- 8:00 Social Media
- 9:45 Annuity Regulation Panel (Invited: FINRA, NAIC, NASAA, SEC)
- 11:45 Adjourn

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Tuition

	By Jan 29	By Feb 9	After Feb 9
State Insurance Department Staff (March 1& 2)	\$345	\$395	\$445
All Other Regulators (March 1& 2)	\$645	\$695	\$745
Industry/Public (March 2 only)	\$275	\$325	\$375

Lodging

To receive the NAIC's group rate, you must register for the seminar prior to making hotel reservations. Information on how to receive the NAIC's group rate will be sent to you following registration. Hotel reservations may only be booked online. Reserved room blocks expire February 6, 2012.

Rates: Regulator \$219 / Non-Regulator \$259. All room rates are subject to \$3 + 13.0% tax per room, per night or current tax rate.

Hilton New Orleans Riverside
Two Poydras Street, New Orleans, LA 70130
(504) 561-0500



Continuing Education

The NAIC is an accredited CLE sponsor with the Missouri Bar. This course has also been approved for CLE in Louisiana. NAIC education events qualify for continuing legal education credit in most jurisdictions.

Cancellations

Withdrawals with full refund are permitted up to three weeks before the beginning date of the seminar; cancellations after this date will result in no refund. Notification of cancellation must be received in writing. Substitutions are accepted up to one week before the seminar starts. If issued, refunds will be mailed after the seminar's completion.

REGISTER online at education.naic.org or complete and return the registration form below. You will be contacted via e-mail with confirmation of your registration. Space is limited so you are encouraged to register early; onsite registrations will be accepted as space allows.

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FAX TO:
(816) 460-7544

MAIL TO:
NAIC Education & Training
Post Office Box 870335
Kansas City, MO 64187-0335

REGISTER ONLINE AT:
<http://education.naic.org>

Name: _____

Title: _____

State/Organization: _____

Address (No PO Boxes): _____

City: _____ State: _____ Zip: _____

Phone: _____ E-mail: _____

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Payment Method

- Check or Money Order payable to the NAIC (Payment must accompany registration form)
- Zone Funds (State Ins Dept Staff only)
- Grant Funds (State Ins Dept Staff only)
- Scholarship (State Ins Dept Staff only)
- Credit Card (We will contact you by phone to obtain payment information)