

Securities Valuation Office Staff and Responsibilities

July 2009

SVO MANAGING DIRECTOR: CHRIS EVANGEL	
Derline Morris	Senior Administrative Assistant
SENIOR SVO COUNSEL: ROBERT CARCANO	
MANAGER, BUSINESS OPERATIONS: STEPHEN MALUK	
Rodney Cornish	SVO Business Systems Analyst
Aida Guzman	Supervisor, Records
Sophia Gilbert	Administrative Assistant
Ronald Stuart	Operations Specialist
Brenda Watson	Operations Clerk
MANAGER, INVESTMENT RESEARCH UNIT: JOSEPH PRAKASH	
Gary Mescher	Senior Advisor
Christopher Fahey	Analyst
Frank Meyers	Analyst
Wayne Cotter	Financial Editor/State & Internal Trainer
Tom Dunn	Analyst
Azar Abramov	Associate Research Analyst
MANAGER, FINANCIAL & INSURANCE MARKET RESEARCH UNIT: WESLEY BEAL	
Shanique Hall	Analyst
Dimitris Karapiperis	Analyst
John Hopman	Analyst

MANAGER, CREDIT & REGULATORY ANALYSIS

TEAM I: SHARAD GUPTA

ANALYST	SIC	INDUSTRY DESCRIPTION
Pradip Vyas - Senior Advisor	6500-6513 6530-6553 6798	Real Estate - Operators Real Estate - Agents, Managers, Dealers, & Developers Real Estate Investment Trusts ("REITs")
Jeffrey Baskin - Analyst	1300-1389 2900-2999 4600-4619 4910-4911 4920 4922-4924 4925 4930-4932 4939 4952 4953 4955 4959 4961 4971 4991	Oil & Gas Extraction Petroleum Refining & Related Industries Pipelines, Except Natural Gas Electric Services Gas Production & Distribution Natural Gas Transmission & Distribution Mixed, Manufactured, or Liquefied Petroleum Gas Production Electric, Gas & Other Services Combined Combination Utilities, Not Elsewhere Classified Sewerage Systems Refuse Systems Hazardous Waste Management Sanitary Services Steam & Air-Conditioning Supply Irrigation Systems Cogeneration Services & Small Power Producers
Debra Kelly - Analyst	2800-2899 8000-8099 8800-8822	Chemicals & Allied Products Health Services Private Households
Robert Nelson - Analyst	4000-4013 4100-4173 4200-4231 4300-4311 4400-4499 4500-4581 4700-4789 7500-7549 7600-7699 8600-8699	Railroad Transportation Transit & Passenger Transportation Motor Freight Transportation & Warehousing United States Postal Service Water Transportation Transportation by Air Transportation Services Automotive Repair, Service & Parking Miscellaneous Repair Services Membership Organizations & Related Services
Hankook Lee - Analyst	1000-1099 1200-1241 1400-1499 3200-3299 3300-3399 3900-3999	Metal Mining Coal Mining Mining & Quarrying of Nonmetal Minerals Except Fuels Stone, Clay, Glass, & Concrete Products Primary Metal Business Miscellaneous Manufacturing Industries
Karen Stefancic – Analyst	2700-2796 4800-4899 7800-7841	Printing, Publishing & Allied Industries Communications Motion Pictures
Rosemarie Kalinowski – Analyst	4900	Electric, Gas, And Sanitary Services
Martin Kounitz -Analyst	3400-3499 3700-3799 4940-4941	Fabricated Metal, Except Machinery & Transportation Equipment Transportation Equipment Water Supply

MANAGER, CREDIT & REGULATORY ANALYSIS

TEAM II: HARRY OLSEN

ANALYST	SIC	INDUSTRY DESCRIPTION
Richard Newman - Senior Advisor		Subsidiary Valuations
Kevin Driscoll - Analyst		Asset / Mortgage-Backed Securities Credit Tenant Loans (CTLs) Equity-Linked Securities Exchange Traded Funds (ETFs) Replication (Synthetic Asset) Transaction (RSATs) Structured Notes
Thomas Hickey - Analyst	0900-0971 2400-2499 3000-3089 5800-5813 7000-7041 7200-7299 7900-7999 8100-8111 8200-8299 8300-8399 8900-8999 9900-9999	Fishing, Hunting, & Trapping Lumber & Wood Products, Except Furniture Rubber & Miscellaneous Plastic Products Eating and Drinking Places Hotels, Other Lodging Places Personal Services Amusement & Recreation Services Legal Services Educational Services Social Services Miscellaneous Services Non-Classifiable Establishments
Elizabeth Muroski - Analyst	2200-2299 2300-2399 3100-3199 5200-5271 5300-5399 5400-5499 5500-5599 5600-5699 5700-5799 5900-5999	Textile Mill Products Apparel & Other Finished Products Leather & Leather Products Building Materials, Hardware, Garden - Retail General Merchandise Stores Food Stores Automotive Dealers & Gasoline Service Stations Apparel & Accessory Stores Home Furniture & Equipment Stores Miscellaneous Retail
John Quinn - Senior Analyst Edward Sabatine - Associate Analyst	3500-3599 3600-3699	Industrial & Commercial Machinery & Computer Equipment Electrical & Other Electrical Equipment & Components, Except Computers
Norman Schindler - Analyst	3800-3873 5000-5099 5100-5199	Technical Instruments, Photo Goods, Watches Wholesale, Durable Goods Wholesale, Non-Durable Goods
Kathleen Uckert - Analyst	0800-0851 2600-2679 3000-3089	Forestry Paper & Allied Products Rubber & Miscellaneous Plastic Products
John Yazzo - Analyst	0100-0191 0200-0291 0700-0783 1500-1542 1600-1629 1700-1799 2000-2099 2100-2141 2500-2599	Agriculture Production - Crops Agriculture Production - Livestock, Animal Specialties Agricultural Services Building Construction - General Contractors, Operative Builders Heavy Construction - Not Building Construction Construction - Special Trade Contractors Food & IGndred Products Tobacco Products Furniture & Fixtures

MANAGER, CREDIT & REGULATORY ANALYSIS

TEAM III: HILARY RENZ

ANALYST	SIC	INDUSTRY DESCRIPTION
Jeffrey Evans - Analyst		Schedule BA Assets
Gail Benintendi - Senior Analyst	6200-6289 6300-6399 6400-6411	Security & Commodity Brokers Insurance Carriers Insurance Agents, Brokers and Services
Michael Graves - Analyst Angela DeMarco – Senior Associate Analyst	6000 6020 6021 6022 6029 6030 6035 6036 6080 6081 6082 6091 6099 6100-6199 6700-6795 6799	Depository Institutions Commercial Banks National Commercial Banks State Commercial Banks Commercial Banks, NEC Savings Institutions Savings Institutions, Fed Charter Savings Institutions, Not Fed Charter Foreign Banks, Branches, Agencies Branches, Agencies-Foreign Banks Foreign Trade & Intl Banking Non-deposit Credit Institutions Functions Related to Deposit NEC Banking, NEC Non-depository Credit Institutions Holding and Other Investment Offices Investors, Not Elsewhere Classified
Max Rosefort - Analyst	7300-7389 8400-8422 8700-8748	Business Services Museums, Art Galleries, & Botanical & Zoological Gardens Engineering, Accounting, Research, Management & Related Services
James Mitchell - Analyst	9100 9200 9300-9350 9500 9600 9700 9730 9800	Government, Except Finance Justice, Public Order, & Safety Municipal - Public Finance, Taxation, & Monetary Policy Administration of Environmental Quality, Housing Programs Administration of Economic programs National Security & International Affairs Foreign Governments Supra-Nationals

SECURITIES VALUATION OFFICE STAFF EMAIL AND TELEPHONE NUMBERS

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(212) 386-1923	JBaskin@naic.org	Baskin,	Jeffrey	Analyst
(212) 386-1978	WBeal@naic.org	Beal,	Wesley	Manager, Financial & Insurance Market Research Unit
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(212) 386-1984	WCotter@naic.org	Cotter,	Wayne	Financial Editor/State & Internal Trainer
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(212) 386-1976	MGraves@naic.org	Graves,	Michael	Analyst
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(212) 386-1980	SMaluk@naic.org	Maluk,	Stephen	Manager, Business Operations
(212) 386-1966	GMescher@naic.org	Mescher,	Gary	Sr. Advisor, Investment Research Unit
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(212) 386-1961	JPrakash@naic.org	Prakash,	Joseph	Manager, Investment Research Unit
(212) 386-1953	JQuinn@naic.org	Quinn,	John	Analyst
(212) 386-1963	HRenz@naic.org	Renz,	Hilary	Manager, Credit & Regulatory Unit
(212) 386-1937	MRosefor@naic.org	Rosefort,	Max	Analyst
(212) 386-1952	ESabatin@naic.org	Sabatine,	Edward	Associate Analyst
(212) 386-1954	NSchindl@naic.org	Schindler,	Norman	Analyst
(212) 386-1968	KStefanc@naic.org	Stefancic,	Karen	Analyst
(212) 386-1929	RStuart@naic.org	Stuart,	Ronald	Operations Specialist
(212) 386-1973	KUckert@naic.org	Uckert,	Kathleen	Analyst
(212) 386-1950	PVyas@naic.org	Vyas,	Pradip	Sr. Advisor, Credit & Regulatory Unit
(212) 386-1939	BWatson@naic.org	Watson,	Brenda	Operations Clerk
(212) 386-1962	JYazzo@naic.org	Yazzo,	John	Analyst

OTHER SERVICES/SVO QUESTIONS

Regulatory Treatment Analytical Service (RTAS)	Harry Olsen	(212) 386-1925
ATF Filing Assistance	Rodney Comish	(212) 386-1933
Bank Letter of Credit	Angela DeMarco	(212) 386-1943
Derivatives, Counterparty Designations	Kevin Driscoll	(212) 386-1940
Foreign Governments (sic 9730A)	Gary Mescher	(212) 386-1966
Mutual Funds	Angela DeMarco	(212) 386-1965
Super National Organizations (sic 9800)	James Mitchell	(212) 386-1936
Surplus Note Listing	Richard Newman	(212) 386-1942
SVO Help Desk		(212) 386-1905

NON-SVO QUESTIONS

Approved Mutual Fund List	Publications	(816) 783-8300
Automated Valuation Service (AVS) Hotline		(816) 783-8300
AVR/IMR/RBC	Dan Swanson	(816) 783-8412
Bank Letter of Credit List	Publications	(816) 783-8300
Financial Reporting - Annual & Quarterly	Statement Preparation Hotline	(816) 783-8400
Insurance Accounting	Robin Marcotte	(816) 783-8124
Publications/CD-ROM Orders	Publications	(816) 783-8300