

# UNIFORM CERTIFICATE OF AUTHORITY APPLICATION

## CERTIFICATE OF COMPLIANCE AND CERTIFICATE OF DEPOSIT REQUIREMENTS

Updates to the state-specific information will be noted with a “√” next to the state name.

The UCAA suggests that the date of issuance of the Certificate of Deposit and Certificate of Compliance be no more than 6 months from the date the applicant files the application

State	CURRENT DATE OF CERTIFICATION	Process Certificates through the Electronic UCAA	Accepts Certificates through the Electronic UCAA Processed/Scanned
AL	6 months from Date of Application.	Yes	Yes
AK	As of date of last Annual Statement	Yes	Yes
AZ	1 Year	Yes	Yes
AR	6 months from Date of Application.	Yes	Yes
CA	Contact State For Requirements		
CO	1 Year	Yes	Yes
CT	6 months from Date of Application.	No	No
DE	1 Year	Yes	Yes
DC	1 Year	Yes	<b>Yes</b>
√FL	6 months from Date of Application.	<b>Yes</b>	Yes
GA	6 months from Date of Application.	No	Accepts scanned copy
HI	6 months from Date of Application.	Yes	<b>Must have original Certificate if not processed through the Electronic UCAA.</b>
ID	6 months from Date of Application.	Yes	Yes
IL	Contact State For Requirements	No	<b>No</b>

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IN	1 Year	Yes	Yes
IA	1 Year	Yes	Yes
KS	6 months from Date of Application.	Yes	
KY	6 months from Date of Application.	No	
LA	6 months from Date of Application.	Yes	Yes
ME	1 Year	No	
MD	Most Recent Year End.	Yes	Yes
MA	6 months from Date of Application.	No	
MI	Contact State For Requirements		
MN	6 months from Date of Application.	No	Yes, must be on the Uniform Form.
MS	6 months from Date of Application.	No	Yes, must be provided by the Domiciliary State.
MO	6 months from Date of Application.	Yes	Yes
MT	Most recent Year End. Deposit securities that mature must have a current Certificate with a current deposit/security.	No	Yes
NE	6 months from Date of Application.	Yes	Yes
NV	1 year	No	
NH	6 months from Date of Application.	No	Yes
NJ	Contact State for Requirements		
NM	6 months from Date of Application.	No	Yes
NY	Contact State for Requirement		
NC	6 months from Date of Application.	No	
ND	1 Year	Yes	Yes
OH	6 Months/As close to Date of Application as possible.	Yes	Yes
OK	6 months from Date of Application.	No	No, require Certified Hard Copies.

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OR	6 months from Date of Application.	No	
PA	1 Year	Yes	Yes
RI	6 months from Date of Application.	No, Cert of Deposit Yes, Cert of Compliance	Yes
SC	6 months from Date of Application.	No	Yes
SD	Deposit- Within Current Year Compliance – Not required if current in Domiciliary State.	Yes	Yes
TN	Must provide current information on Certificates	No	Yes
TX	Contact State For Requirements		
UT	1 Year	Yes	Yes, if provided by Domiciliary State
VT	Contact State for Requirements		
VA	6 months from Date of Application.	Yes	Yes
WA	6 months from Date of Application.	Yes	Yes
WV	6 months from Date of Application.	No	Yes
WI	No state requirements. Will contact domiciliary state.	No	Yes
WY	1 Year	Yes	Yes